

#### 115TH CONGRESS 2D SESSION

# S. 2621

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for high gravity violations, to adjust penalties for inflation, to provide rights for victims or their family members, and for other purposes.

## IN THE SENATE OF THE UNITED STATES

March 22, 2018

Ms. Baldwin (for herself, Mrs. Murray, Mr. Brown, Ms. Warren, Mr. Markey, and Mr. Sanders) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

# A BILL

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for high gravity violations, to adjust penalties for inflation, to provide rights for victims or their family members, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Protecting America's Workers Act".

## 1 (b) Table of Contents for

#### 2 this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. References.

# TITLE I—COVERAGE OF PUBLIC EMPLOYEES, VOLUNTARY EMERGENCY RESPONDERS, AND APPLICATION OF ACT

- Sec. 101. Coverage of public employees.
- Sec. 102. Authorized employee representative.
- Sec. 103. Application of Act.

#### TITLE II—INCREASING WHISTLEBLOWER PROTECTIONS

Sec. 201. Enhanced protections from retaliation.

# TITLE III—IMPROVING REPORTING, INSPECTION, AND ENFORCEMENT

- Sec. 301. General duty of employers.
- Sec. 302. Occupational safety and health standards.
- Sec. 303. Posting of employee rights.
- Sec. 304. Employer reporting of work-related injuries, illnesses, deaths, and hospitalizations; prohibition on discouraging employee reporting.
- Sec. 305. No loss of employee pay for inspections.
- Sec. 306. Investigations of fatalities and significant incidents.
- Sec. 307. Prohibition on unclassified citations.
- Sec. 308. Victims' rights.
- Sec. 309. Right to contest citations and penalties.
- Sec. 310. Correction of serious, willful, or repeated violations pending contest and procedures for a stay.
- Sec. 311. Inaction by the Review Commission.
- Sec. 312. Conforming amendments.
- Sec. 313. Civil penalties.
- Sec. 314. Criminal penalties.
- Sec. 315. Prejudgment interest.

#### TITLE IV—STATE PLANS

- Sec. 401. Concurrent enforcement authority and review of State occupational safety and health plans.
- Sec. 402. Evaluation of repeated violations in State plans.

# TITLE V—NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

Sec. 501. Health hazard evaluations by the National Institute for Occupational Safety and Health.

#### TITLE VI—EFFECTIVE DATE

Sec. 601. Effective date.

#### 1 SEC. 2. REFERENCES.

- 2 Except as otherwise expressly provided, whenever in
- 3 this Act an amendment or repeal is expressed in terms
- 4 of an amendment to, or repeal of, a section or other provi-
- 5 sion, the reference shall be considered to be made to a
- 6 section or other provision of the Occupational Safety and
- 7 Health Act of 1970 (29 U.S.C. 651 et seq.).

# 8 TITLE I—COVERAGE OF PUBLIC

- 9 EMPLOYEES, VOLUNTARY
- 10 EMERGENCY RESPONDERS,
- 11 AND APPLICATION OF ACT
- 12 SEC. 101. COVERAGE OF PUBLIC EMPLOYEES.
- 13 (a) IN GENERAL.—Section 3(5) (29 U.S.C. 652(5))
- 14 is amended by striking "but does not include" and all that
- 15 follows through the period at the end and inserting "in-
- 16 cluding the United States, a State, or a political subdivi-
- 17 sion of a State.".
- 18 (b) Construction.—Nothing in this Act shall be
- 19 construed to affect the application of section 18 of the Oc-
- 20 cupational Safety and Health Act of 1970 (29 U.S.C.
- 21 667).
- 22 SEC. 102. AUTHORIZED EMPLOYEE REPRESENTATIVE.
- Section 3 (29 U.S.C. 652) is amended by adding at
- 24 the end the following:

1	"(15) Authorized employee representa-
2	TIVE.—The term 'authorized employee representa-
3	tive'—
4	"(A) means any person or organization
5	that for the purposes of this Act represents 2
6	or more employees at an establishment, factory,
7	plant, construction site, or other workplace, or
8	other environment where work is performed by
9	employees for an employer; and
10	"(B) includes a representative authorized
11	by employees, a representative of employees, or
12	any other representative of employees under
13	this Act.".
14	SEC. 103. APPLICATION OF ACT.
15	Section 4(b) (29 U.S.C. 653(b)) is amended—
16	(1) by redesignating paragraphs (2), (3), and
17	(4) as paragraphs (5), (6), and (7), respectively; and
18	(2) by striking paragraph (1) and inserting the
19	following:
20	"(1) If a Federal agency has promulgated and is en-
21	forcing a standard or regulation affecting occupational
22	safety or health of some or all of the employees within
23	that agency's regulatory jurisdiction, and the Secretary
24	determines that such a standard or regulation as promul-
25	gated and the manner in which the standard or regulation

- 1 is being enforced provides protection to those employees
- 2 that is at least as effective as the protection provided to
- 3 those employees by this Act and the Secretary's enforce-
- 4 ment of this Act, the Secretary may publish a certification
- 5 notice in the Federal Register. The notice shall set forth
- 6 that determination and the reasons for the determination
- 7 and certify that the Secretary has ceded jurisdiction to
- 8 that Federal agency with respect to the specified standard
- 9 or regulation affecting occupational safety or health. In
- 10 determining whether to cede jurisdiction to a Federal
- 11 agency, the Secretary shall seek to avoid duplication of,
- 12 and conflicts between, health and safety requirements.
- 13 Such certification shall remain in effect unless and until
- 14 rescinded by the Secretary.
- 15 "(2) The Secretary shall, by regulation, establish pro-
- 16 cedures by which any person who may be adversely af-
- 17 fected by a decision of the Secretary certifying that the
- 18 Secretary has ceded jurisdiction to another Federal agency
- 19 pursuant to paragraph (1) may petition the Secretary to
- 20 rescind a certification notice under paragraph (1). Upon
- 21 receipt of such a petition, the Secretary shall investigate
- 22 the matter involved and shall, within 90 days after receipt
- 23 of the petition, publish a decision with respect to the peti-
- 24 tion in the Federal Register.
- 25 "(3) Any person who may be adversely affected by—

1	"(A) a decision of the Secretary certifying that
2	the Secretary has ceded jurisdiction to another Fed-
3	eral agency pursuant to paragraph (1); or
4	"(B) a decision of the Secretary denying a peti-
5	tion to rescind such a certification notice under
6	paragraph (1),
7	may, not later than 60 days after such decision is pub-
8	lished in the Federal Register, file a petition challenging
9	such decision with the United States court of appeals for
10	the circuit in which such person resides or such person
11	has a principal place of business, for judicial review of
12	such decision. A copy of the petition shall be forthwith
13	transmitted by the clerk of the court to the Secretary. The
14	Secretary's decision shall be set aside if found to be arbi-
15	trary, capricious, an abuse of discretion, or otherwise not
16	in accordance with law.
17	"(4) Nothing in this Act shall apply to working condi-
18	tions covered by the Federal Mine Safety and Health Act
19	of 1977 (30 U.S.C. 801 et seq.).".
20	TITLE II—INCREASING
21	WHISTLEBLOWER PROTECTIONS
22	SEC. 201. ENHANCED PROTECTIONS FROM RETALIATION.
23	(a) Actions.—Section 11(c)(1) (29 U.S.C.
24	660(c)(1)) is amended to read as follows:

- 1 "(c) (1) No person shall discharge or cause to be dis-2 charged, or in any manner discriminate against or cause 3 to be discriminated against, any employee or other individual performing work for the person because— 5 "(A) such employee or other individual has filed 6 any complaint or instituted or caused to be insti-7 tuted any proceeding under or related to this Act; "(B) such employee or other individual has tes-8 9 tified or is about to testify before Congress or in any 10 Federal or State proceeding related to safety or 11 health; 12 "(C) such employee or other individual has re-13 fused to violate any provision of this Act; or 14 "(D) of the exercise of such employee or other 15 individual on behalf of himself or others of any right 16 afforded by this Act, including the reporting of any 17 injury, illness, or unsafe condition to the employer, 18 agent of the employer, safety and health committee 19 involved, or employee safety and health representa-20 tive involved.". 21 (b) Prohibition of Retaliation.—Section 11(c) (29 U.S.C. 660(c)) is amended by striking paragraph (2)
- 22
- 23 and inserting the following:
- "(2) Prohibition of Retaliation.— 24

"(A) IN GENERAL.—No person shall discharge, or cause to be discharged, or in any manner discriminate against, or cause to be discriminated against, an employee or other individual performing work for the person for refusing to perform the duties of the employee or other individual if the employee or other individual has a reasonable apprehension that performing such duties would result in serious injury to, or serious impairment of the health of, the employee or other individuals.

"(B) CIRCUMSTANCES.—For purposes of subparagraph (A), the circumstances causing the goodfaith belief of the employee or other individual that performing such duties would pose a safety or health hazard shall be of such a nature that a reasonable person, under the circumstances confronting the employee or other individual, would conclude that there is such a hazard. In order to qualify for protection under this paragraph, the employee or other individual, when practicable, shall have communicated or attempted to communicate the safety or health concern to the employer and have not received from the employer a response reasonably calculated to allay such concern.".

1	(c) Procedure.—Section 11(c) (29 U.S.C. 660(c))
2	is amended by striking paragraph (3) and inserting the
3	following:
4	"(3) Complaint.—Any employee, or other indi-
5	vidual performing work for a person, who believes
6	that the employee or other individual has been dis-
7	charged, disciplined, or otherwise discriminated
8	against by any person in violation of paragraph (1)
9	or (2) may seek relief for such violation by filing a
10	complaint with the Secretary under paragraph (5)
11	"(4) Statute of Limitations.—
12	"(A) IN GENERAL.—An employee or other
13	individual performing work for a person may
14	take the action permitted by paragraph (3) not
15	later than 180 days after the later of—
16	"(i) the date on which an alleged vio-
17	lation of paragraph (1) or (2) occurs; or
18	"(ii) the date on which the employee
19	or other individual knows or should reason-
20	ably have known that such alleged violation
21	occurred.
22	"(B) REPEAT VIOLATION.—Except in
23	cases when the employee or other individual has
24	been discharged, a violation of paragraph (1) or

1	(2) shall be considered to have occurred on the
2	last date an alleged repeat violation occurred.
3	"(5) Investigation.—
4	"(A) IN GENERAL.—An employee or other
5	individual performing work for a person may,
6	within the time period required under para-
7	graph (4)(A), file a complaint with the Sec-
8	retary alleging a violation of paragraph (1) or
9	(2). If the complaint alleges a prima facie case,
10	the Secretary shall conduct an investigation of
11	the allegations in the complaint, which—
12	"(i) shall include—
13	"(I) interviewing the complain-
14	ant;
15	"(II) providing the respondent an
16	opportunity to—
17	"(aa) submit to the Sec-
18	retary a written response to the
19	complaint; and
20	"(bb) meet with the Sec-
21	retary to present statements from
22	witnesses or provide evidence;
23	and
24	"(III) providing the complainant
25	an opportunity to—

1	"(aa) receive any statements
2	or evidence provided to the Sec-
3	retary;
4	"(bb) meet with the Sec-
5	retary; and
6	"(cc) rebut any statements
7	or evidence; and
8	"(ii) may include issuing subpoenas
9	for the purposes of such investigation.
10	"(B) Decision.—Not later than 90 days
11	after the filing of the complaint, the Secretary
12	shall—
13	"(i) determine whether reasonable
14	cause exists to believe that a violation of
15	paragraph (1) or (2) has occurred; and
16	"(ii) issue a decision granting or de-
17	nying relief.
18	"(6) Preliminary order following inves-
19	TIGATION.—If, after completion of an investigation
20	under paragraph (5)(A), the Secretary finds reason-
21	able cause to believe that a violation of paragraph
22	(1) or (2) has occurred, the Secretary shall issue a
23	preliminary order providing relief authorized under
24	paragraph (14) at the same time the Secretary
25	issues a decision under paragraph (5)(B). If a de

1	novo hearing is not requested within the time period
2	required under paragraph (7)(A)(i), such prelimi-
3	nary order shall be deemed a final order of the Sec-
4	retary and is not subject to judicial review.
5	"(7) Hearing.—
6	"(A) Request for hearing.—
7	"(i) In general.—A de novo hearing
8	on the record before an administrative law
9	judge may be requested—
10	"(I) by the complainant or re-
11	spondent within 30 days after receiv-
12	ing notification of a decision granting
13	or denying relief issued under para-
14	graph (5)(B) or a preliminary order
15	under paragraph (6), respectively;
16	"(II) by the complainant within
17	30 days after the date the complaint
18	is dismissed without investigation by
19	the Secretary under paragraph (5)(A);
20	or
21	"(III) by the complainant within
22	120 days after the date of filing the
23	complaint, if the Secretary has not
24	issued a decision under paragraph
25	(5)(B).

1	"(ii) Reinstatement order.—The
2	request for a hearing shall not operate to
3	stay any preliminary reinstatement order
4	issued under paragraph (6).
5	"(B) Procedures.—
6	"(i) In General.—A hearing re-
7	quested under this paragraph shall be con-
8	ducted expeditiously and in accordance
9	with rules established by the Secretary for
10	hearings conducted by administrative law
11	judges.
12	"(ii) Subpoenas; production of
13	EVIDENCE.—In conducting any such hear-
14	ing, the administrative law judge may issue
15	subpoenas. The respondent or complainant
16	may request the issuance of subpoenas
17	that require the deposition of, or the at-
18	tendance and testimony of, witnesses and
19	the production of any evidence (including
20	any books, papers, documents, or record-
21	ings) relating to the matter under consid-
22	eration.
23	"(iii) Decision.—The administrative
24	law judge shall issue a decision not later

than 90 days after the date on which a

hearing was requested under this paragraph and promptly notify, in writing, the parties and the Secretary of such decision, including the findings of fact and conclusions of law. If the administrative law judge finds that a violation of paragraph (1) or (2) has occurred, the judge shall issue an order for relief under paragraph (14). If review under paragraph (8) is not timely requested, such order shall be deemed a final order of the Secretary that is not subject to judicial review.

## "(8) Administrative appeal.—

"(A) IN GENERAL.—Not later than 30 days after the date of notification of a decision and order issued by an administrative law judge under paragraph (7), the complainant or respondent may file, with objections, an administrative appeal with an administrative review body designated by the Secretary (referred to in this paragraph as the 'review board').

"(B) STANDARD OF REVIEW.—In reviewing the decision and order of the administrative law judge, the review board shall affirm the decision and order if it is determined that the fac-

tual findings set forth therein are supported by substantial evidence and the decision and order are made in accordance with applicable law.

"(C) Decisions.—If the review board grants an administrative appeal, the review board shall issue a final decision and order affirming or reversing, in whole or in part, the decision under review by not later than 90 days after receipt of the administrative appeal. If it is determined that a violation of paragraph (1) or (2) has occurred, the review board shall issue a final decision and order providing relief authorized under paragraph (14). Such decision and order shall constitute final agency action with respect to the matter appealed.

# "(9) SETTLEMENT IN THE ADMINISTRATIVE PROCESS.—

"(A) IN GENERAL.—At any time before issuance of a final order, an investigation or proceeding under this subsection may be terminated on the basis of a settlement agreement entered into by the parties.

"(B) Public Policy considerations.— Neither the Secretary, an administrative law judge, nor the review board conducting a hear-

1	ing under this subsection shall accept a settle-
2	ment that contains conditions conflicting with
3	the rights protected under this Act or that are
4	contrary to public policy, including a restriction
5	on a complainant's right to future employment
6	with employers other than the specific employ-
7	ers named in a complaint.
8	"(10) Inaction by the review board or ad-
9	MINISTRATIVE LAW JUDGE.—
10	"(A) In general.—The complainant may
11	bring a de novo action described in subpara-
12	graph (B) if—
13	"(i) an administrative law judge has
14	not issued a decision and order within the
15	90-day time period required under para-
16	graph (7)(B)(iii); or
17	"(ii) the review board has not issued
18	a decision and order within the 90-day
19	time period required under paragraph
20	(8)(C).
21	"(B) DE NOVO ACTION.—Such de novo ac-
22	tion may be brought at law or equity in the
23	United States district court for the district
24	where a violation of paragraph (1) or (2) alleg-
25	edly occurred or where the complainant resided

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on the date of such alleged violation. The court shall have jurisdiction over such action without regard to the amount in controversy and to order appropriate relief under paragraph (14). Such action shall, at the request of either party to such action, be tried by the court with a jury.

## "(11) Judicial review.—

"(A) TIMELY APPEAL TO THE COURT OF APPEALS.—Any party adversely affected or aggrieved by a final decision and order issued under this subsection may obtain review of such decision and order in the United States Court of Appeals for the circuit where the violation, with respect to which such final decision and order was issued, allegedly occurred or where the complainant resided on the date of such alleged violation. To obtain such review, a party shall file a petition for review not later than 60 days after the final decision and order was issued. Such review shall conform to chapter 7 of title 5, United States Code. The commencement of proceedings under this subparagraph shall not, unless ordered by the court, operate as a stay of the final decision and order.

1 "(B) LIMITATION ON COLLATERAL AT2 TACK.—An order and decision with respect to
3 which review may be obtained under subpara4 graph (A) shall not be subject to judicial review
5 in any criminal or other civil proceeding.

"(12) Enforcement of order.—If a respondent fails to comply with an order issued under this subsection, the Secretary or the complainant on whose behalf the order was issued may file a civil action for enforcement in the United States district court for the district in which the violation was found to occur to enforce such order. If both the Secretary and the complainant file such action, the action of the Secretary shall take precedence. The district court shall have jurisdiction to grant all appropriate relief described in paragraph (14).

# "(13) Burdens of Proof.—

"(A) CRITERIA FOR DETERMINATION.—In making a determination or adjudicating a complaint pursuant to this subsection, the Secretary, or an administrative law judge, review board, or court, may determine that a violation of paragraph (1) or (2) has occurred only if the complainant demonstrates that any conduct described in paragraph (1) or (2) with respect to

the complainant was a contributing factor in the adverse action alleged in the complaint.

> "(B) Prohibition.—Notwithstanding subparagraph (A), a decision or order that is favorable to the complainant shall not be issued in any administrative or judicial action pursuant to this subsection if the respondent demonstrates by clear and convincing evidence that the respondent would have taken the same adverse action in the absence of such conduct.

## "(14) Relief.—

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"(A) Order for relief.—If the Secretary, or an administrative law judge, review board, or court, determines that a violation of paragraph (1) or (2) has occurred, the Secretary, administrative law judge, review board, or court, respectively, shall have jurisdiction to order all appropriate relief, including injunctive relief, and compensatory and exemplary damages, including—

- "(i) affirmative action to abate the violation;
- "(ii) reinstatement without loss of position or seniority, and restoration of the terms, rights, conditions, and privileges as-

1	sociated with the complainant's employ-
2	ment, including opportunities for pro-
3	motions to positions with equivalent or bet-
4	ter compensation for which the complain-
5	ant is qualified;
6	"(iii) compensatory and consequential
7	damages sufficient to make the complain-
8	ant whole, (including back pay, prejudg-
9	ment interest, and other damages); and
10	"(iv) expungement of all warnings,
11	reprimands, or derogatory references that
12	have been placed in paper or electronic
13	records or databases of any type relating
14	to the actions by the complainant that
15	gave rise to the unfavorable personnel ac-
16	tion, and, at the complainant's direction,
17	transmission of a copy of the decision on
18	the complaint to any person whom the
19	complainant reasonably believes may have
20	received such unfavorable information.
21	"(B) Attorneys' fees and costs.—If
22	the Secretary or an administrative law judge,
23	review board, or court grants an order for relief
24	under subparagraph (A), the Secretary, admin-

istrative law judge, review board, or court, re-

1	spectively, shall assess, at the request of the
2	employee, or other individual performing work
3	for the employer, against the employer—
4	"(i) reasonable attorneys' fees; and
5	"(ii) costs (including expert witness
6	fees) reasonably incurred, as determined
7	by the Secretary, administrative law judge,
8	review board, or court, respectively, in con-
9	nection with bringing the complaint upon
10	which the order was issued.
11	"(15) Procedural rights.—The rights and
12	remedies provided for in this subsection may not be
13	waived by any agreement, policy, form, or condition
14	of employment, including by any pre-dispute arbitra-
15	tion agreement or collective bargaining agreement.
16	"(16) Savings.—Nothing in this subsection
17	shall be construed to diminish the rights, privileges,
18	or remedies of any employee, or other individual per-
19	forming work for a person, who exercises rights
20	under any Federal or State law or common law, or
21	under any collective bargaining agreement.
22	"(17) Election of venue.—
23	"(A) IN GENERAL.—An employee of an
24	employer, or other individual performing work
25	for the employer, who is located in a State that

1	has a State plan approved under section 18
2	may file a complaint alleging a violation of
3	paragraph (1) or (2) by such employer with—
4	"(i) the Secretary under paragraph
5	(5); or
6	"(ii) a State plan administrator in
7	such State.
8	"(B) Referrals.—If—
9	"(i) the Secretary receives a complaint
10	pursuant to subparagraph (A)(i), the Sec-
11	retary shall not refer such complaint to a
12	State plan administrator for resolution; or
13	"(ii) a State plan administrator re-
14	ceives a complaint pursuant to subpara-
15	graph (A)(ii), the State plan administrator
16	shall not refer such complaint to the Sec-
17	retary for resolution.".
18	(d) Relation to Enforcement.—Section 17(j)
19	(29 U.S.C. 666(j)) is amended by inserting before the pe-
20	riod the following: ", including the history of violations
21	under section 11(c)".

## TITLE III—IMPROVING REPORT-INSPECTION, AND ING. EN-2 **FORCEMENT** 3 4 SEC. 301. GENERAL DUTY OF EMPLOYERS. 5 Section 5 (29 U.S.C. 654(a)(1)) is amended— 6 (1) in subsection (a), by amending paragraph 7 (1) to read as follows: 8 "(1) shall furnish employment and a place of 9 employment that are free from recognized hazards— "(A) that are causing or are likely to cause 10 11 death or serious physical harm and that the 12 employer creates or controls; or 13 "(B) to which the employer exposes any 14 employee of the employer or any other person 15 performing work at the place of employment; 16 and"; and 17 (2) by adding at the end the following new sub-18 section: 19 "(c) Each employee or other person exposed to a haz-20 ard in violation of subsection (a) may constitute a separate 21 violation.". 22 SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-23 ARDS. 24 Section 6(a) (29 U.S.C. 655(a)) is amended by strik-25 ing "Without regard" and all that follows through "af-

1	fected employees." and inserting the following: "(1) With-
2	out regard to chapters 5 and 6 of title 5, United States
3	Code, or to the other subsections of this section, the Sec-
4	retary shall—
5	"(A) as soon as practicable during the period
6	beginning with the effective date of this Act and
7	ending 2 years after such date, by rule promulgate
8	as an occupational safety or health standard any na-
9	tional consensus standard, and any established Fed-
10	eral standard, unless the Secretary determines that
11	the promulgation of such a standard would not re-
12	sult in improved safety or health for specifically des-
13	ignated employees; and
14	"(B) by rule, not later than 2 years after the
15	effective date under section 601(a) of the Protecting
16	America's Workers Act, update any national con-
17	sensus standard that has been promulgated or incor-
18	porated by reference pursuant to this subsection, ex-
19	cept that such a standard shall not be updated pur-
20	suant to this subparagraph, if—
21	"(i) the standard has been superseded by
22	a standard promulgated pursuant to subsection
23	(b); or

- 1 "(ii) the Secretary determines such update 2 would not result in improved health or safety
- 3 for specifically designated employees.
- 4 "(2) In the event of conflict among any such stand-
- 5 ards, including national consensus standards, or in the
- 6 event of a consolidation of national consensus standards,
- 7 the Secretary shall promulgate the standard which assures
- 8 the greatest protection of the safety or health of the af-
- 9 fected employees.
- 10 "(3) No standard, rule, or regulation promulgated
- 11 under this Act, on or after the date of enactment of the
- 12 Protecting America's Workers Act, shall reduce the pro-
- 13 tection afforded by a health or safety standard, rule, regu-
- 14 lation, or national consensus standard in effect on the day
- 15 before the date of enactment of such Act.".
- 16 SEC. 303. POSTING OF EMPLOYEE RIGHTS.
- 17 Section 8(c)(1) (29 U.S.C. 657(c)(1)) is amended by
- 18 adding at the end the following new sentence: "Such regu-
- 19 lations shall include provisions requiring employers to post
- 20 for employees or other individuals performing work for the
- 21 employer information on the protections afforded under
- 22 section 11(c).".

1	SEC. 304. EMPLOYER REPORTING OF WORK-RELATED INJU-
2	RIES, ILLNESSES, DEATHS, AND HOS-
3	PITALIZATIONS; PROHIBITION ON DISCOUR-
4	AGING EMPLOYEE REPORTING.
5	Section $8(c)(2)$ (29 U.S.C. $657(c)(2)$ ) is amended by
6	adding at the end the following new sentences: "Such reg-
7	ulations shall require site-controlling employers to keep a
8	site log for all recordable injuries and illnesses occurring
9	among all employees on the particular site, including em-
10	ployees of the site-controlling employer or others who are
11	performing work at the particular site (including inde-
12	pendent contractors). Such regulations shall require em-
13	ployers to promptly notify the Secretary of any work-re-
14	lated death or work-related injury or illness that results
15	in the in-patient hospitalization of an employee for medical
16	treatment, amputation, or loss of an eye, and shall pro-
17	hibit the employer from adopting or implementing policies
18	or practices by the employer that have the effect of dis-
19	couraging accurate recordkeeping and the reporting of
20	work-related injuries or illnesses by any employee or in
21	any manner discriminates or provides for adverse action
22	against any employee for reporting a work-related injury
23	or illness. For purposes of this paragraph, the term 'site-
24	controlling employer' means the employer that has pri-
25	mary control over a work site at which employees of more

- 1 than one employer work, such as by hiring or coordinating
- 2 the work of other employers working at the site.".
- 3 SEC. 305. NO LOSS OF EMPLOYEE PAY FOR INSPECTIONS.
- 4 Section 8(e) (29 U.S.C. 657(e)) is amended by insert-
- 5 ing after the first sentence the following: "Time spent by
- 6 an employee participating in or aiding any such inspection
- 7 shall be deemed to be hours worked and no employee shall
- 8 suffer any loss of wages, benefits, or other terms and con-
- 9 ditions of employment for having participated in or aided
- 10 any such inspection.".
- 11 SEC. 306. INVESTIGATIONS OF FATALITIES AND SIGNIFI-
- 12 CANT INCIDENTS.
- 13 Section 8 (29 U.S.C. 657) is amended by adding at
- 14 the end the following new subsection:
- 15 "(i) Investigation of Fatalities and Serious
- 16 Incidents.—
- 17 "(1) IN GENERAL.—The Secretary shall investigate
- 18 any significant incident or an incident resulting in death
- 19 that occurs in a place of employment.
- 20 "(2) EVIDENCE PRESERVATION.—If a significant in-
- 21 cident or an incident resulting in death occurs in a place
- 22 of employment, the employer shall promptly notify the
- 23 Secretary of the incident involved and shall take appro-
- 24 priate measures to prevent the destruction or alteration
- 25 of any evidence that would assist in investigating the inci-

- 1 dent. The appropriate measures required by this para-
- 2 graph do not prevent an employer from taking action on
- 3 a worksite to prevent injury to employees or substantial
- 4 damage to property or to avoid disruption of essential
- 5 services necessary to public safety, provided that if an em-
- 6 ployer takes such action, the employer shall notify the Sec-
- 7 retary of the action in a timely fashion.
- 8 "(3) Definitions.—In this subsection:
- 9 "(A) INCIDENT RESULTING IN DEATH.—The
- term 'incident resulting in death' means an incident
- that results in the death of an employee.
- 12 "(B) SIGNIFICANT INCIDENT.—The term 'sig-
- 13 nificant incident' means an incident that results in
- the in-patient hospitalization of 2 or more employees
- for medical treatment.".
- 16 SEC. 307. PROHIBITION ON UNCLASSIFIED CITATIONS.
- 17 Section 9 (29 U.S.C. 658) is amended by adding at
- 18 the end the following:
- 19 "(d) No citation for a violation of this Act may be
- 20 issued, modified, or settled under this section without a
- 21 designation enumerated in section 17 with respect to such
- 22 violation.".

#### 1 SEC. 308. VICTIMS' RIGHTS.

- 2 The Occupational Safety and Health Act of 1970 is
- 3 amended by inserting after section 9 (29 U.S.C. 658) the
- 4 following:

#### 5 "SEC. 9A. VICTIMS' RIGHTS.

- 6 "(a) RIGHTS BEFORE THE SECRETARY.—A victim,
- 7 or the representative of a victim, shall be afforded the
- 8 right, with respect to an inspection or investigation con-
- 9 ducted under section 8 to—
- "(1) meet with the Secretary regarding the in-
- spection or investigation conducted under such sec-
- tion before the Secretary's decision to issue a cita-
- tion or take no action;
- 14 "(2) receive, at no cost, a copy of any citation
- or report, issued as a result of such inspection or in-
- vestigation, at the same time as the employer re-
- 17 ceives such citation or report;
- 18 "(3) be informed of any notice of contest or ad-
- dition of parties to the proceedings filed under sec-
- tion 10(c); and
- 21 "(4) be provided notification of the date and
- 22 time or any proceedings, service of pleadings, and
- other relevant documents, and an explanation of the
- rights of the employer, employee and employee rep-
- resentative, and victim to participate in proceedings
- 26 conducted under section 10(c).

1	"(b) Rights Before the Commission.—Upon re-
2	quest, a victim or representative of a victim shall be af-
3	forded the right with respect to a work-related bodily in-
4	jury or death to—
5	"(1) be notified of the time and date of any
6	proceeding before the Commission;
7	"(2) receive pleadings and any decisions relat-
8	ing to the proceedings; and
9	"(3) be provided an opportunity to appear and
10	make a statement in accordance with the rules pre-
11	scribed by the Commission.
12	"(c) Modification of Citation.—Before entering
13	into an agreement to withdraw or modify a citation issued
14	as a result of an inspection or investigation of an incident
15	under section 8, the Secretary shall notify a victim or rep-
16	resentative of a victim and provide the victim or represent-
17	ative of a victim with an opportunity to appear and make
18	a statement before the parties conducting settlement nego-
19	tiations. In lieu of an appearance, the victim or represent-
20	ative of the victim may elect to submit a letter to the Sec-
21	retary and the parties.
22	"(d) Secretary Procedures.—The Secretary shall
23	establish procedures—
24	"(1) to inform victims of their rights under this
25	section; and

1	"(2) for the informal review of any claim of a
2	denial of such a right.
3	"(e) Commission Procedures and Consider-
4	ATIONS.—The Commission shall—
5	"(1) establish procedures relating to the rights
6	of victims to be heard in proceedings before the
7	Commission; and
8	"(2) in rendering any decision, provide due con-
9	sideration to any statement or information provided
10	by any victim before the Commission.
11	"(f) Family Liaisons.—The Secretary shall des-
12	ignate at least 1 employee at each area office of the Occu-
13	pational Safety and Health Administration to serve as a
14	family liaison to—
15	"(1) keep victims informed of the status of in-
16	vestigations, enforcement actions, and settlement ne-
17	gotiations; and
18	"(2) assist victims in asserting their rights
19	under this section.
20	"(g) Definition.—In this section, the term 'victim'
21	means—
22	"(1) an employee, including a former employee,
23	who has sustained a work-related injury or illness
24	that is the subject of an inspection or investigation
25	conducted under section 8; or

1	"(2) a family member (as further defined by
2	the Secretary) of a victim described in paragraph
3	(1), if—
4	"(A) the victim dies as a result of an inci-
5	dent that is the subject of an inspection or in-
6	vestigation conducted under section 8; or
7	"(B) the victim sustains a work-related in-
8	jury or illness that is the subject of an inspec-
9	tion or investigation conducted under section 8,
10	and the victim because of incapacity cannot rea-
11	sonably exercise the rights under this section.".
12	SEC. 309. RIGHT TO CONTEST CITATIONS AND PENALTIES.
13	Section 10(c) (29 U.S.C. 659(c)) is amended—
14	(1) in the first sentence—
15	(A) by inserting after "that he intends to
16	contest a citation issued under section (9)(a)"
17	the following: "(or a modification of a citation
18	issued under this section)";
19	(B) by inserting after "the issuance of a
20	citation under section 9(a)" the following: "(in-
21	cluding a modification of a citation issued
22	under such section)"; and
23	(C) by inserting after "files a notice with
24	the Secretary alleging" the following: "that the
25	citation fails to properly designate the violation

1	as serious, willful, or repeated, that the pro-
2	posed penalty is not adequate, or";
3	(2) by inserting after the first sentence, the fol-
4	lowing: "The pendency of a contest before the Com-
5	mission shall not bar the Secretary from inspecting
6	a place of employment or from issuing a citation
7	under section 9."; and
8	(3) in the last sentence—
9	(A) by inserting "employers and" after
10	"Commission shall provide"; and
11	(B) by inserting before the period at the
12	end ", and notification of any modification of a
13	citation".
14	SEC. 310. CORRECTION OF SERIOUS, WILLFUL, OR RE-
15	PEATED VIOLATIONS PENDING CONTEST AND
16	DDOCEDLIDES FOR A STRAY
	PROCEDURES FOR A STAY.
17	Section 10 (29 U.S.C. 659) is amended by adding
	Section 10 (29 U.S.C. 659) is amended by adding
18	Section 10 (29 U.S.C. 659) is amended by adding at the end the following:
18 19	Section 10 (29 U.S.C. 659) is amended by adding at the end the following:  "(d) Correction of Serious, Willful, or Re-
18 19 20	Section 10 (29 U.S.C. 659) is amended by adding at the end the following:  "(d) Correction of Serious, Willful, or Repeated Violations Pending Contest and Proce-
18 19 20 21	Section 10 (29 U.S.C. 659) is amended by adding at the end the following:  "(d) Correction of Serious, Willful, or Repeated Violations Pending Contest and Procedures for a Stay.—
18 19 20 21 22	Section 10 (29 U.S.C. 659) is amended by adding at the end the following:  "(d) Correction of Serious, Willful, or Repeated Violations Pending Contest and Procedures for a Stay.—  "(1) Period Permitted for Correction of

1	the correction of the violation shall begin to run
2	upon receipt of the citation.
3	"(2) FILING OF A MOTION OF CONTEST.—The
4	filing of a notice of contest by an employer—
5	"(A) shall not operate as a stay of the pe-
6	riod for correction of a violation designated as
7	serious, willful, or repeated; and
8	"(B) may operate as a stay of the period
9	for correction of a violation not designated by
10	the Secretary as serious, willful, or repeated.
11	"(3) Criteria and rules of procedure for
12	STAYS.—
13	"(A) MOTION FOR A STAY.—An employer
14	that receives a citation alleging a violation des-
15	ignated as serious, willful, or repeated and that
16	files a notice of contest to the citation asserting
17	that the time set for abatement of the alleged
18	violation is unreasonable or challenging the ex-
19	istence of the alleged violation may file with the
20	Commission a motion to stay the period for the
21	abatement of the violation.
22	"(B) Criteria.—In determining whether
23	a stay should be issued on the basis of a motion
24	filed under subparagraph (A), the Commission

1	may grant a stay only if the employer has dem-
2	onstrated—
3	"(i) a substantial likelihood of success
4	on the areas contested under subparagraph
5	(A); and
6	"(ii) that a stay will not adversely af-
7	fect the health and safety of workers.
8	"(C) Rules of Procedure.—The Com-
9	mission shall develop rules of procedure for con-
10	ducting a hearing on a motion filed under sub-
11	paragraph (A) on an expedited basis. At a min-
12	imum, such rules shall provide the following:
13	"(i) That a hearing before an admin-
14	istrative law judge shall occur not later
15	than 15 days following the filing of the
16	motion for a stay (unless extended at the
17	request of the employer), and shall provide
18	for a decision on the motion not later than
19	15 days following the hearing (unless ex-
20	tended at the request of the employer).
21	"(ii) That a decision of an administra-
22	tive law judge on a motion for stay is ren-
23	dered on a timely basis.
24	"(iii) That if a party is aggrieved by
25	a decision issued by an administrative law

1 judge regarding the stay, such party has 2 the right to file an objection with the Com-3 mission not later than 5 days after receipt 4 of the administrative law judge's decision. Within 10 days after receipt of the objec-6 tion, a Commissioner, if a quorum is seat-7 ed pursuant to section 12(f), shall decide 8 whether to grant review of the objection. 9 If, within 10 days after receipt of the ob-10 jection, no decision is made on whether to 11 review the decision of the administrative 12 law judge, the Commission declines to re-13 view such decision, or no quorum is seated, 14 the decision of the administrative law 15 judge shall become a final order of the 16 Commission. If the Commission grants re-17 view of the objection, the Commission shall 18 issue a decision regarding the stay not 19 later than 30 days after receipt of the ob-20 jection. If the Commission fails to issue 21 such decision within 30 days, the decision of the administrative law judge shall be-22 23 come a final order of the Commission.

> "(iv) For notification to employees or representatives of affected employees of re-

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1	quests for such hearings and shall provide
2	affected employees or representatives of af-
3	fected employees an opportunity to partici-
4	pate as parties to such hearings.".
5	SEC. 311. INACTION BY THE REVIEW COMMISSION.
6	Section 10 (29 U.S.C. 659), as amended by section
7	310, is further amended by adding at the end the fol-
8	lowing:
9	"(e) Inaction by Review Commission.—
10	"(1) In general.—A petition for review of a
11	decision or order issued by an administrative law
12	judge that has been filed in a timely manner, but for
13	which the Commission has failed to issue a final de-
14	cision and order after 1 year of the acceptance of
15	such petition because the Commission lacks a
16	quorum, the decision or order for which such peti-
17	tion has been filed—
18	"(A) shall be deemed a final decision or
19	order of the Commission; and
20	"(B) may be appealed pursuant to section
21	11(a).
22	"(2) Exception.—Paragraph (1) shall not
23	apply with respect to motions to stay filed under
24	subsection $(d)(3)$ "

## SEC. 312. CONFORMING AMENDMENTS.

2	(a)	VIOLATIONS	DESIGNATED	AS	SERIOUS,	Will-

- 3 FUL, OR REPEATED.—The first sentence of section 10(b)
- 4 (29 U.S.C. 659(b)) is amended by inserting ", with the
- 5 exception of violations designated as serious, willful, or re-
- 6 peated," after "(which period shall not begin to run".
- 7 (b) Judicial Review.—The first sentence of section
- 8 11(a) (29 U.S.C. 660(a)) is amended—
- 9 (1) by inserting "(or the failure of the Commis-
- sion, including an administrative law judge, to make
- a timely decision on a petition for a stay or other
- review)" after "an order of the Commission";
- 13 (2) by striking "subsection (c)" and inserting
- "subsection (c), (d), or (e); and
- 15 (3) by inserting "(or in the case of a petition
- 16 from a final Commission order regarding a stay
- under section 10(d), 15 days)" after "sixty days".
- 18 (c) Failure To Correct Violations.—Section
- 19 17(d) (29 U.S.C. 666(d)) is amended to read as follows:
- 20 "(d) Any employer who fails to correct a violation
- 21 designated by the Secretary as serious, willful, or repeated
- 22 and for which a citation has been issued under section 9(a)
- 23 within the period permitted for its correction (and a stay
- 24 has not been issued by the Commission under section
- 25 10(d)) may be assessed a civil penalty of not more than
- 26 \$7,000 for each day during which such failure or violation

continues. Any employer who fails to correct any other violation for which a citation has been issued under section 3 9(a) within the period permitted for its correction (which 4 period shall not begin to run until the date of the final order of the Commission in the case of any review proceeding under section 10 initiated by the employer in good faith and not solely for delay of avoidance of penalties) 8 may be assessed a civil penalty of not more than \$7,000 for each day during which such failure or violation con-10 tinues.". SEC. 313. CIVIL PENALTIES. (a) In General.—Section 17 (29 U.S.C. 666) is 12 13 amended— 14 (1) in subsection (a)— 15 (A) by striking "\$70,000" and inserting "\$126,749"; 16 17 (B) by striking "\$5,000" and inserting 18 "\$9,054"; and 19 (C) by adding at the end the following: "In 20 determining whether a violation is repeated, the 21 Secretary or the Commission shall consider the 22 employer's history of violations under this Act 23 and under State occupational safety and health 24 plans established under section 18. If such a

willful or repeated violation caused or contrib-

25

uted to the death of an employee, such civil penalty amounts shall be increased to not more than \$250,000 for each such violation, but not less than \$50,000 for each such violation, except that for an employer with 25 or fewer employees such penalty shall not be less than \$25,000 for each such violation.";

## (2) in subsection (b)—

- (A) by striking "\$7,000" and inserting "\$12,675"; and
- (B) by adding at the end the following: "If such a violation caused or contributed to the death of an employee, such civil penalty amounts shall be increased to not more than \$50,000 for each such violation, but not less than \$20,000 for each such violation, except that for an employer with 25 or fewer employees such penalty shall not be less than \$10,000 for each such violation.";
- (3) in subsection (c), by striking "\$7,000" and inserting "\$12,675";
- (4) in subsection (d), as amended by section 312(c), by striking "\$7,000" each place it occurs and inserting "\$12,675";

- 1 (5) by redesignating subsections (e) through (i)
- as subsections (f) through (j), and subsections (j)
- through (l) as subsections (l) through (n) respec-
- 4 tively; and
- 5 (6) in subsection (j) (as so redesignated) by
- 6 striking "\$7,000" and inserting "\$12,000".
- 7 (b) Inflation Adjustment.—Section 17, as
- 8 amended by subsection (a), is further amended by insert-
- 9 ing after subsection (d) the following:
- 10 "(e) Amounts provided under this section for civil
- 11 penalties shall be adjusted by the Secretary once each
- 12 year, not later than January 15 of such year, to account
- 13 for any percentage increase or decrease in the Consumer
- 14 Price Index for all urban consumers, and consistent with
- 15 the requirements of the Federal Civil Penalties Inflation
- 16 Adjustment Act of 1990 (28 U.S.C. 2461 note).".
- 17 SEC. 314. CRIMINAL PENALTIES.
- 18 (a) IN GENERAL.—Section 17 (29 U.S.C. 666), as
- 19 amended by section 313, is further amended—
- 20 (1) by amending subsection (f) (as redesignated
- by section 313(a)(5)) to read as follows:
- (f)(1) Any employer who knowingly violates any
- 23 standard, rule, or order promulgated under section 6 of
- 24 this Act, or of any regulation prescribed under this Act,
- 25 and that violation caused or significantly contributed to

- 1 the death of any employee, shall, upon conviction, be pun-
- 2 ished by a fine in accordance with title 18, United States
- 3 Code, or by imprisonment for not more than 10 years, or
- 4 both, except that if the conviction is for a violation com-
- 5 mitted after a first conviction of such person under this
- 6 subsection or subsection (j), punishment shall be by a fine
- 7 in accordance with title 18, United States Code, or by im-
- 8 prisonment for not more than 20 years, or by both.
- 9 "(2) For the purpose of this subsection, the term 'em-
- 10 ployer' means, in addition to the definition contained in
- 11 section 3 of this Act, any officer or director.";
- 12 (2) by amending subsection (g) (as redesignated
- by section 313(a)(5)) to read as follows:
- 14 "(g) Unless otherwise authorized by this Act, any
- 15 person that knowingly gives, causes to give, or attempts
- 16 to give or cause to give, advance notice of any inspection
- 17 conducted under this Act with the intention of impeding,
- 18 interfering with, or adversely affecting the results of such
- 19 inspection, shall be fined under title 18, United States
- 20 Code, imprisoned for not more than 5 years, or both.";
- 21 (3) in subsection (h) (as redesignated by section
- 313(a)(5)), by striking "fine of not more than
- \$10,000, or by imprisonment for not more than six
- 24 months," and inserting "fine in accordance with title

- 1 18, United States Code, or by imprisonment for not
- 2 more than 5 years,"; and
- 3 (4) by inserting after subsection (j) (as redesig-
- 4 nated by section 313(a)(5)) the following:
- 5 "(k)(1) Any employer who knowingly violates any
- 6 standard, rule, or order promulgated under section 6, or
- 7 any regulation prescribed under this Act, and that viola-
- 8 tion caused or significantly contributed to serious bodily
- 9 harm to any employee but does not cause death to any
- 10 employee, shall, upon conviction, be punished by a fine in
- 11 accordance with title 18, United States Code, or by impris-
- 12 onment for not more than 5 years, or by both, except that
- 13 if the conviction is for a violation committed after a first
- 14 conviction of such person under this subsection or sub-
- 15 section (f), punishment shall be by a fine in accordance
- 16 with title 18, United States Code, or by imprisonment for
- 17 not more than 10 years, or by both.
- 18 "(2) For the purpose of this subsection, the term 'em-
- 19 ployer' means, in addition to the definition contained in
- 20 section 3 of this Act, any officer or director.
- 21 "(3) For purposes of this subsection, the term 'seri-
- 22 ous bodily harm' means bodily injury or illness that in-
- 23 volves—
- 24 "(A) a substantial risk of death;
- 25 "(B) protracted unconsciousness;

- 1 "(C) protracted and obvious physical disfigure-
- 2 ment; or
- 3 "(D) protracted loss or impairment, either tem-
- 4 porary or permanent, of the function of a bodily
- 5 member, organ, or mental faculty.".
- 6 (b) Jurisdiction for Prosecution Under State
- 7 AND LOCAL CRIMINAL LAWS.—Section 17 (29 U.S.C.
- 8 666), as amended by subsection (a), is further amended
- 9 by adding at the end the following:
- 10 "(o) Nothing in this Act shall preclude a State or
- 11 local law enforcement agency from conducting criminal
- 12 prosecutions in accordance with the laws of such State or
- 13 locality.".
- 14 SEC. 315. PREJUDGMENT INTEREST.
- 15 Section 17(n) (29 U.S.C. 666(n)) (as redesignated by
- 16 section 313(a)(5)) is amended by adding at the end the
- 17 following: "Pre-final order interest on such penalties shall
- 18 begin to accrue on the date the party contests a citation
- 19 issued under this Act, and shall end upon the issuance
- 20 of the final order. Such pre-final order interest shall be
- 21 calculated at the current underpayment rate determined
- 22 by the Secretary of the Treasury pursuant to section 6621
- 23 of the Internal Revenue Code of 1986, and shall be com-
- 24 pounded daily. Post-final order interest shall begin to ac-
- 25 crue 30 days after the date a final order of the Commis-

1	sion or the court is issued, and shall be charged at the
2	rate of 8 percent per year.".
3	TITLE IV—STATE PLANS
4	SEC. 401. CONCURRENT ENFORCEMENT AUTHORITY AND
5	REVIEW OF STATE OCCUPATIONAL SAFETY
6	AND HEALTH PLANS.
7	Section 18 (29 U.S.C. 667) is amended—
8	(1) by amending subsection (f) to read as fol-
9	lows:
10	"(f)(1) The Secretary shall, on the basis of reports
11	submitted by the State agency and the Secretary's own
12	inspections, make a continuing evaluation of the manner
13	in which each State that has a plan approved under this
14	section is carrying out such plan. Such evaluation shall
15	include an assessment of whether the State continues to
16	meet the requirements of subsection (c) of this section and
17	any other criteria or indices of effectiveness specified by
18	the Secretary in regulations. Whenever the Secretary
19	finds, on the basis of such evaluation, that in the adminis-
20	tration of the State plan there is a failure to comply sub-
21	stantially with any provision of the State plan (or any as-
22	surance contained therein), the Secretary shall make an
23	initial determination of whether the failure is of such a
24	nature that the plan should be withdrawn or whether the
25	failure is of such a nature that the State should be given

- 1 the opportunity to remedy the deficiencies, and provide no-
- 2 tice of the Secretary's findings and initial determination.
- 3 "(2) If the Secretary makes an initial determination
- 4 to reassert and exercise concurrent enforcement authority
- 5 while the State is given an opportunity to remedy the defi-
- 6 ciencies, the Secretary shall afford the State an oppor-
- 7 tunity for a public hearing within 15 days of such request,
- 8 provided that such request is made not later than 10 days
- 9 after the Secretary's notice to the State. The Secretary
- 10 shall review and consider the testimony, evidence, or writ-
- 11 ten comments, and not later than 30 days following such
- 12 hearing, make a determination to affirm, reverse, or mod-
- 13 ify the Secretary's initial determination to reassert and ex-
- 14 ercise concurrent enforcement authority under sections 8,
- 15 9, 10, 13, and 17 with respect to standards promulgated
- 16 under section 6 and obligations under section 5(a). Fol-
- 17 lowing such a determination by the Secretary, or in the
- 18 event that the State does not request a hearing within the
- 19 timeframe set forth in this paragraph, the Secretary may
- 20 reassert and exercise such concurrent enforcement author-
- 21 ity, while a final determination is pending under para-
- 22 graph (3) or until the Secretary has determined that the
- 23 State has remedied the deficiencies as provided under
- 24 paragraph (4). Such determination shall be published in
- 25 the Federal Register. The procedures set forth in sub-

- 1 section (g) shall not apply to a determination by the Sec-
- 2 retary to reassert and exercise such concurrent enforce-
- 3 ment authority.
- 4 "(3) If the Secretary makes an initial determination
- 5 that the plan should be withdrawn, the Secretary shall
- 6 provide due notice and the opportunity for a hearing. If
- 7 based on the evaluation, comments, and evidence, the Sec-
- 8 retary makes a final determination that there is a failure
- 9 to comply substantially with any provision of the State
- 10 plan (or any assurance contained therein), the Secretary
- 11 shall notify the State agency of the withdrawal of approval
- 12 of such plan and upon receipt of such notice such plan
- 13 shall cease to be in effect, but the State may retain juris-
- 14 diction in any case commenced before the withdrawal of
- 15 the plan in order to enforce standards under the plan
- 16 whenever the issues involved do not relate to the reasons
- 17 for the withdrawal of the plan.
- 18 "(4) If the Secretary makes a determination that the
- 19 State should be provided the opportunity to remedy the
- 20 deficiencies, the Secretary shall provide the State an op-
- 21 portunity to respond to the Secretary's findings and the
- 22 opportunity to remedy such deficiencies within a time pe-
- 23 riod established by the Secretary, not to exceed 1 year.
- 24 The Secretary may extend and revise the time period to
- 25 remedy such deficiencies, if the State's legislature is not

- 1 in session during this 1-year time period, or if the State
- 2 demonstrates that it is not feasible to correct the defi-
- 3 ciencies in the time period set by the Secretary, and the
- 4 State has a plan to correct the deficiencies within a rea-
- 5 sonable time period. If the Secretary finds that the State
- 6 agency has failed to remedy such deficiencies within the
- 7 time period specified by the Secretary and that the State
- 8 plan continues to fail to comply substantially with a provi-
- 9 sion of the State plan, the Secretary shall withdraw the
- 10 State plan as provided for in paragraph (3)."; and
- 11 (2) by adding at the end the following new sub-
- section:
- 13 "(i) Not later than 18 months after the date of enact-
- 14 ment of this subsection, and again 5 years thereafter, the
- 15 Comptroller General of the United States shall complete
- 16 and issue a review of the effectiveness of State plans to
- 17 develop and enforce safety and health standards to deter-
- 18 mine if they are at least as effective as the Federal pro-
- 19 gram and to evaluate whether the Secretary's oversight
- 20 of State plans is effective. The Comptroller General's eval-
- 21 uation shall assess—
- 22 "(1) the effectiveness of the Secretary's over-
- sight of State plans, including the indices of effec-
- 24 tiveness used by the Secretary;

- 1 "(2) whether the Secretary's investigations in 2 response to Complaints About State Program Ad-3 ministration (CASPA) are adequate, whether signifi-4 cant policy issues have been identified by head-5 quarters, and whether corrective actions are fully 6 implemented by each State; "(3) whether the formula for the distribution of 7 8 funds described in section 23(g) to State programs 9 is fair and adequate; and "(4) whether State plans are as effective as the 10 11 Federal program in preventing occupational injuries, 12 illnesses and deaths, and investigating discrimina-13 tion complaints, through an evaluation of at least 20 14 percent of approved State plans, and which shall 15 cover— "(A) enforcement effectiveness, including 16 17 handling of fatalities, serious incidents and 18 complaints, compliance with inspection proce-19 dures, hazard recognition, verification of abate-20 ment, violation classification, citation and pen-21 alty issuance, including appropriate use of will-
- 24 "(B) inspections, the number of pro-25 grammed health and safety inspections at pri-

ful and repeat citations, and employee involve-

ment;

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vate and public sector establishments, and whether the State targets the highest hazard private sector worksites and facilities in that State;

- "(C) budget and staffing, including whether the State is providing adequate budget resources to hire, train, and retain sufficient numbers of qualified staff, including timely filling of vacancies;
- "(D) administrative review, including the quality of decisions, consistency with Federal precedence, transparency of proceedings, availability of decisions and records to the public, adequacy of State defense, and whether the State appropriately appeals adverse decisions;
- "(E) anti-discrimination, including whether discrimination complaints are processed in a timely manner, whether supervisors and investigators are properly trained to investigate discrimination complaints, whether a case file review indicates merit cases are properly identified consistent with Federal policy and procedure, whether employees are notified of their rights, and whether there is an effective process

1	for employees to appeal the dismissal of a com-
2	plaint;
3	"(F) program administration, including
4	whether the State's standards and policies are
5	at least as effective as the Federal program and
6	are updated in a timely manner, and whether
7	National Emphasis Programs that are applica-
8	ble in such States are adopted and implemented
9	in a manner that is at least as effective as the
10	Federal program;
11	"(G) whether the State plan satisfies the
12	requirements for approval set forth in this sec-
13	tion and its implementing regulations; and
14	"(H) other such factors identified by the
15	Comptroller General, or as requested by the
16	Committee on Education and the Workforce of
17	the House of Representatives or the Committee
18	on Health, Education, Labor, and Pensions of
19	the Senate.".
20	SEC. 402. EVALUATION OF REPEATED VIOLATIONS IN
21	STATE PLANS.
22	Section 18(c) (29 U.S.C. 668(c)) is amended—
23	(1) in paragraph (7), by striking ", and" and
24	inserting a comma;

1	(2) in paragraph (8), by striking the period at
2	the end and inserting ", and"; and
3	(3) by adding at the end the following new
4	paragraph:
5	"(9) provides that in determining whether a
6	violation is repeated, the State shall consider the
7	employer's violations within the State, in conjunction
8	with the employer's history of violations under other
9	States' occupational safety and health plans ap-
10	proved by the Secretary and the employer's history
11	of violations in those States where the Secretary has
12	jurisdiction under this Act, in a manner that is at
13	least as effective as provided under section 17.".
14	TITLE V—NATIONAL INSTITUTE
15	FOR OCCUPATIONAL SAFETY
16	AND HEALTH
17	SEC. 501. HEALTH HAZARD EVALUATIONS BY THE NA-
18	TIONAL INSTITUTE FOR OCCUPATIONAL
19	SAFETY AND HEALTH.
20	Section $20(a)(6)$ (29 U.S.C. $669(a)(6)$ ) is amended
21	by striking the second sentence and inserting the fol-
22	lowing: "The Secretary shall determine following a written
23	request by any employer, authorized representative of cur-
24	rent or former employees, physician, other Federal agency,
	Tent of former employees, physician, other I eachar agency,

- 1 sonable particularity the grounds on which the request is
- 2 made, whether any substance normally found in the place
- 3 of employment has potentially toxic effects in such con-
- 4 centrations as used or found, or whether any physical
- 5 agents, equipment, or working condition found or used has
- 6 potentially hazardous effects. The Secretary shall submit
- 7 such determination both to employers and affected em-
- 8 ployees as soon as possible.".

## 9 TITLE VI—EFFECTIVE DATE

- 10 SEC. 601. EFFECTIVE DATE.
- 11 (a) GENERAL RULE.—Except as provided for in sub-
- 12 section (b), this Act and the amendments made by this
- 13 Act shall take effect not later than 90 days after the date
- 14 of the enactment of this Act.
- 15 (b) Exception for States and Political Sub-
- 16 DIVISIONS.—The following are exceptions to the effective
- 17 date described in subsection (a):
- 18 (1) A State that has a State plan approved
- under section 18 of the Occupational Safety and
- Health Act of 1970 (29 U.S.C. 667) shall amend its
- 21 State plan to conform with the requirements of this
- Act and the amendments made by this Act not later
- than 12 months after the date of the enactment of
- this Act. The Secretary of Labor may extend the pe-
- 25 riod for a State to make such amendments to its

State plan by not more than 12 months, if the State's legislature is not in session during the 12-month period beginning with the date of the enactment of this Act. Such amendments to the State plan shall take effect not later than 90 days after the adoption of such amendments by such State.

(2) This Act and the amendments made by this Act shall take effect not later than 36 months after the date of the enactment of this Act with respect to a workplace of a State, or a political subdivision of a State, that does not have a State plan approved under such section 18.

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