

1 HB537  
2 196849-2  
3 By Representative Wingo  
4 RFD: Fiscal Responsibility  
5 First Read: 02-MAY-19

2  
3  
4  
5  
6  
7  
8 SYNOPSIS: Under existing law there is no uniform  
9 process or system to collect or provide detailed  
10 information on services provided or funded by state  
11 agencies.

12 This bill would establish a process to  
13 require certain agencies, to collect and provide  
14 detailed information on the services that the  
15 agency provides or funds.

16  
17 A BILL  
18 TO BE ENTITLED  
19 AN ACT  
20

21 Relating to state agencies; to establish a process  
22 to designate state agencies to complete a service inventory;  
23 to require a designated agency to provide detailed information  
24 on each service it provides or funds; to require a designated  
25 agency to update the agency's service inventory; to designate  
26 the Legislative Services Agency as the agency responsible for  
27 compiling a catalog of services for the state.

1 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:

2 Section 1. For the purposes of this act, the  
3 following terms shall have the following meanings:

4 (1) AGENCY. Any entity that receives an  
5 appropriation of state funds, excluding entities whose sole  
6 source of funding is federal or local funds; or license or  
7 permit fees generated from regulating a profession.

8 (2) EVIDENCE-BASED. A service that incorporates  
9 methods demonstrated to be effective for the intended  
10 population through scientifically based research, including  
11 statistically controlled evaluations or randomized trials; and  
12 can be implemented with a set of procedures to allow  
13 successful replication in Alabama.

14 (3) OUTCOME. The measurement of the actual impact or  
15 public benefit of a service.

16 (4) OUTPUT. The measure of the volume of work  
17 completed, units of service delivered, or the level of actual  
18 services or products delivered by a service.

19 (5) PROMISING. A service that is based on  
20 statistical analyses or preliminary research and presents  
21 potential for becoming research-based or evidence-based.

22 (6) RESEARCH-BASED. A service with some research  
23 demonstrating effectiveness but does not yet meet the standard  
24 of evidence-based.

25 (7) SERVICE. Any practice, treatment, tool, or  
26 protocol that attempts to target one or more distinct outcomes  
27 for an intended population or has measurable outputs.

1           (8) SERVICE DESCRIPTION. A thorough statement of the  
2 service that distinguishes it from other, similarly situated  
3 services. The description should include the type, means, and  
4 staff required to deliver the service as well as the purpose  
5 of the service.

6           (9) SERVICE INVENTORY. A complete and detailed  
7 listing of information related to a service.

8           (10) TOTAL EXPENDITURES. The total amount of  
9 expenses of the agency directly related to delivery of a  
10 service during a fiscal year. Total expenditures does not  
11 include any encumbered funds not actually expended by the  
12 agency for the fiscal year.

13           Section 2. (a) On or before the 2nd legislative day  
14 of each regular session of the Legislature, the Legislative  
15 Services Agency and the Executive Budget Office shall jointly  
16 certify a list of proposed agencies to complete a service  
17 inventory to the Governor, the Senate President Pro Tempore,  
18 the Speaker of the House of Representatives, the Senate  
19 Finance and Taxation General Fund Committee Chair, the Senate  
20 Finance and Taxation Education Committee Chair, the House Ways  
21 and Means General Fund Committee Chair, and the House Ways and  
22 Means Education Committee Chair between the conclusion of the  
23 current regular session and the start of the next regular  
24 session. The list shall also include any agency required to  
25 update their service inventory pursuant to Section 5 of this  
26 act.

1           (b) The list of agencies required to complete a  
2 service inventory shall be established each year by a joint  
3 resolution enacted by the House of Representatives and Senate  
4 or by another act of the Legislature enacted in the Regular  
5 Session immediately preceding the reporting period.

6           (c) The service inventory shall identify each  
7 service provided, operated, managed, or funded in whole or in  
8 part by the agency for the immediately preceding fiscal year  
9 and the two fiscal years prior to the immediately preceding  
10 fiscal year.

11           (d) The service inventory shall include the  
12 following information for each service:

13               (1) A service description.

14               (2) The outputs.

15               (3) The desired outcomes to impact.

16               (4) The target population to be served.

17               (5) The number of persons served annually.

18               (6) Any outcome data that demonstrate efficiency and  
19 effectiveness.

20               (7) Current and historical budget and spending  
21 information including total expenditures.

22               (8) The results of any evaluations of the service.

23               (9) Any other data or information that the  
24 Legislative Services Agency finds may be useful to the  
25 Legislature in developing a complete catalog of services of  
26 the state.

1           Section 3. (a) In addition to the information  
2 required in Section 2, the agency shall identify any  
3 statistically controlled evaluations, randomized trials,  
4 statistical analyses, or preliminary research indicating a  
5 level of effectiveness for each service.

6           (b) The Legislative Services Agency shall make a  
7 final determination of whether the service is evidence-based,  
8 research-based, promising, or lacking evidence of  
9 effectiveness.

10          Section 4. On or before the first day of the first  
11 month immediately following the end of each regular session,  
12 the Legislative Services Agency shall notify in writing each  
13 agency required to complete a service inventory that the  
14 agency has been selected to complete a service inventory. The  
15 notification shall set forth the process for completing and  
16 submitting the service inventory and the date the inventory is  
17 to be completed.

18          Section 5. (a) Any agency that has completed a  
19 service inventory as required under Section 2 shall submit an  
20 update to the agency's service inventory.

21          (b) The update to the service inventory shall  
22 include all of the information required under Section 2 for  
23 the most recently completed fiscal year for each service.

24          (c) The updated service inventory shall include all  
25 of the information required under Section 2 for any new  
26 service that the agency began to provide, operate, manage, or

1 fund in whole or in part during the recently completed fiscal  
2 year.

3 Section 6. This act shall become effective  
4 immediately following its passage and approval by the Governor  
5 or its otherwise becoming law.