

#### 115TH CONGRESS 1ST SESSION

# H.R. 914

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for high gravity violations, to adjust penalties for inflation, to provide rights for victims or their family members, and for other purposes.

## IN THE HOUSE OF REPRESENTATIVES

February 7, 2017

Mr. Courtney (for himself, Mr. Scott of Virginia, Mr. Takano, Mr. Conyers, Mr. Gene Green of Texas, Mr. Pocan, Ms. Shea-Porter, and Ms. Titus) introduced the following bill; which was referred to the Committee on Education and the Workforce

# A BILL

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for high gravity violations, to adjust penalties for inflation, to provide rights for victims or their family members, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Protecting America's Workers Act".

## 1 (b) Table of Contents for

#### 2 this Act is as follows:

Sec. 1. Short title; table of contents.

# TITLE I—COVERAGE OF PUBLIC EMPLOYEES, AUTHORIZED EMPLOYEE REPRESENTATIVES, VOLUNTARY EMERGENCY RESPONDERS, AND APPLICATION OF ACT

- Sec. 101. Coverage of public employees.
- Sec. 102. Authorized employee representatives.
- Sec. 103. Application of Act.

#### TITLE II—INCREASING WHISTLEBLOWER PROTECTIONS

Sec. 201. Enhanced protections from retaliation.

# TITLE III—IMPROVING REPORTING, INSPECTION, AND ENFORCEMENT

- Sec. 301. General duty of employers.
- Sec. 302. Occupational safety and health standards.
- Sec. 303. Posting of employee rights.
- Sec. 304. Employer reporting of work-related injuries, illnesses, deaths and hospitalizations; prohibition on discouraging employee reporting.
- Sec. 305. No loss of employee pay for inspections.
- Sec. 306. Investigations of fatalities and significant incidents.
- Sec. 307. Prohibition on unclassified citations.
- Sec. 308. Victims' rights.
- Sec. 309. Right to contest citations and penalties.
- Sec. 310. Correction of serious, willful, or repeated violations pending contest and procedures for a stay.
- Sec. 311. Inaction by the Review Commission.
- Sec. 312. Conforming amendments.
- Sec. 313. Civil penalties.
- Sec. 314. Criminal penalties.
- Sec. 315. Prejudgment interest.

#### TITLE IV—STATE PLANS

- Sec. 401. Concurrent enforcement authority and review of State occupational safety and health plans.
- Sec. 402. Evaluation of repeated violations in State plans.

# TITLE V—NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

Sec. 501. Health hazard evaluations by the National Institute for Occupational Safety and Health.

#### TITLE VI—EFFECTIVE DATE

Sec. 601. Effective date.

# 1 TITLE I—COVERAGE OF PUBLIC

- 2 **EMPLOYEES, AUTHORIZED**
- 3 EMPLOYEE REPRESENTA-
- 4 TIVES, VOLUNTARY EMER-
- 5 **GENCY RESPONDERS, AND**
- 6 APPLICATION OF ACT
- 7 SEC. 101. COVERAGE OF PUBLIC EMPLOYEES.
- 8 (a) In General.—Section 3(5) of the Occupational
- 9 Safety and Health Act of 1970 (29 U.S.C. 652(5)) is
- 10 amended by striking "but does not include" and all that
- 11 follows through the period at the end and inserting "in-
- 12 cluding the United States, a State, or a political subdivi-
- 13 sion of a State.".
- 14 (b) Construction.—Nothing in this Act shall be
- 15 construed to affect the application of section 18 of the Oc-
- 16 cupational Safety and Health Act of 1970 (29 U.S.C.
- 17 667).
- 18 SEC. 102. AUTHORIZED EMPLOYEE REPRESENTATIVES.
- 19 Section 3 of the Occupational Safety and Health Act
- 20 of 1970 (29 U.S.C. 652) is amended by adding at the end
- 21 the following:
- 22 "(15) AUTHORIZED EMPLOYEE REPRESENTA-
- 23 TIVE.—The term 'authorized employee representa-
- 24 tive'—

| 1  | "(A) means any person or organization                       |
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| 2  | that for the purposes of this Act represents not            |
| 3  | less than one employee at an establishment, fac-            |
| 4  | tory, plant, construction site, or other work-              |
| 5  | place, or other environment where work is per-              |
| 6  | formed by an employee for an employer; and                  |
| 7  | "(B) includes a representative authorized                   |
| 8  | by employees, a representative of employees, or             |
| 9  | any other representative of an employee under               |
| 10 | this Act.".   |
| 11 | SEC. 103. APPLICATION OF ACT.                               |
| 12 | Section 4(b) of the Occupational Safety and Health          |
| 13 | Act of 1970 (29 U.S.C. 653(b)(1)) is amended—               |
| 14 | (1) by redesignating paragraphs (2), (3), and               |
| 15 | (4) as paragraphs (5), (6), and (7), respectively; and      |
| 16 | (2) by striking paragraph (1) and inserting the             |
| 17 | following:  |
| 18 | "(1) If a Federal agency has promulgated and is en-         |
| 19 | forcing a standard or regulation affecting occupational     |
| 20 | safety or health of some or all of the employees within     |
| 21 | that agency's regulatory jurisdiction, and the Secretary    |
| 22 | determines that such a standard or regulation as promul-    |
| 23 | gated and the manner in which the standard or regulation    |
| 24 | is being enforced provides protection to those employees    |
| 25 | that is at least as effective as the protection provided to |

- 1 those employees by this Act and the Secretary's enforce-
- 2 ment of this Act, the Secretary may publish a certification
- 3 notice in the Federal Register. The notice shall set forth
- 4 that determination and the reasons for the determination
- 5 and certify that the Secretary has ceded jurisdiction to
- 6 that Federal agency with respect to the specified standard
- 7 or regulation affecting occupational safety or health. In
- 8 determining whether to cede jurisdiction to a Federal
- 9 agency, the Secretary shall seek to avoid duplication of,
- 10 and conflicts between, health and safety requirements.
- 11 Such certification shall remain in effect unless and until
- 12 rescinded by the Secretary.
- 13 "(2) The Secretary shall, by regulation, establish pro-
- 14 cedures by which any person who may be adversely af-
- 15 fected by a decision of the Secretary certifying that the
- 16 Secretary has ceded jurisdiction to another Federal agency
- 17 pursuant to paragraph (1) may petition the Secretary to
- 18 rescind a certification notice under paragraph (1). Upon
- 19 receipt of such a petition, the Secretary shall investigate
- 20 the matter involved and shall, within 90 days after receipt
- 21 of the petition, publish a decision with respect to the peti-
- 22 tion in the Federal Register.
- 23 "(3) Any person who may be adversely affected by—

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| 1  | "(A) a decision of the Secretary certifying that   |
| 2  | the Secretary has ceded jurisdiction to another Fed-   |
| 3  | eral agency pursuant to paragraph (1); or  |
| 4  | "(B) a decision of the Secretary denying a peti-   |
| 5  | tion to rescind such a certification notice under  |
| 6  | paragraph (1),   |
| 7  | may, not later than 60 days after such decision is pub-  |
| 8  | lished in the Federal Register, file a petition challenging  |
| 9  | such decision with the United States Court of Appeals for  |
| 10 | the circuit in which such person resides or such person  |
| 11 | has a principal place of business, for judicial review of  |
| 12 | such decision. A copy of the petition shall be forthwith   |
| 13 | transmitted by the clerk of the court to the Secretary. The  |
| 14 | Secretary's decision shall be set aside if found to be arbi-   |
| 15 | trary, capricious, an abuse of discretion, or otherwise not  |
| 16 | in accordance with law.  |
| 17 | "(4) Nothing in this Act shall apply to working condi-   |
| 18 | tions covered by the Federal Mine Safety and Health Act  |
| 19 | of 1977 (30 U.S.C. 801 et seq.).".   |
| 20 | TITLE II—INCREASING  |
| 21 | WHISTLEBLOWER PROTECTIONS  |

- 22 SEC. 201. ENHANCED PROTECTIONS FROM RETALIATION.
- 23 (a) Employee Actions.—Section 11(c)(1) of the
- 24 Occupational Safety and Health Act of 1970 (29 U.S.C.
- 25 660(c)(1)) is amended—

(1) by striking "discharge" and all that follows 1 2 through "because such" and inserting the following: 3 "discharge or cause to be discharged, or in any man-4 ner discriminate against or cause to be discriminated 5 against, any employee because— "(A) such"; 6 (2) by striking "this Act or has" and inserting 7 8 the following: "this Act; 9 "(B) such employee has"; 10 (3) by striking "in any such proceeding or because of the exercise" and inserting the following: 11 "before Congress or in any Federal or State pro-12 13 ceeding related to safety or health; 14 "(C) such employee has refused to violate any 15 provision of this Act; or "(D) of the exercise"; and 16 17 (4) by inserting before the period at the end the following: ", including the reporting of any injury, 18 19 illness, or unsafe condition to the employer, agent of 20 the employer, safety and health committee involved, 21 or employee safety and health representative in-22 volved". 23 (b) Prohibition of Retaliation.—Section 11(c) of such Act (29 U.S.C. 660(c)) is amended by striking paragraph (2) and inserting the following:

"(2) Prohibition of Retaliation.—(A) No person shall discharge, or cause to be discharged, or in any manner discriminate against, or cause to be discriminated against, an employee for refusing to perform the employee's duties if the employee has a reasonable apprehension that performing such duties would result in serious injury to, or serious impair-ment of the health of, the employee or other employ-ees.

"(B) For purposes of subparagraph (A), the circumstances causing the employee's good-faith belief that performing such duties would pose a safety or health hazard shall be of such a nature that a reasonable person, under the circumstances confronting the employee, would conclude that there is such a hazard. In order to qualify for protection under this paragraph, the employee, when practicable, shall have communicated or attempted to communicate the safety or health concern to the employer and have not received from the employer a response reasonably calculated to allay such concern.".

22 (c) PROCEDURE.—Section 11(c) of such Act (29 23 U.S.C. 660(c)) is amended by striking paragraph (3) and 24 inserting the following:

| 1  | "(3) Complaint.—Any employee who believes             |
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| 2  | that the employee has been discharged, disciplined,   |
| 3  | or otherwise discriminated against by any person in   |
| 4  | violation of paragraph (1) or (2) may seek relief for |
| 5  | such violation by filing a complaint with the Sec-    |
| 6  | retary under paragraph (5).                           |
| 7  | "(4) Statute of Limitations.—                         |
| 8  | "(A) IN GENERAL.—An employee may take                 |
| 9  | the action permitted by paragraph (3)(A) not          |
| 10 | later than 180 days after the later of—               |
| 11 | "(i) the date on which an alleged vio-                |
| 12 | lation of paragraph (1) or (2) occurs; or             |
| 13 | "(ii) the date on which the employee                  |
| 14 | knows or should reasonably have known                 |
| 15 | that such alleged violation occurred.                 |
| 16 | "(B) Repeat violation.—Except in                      |
| 17 | cases when the employee has been discharged,          |
| 18 | a violation of paragraph (1) or (2) shall be con-     |
| 19 | sidered to have occurred on the last date an al-      |
| 20 | leged repeat violation occurred.                      |
| 21 | "(5) Investigation.—                                  |
| 22 | "(A) In General.—An employee may,                     |
| 23 | within the time period required under para-           |
| 24 | graph (4)(B), file a complaint with the Sec-          |
| 25 | retary alleging a violation of paragraph (1) or       |

| 1  | (2). If the complaint alleges a prima facie case, |
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| 2  | the Secretary shall conduct an investigation of   |
| 3  | the allegations in the complaint, which—          |
| 4  | "(i) shall include—                               |
| 5  | "(I) interviewing the complain-                   |
| 6  | ant;  |
| 7  | "(II) providing the respondent an                 |
| 8  | opportunity to—                                   |
| 9  | "(aa) submit to the Sec-                          |
| 10 | retary a written response to the                  |
| 11 | complaint; and                                    |
| 12 | "(bb) meet with the Sec-                          |
| 13 | retary to present statements from                 |
| 14 | witnesses or provide evidence;                    |
| 15 | and   |
| 16 | "(III) providing the complainant                  |
| 17 | an opportunity to—                                |
| 18 | "(aa) receive any statements                      |
| 19 | or evidence provided to the Sec-                  |
| 20 | retary;   |
| 21 | "(bb) meet with the Sec-                          |
| 22 | retary; and                                       |
| 23 | "(cc) rebut any statements                        |
| 24 | or evidence; and                                  |

| 1  | "(ii) may include issuing subpoenas                  |
|----|--|
| 2  | for the purposes of such investigation.              |
| 3  | "(B) Decision.—Not later than 90 days                |
| 4  | after the filing of the complaint, the Secretary     |
| 5  | shall—   |
| 6  | "(i) determine whether reasonable                    |
| 7  | cause exists to believe that a violation of          |
| 8  | paragraph (1) or (2) has occurred; and               |
| 9  | "(ii) issue a decision granting or de-               |
| 10 | nying relief.  |
| 11 | "(6) Preliminary order following inves-              |
| 12 | TIGATION.—If, after completion of an investigation   |
| 13 | under paragraph (5)(A), the Secretary finds reason-  |
| 14 | able cause to believe that a violation of paragraph  |
| 15 | (1) or (2) has occurred, the Secretary shall issue a |
| 16 | preliminary order providing relief authorized under  |
| 17 | paragraph (14) at the same time the Secretary        |
| 18 | issues a decision under paragraph (5)(B). If a de    |
| 19 | novo hearing is not requested within the time period |
| 20 | required under paragraph (7)(A)(i), such prelimi-    |
| 21 | nary order shall be deemed a final order of the Sec- |
| 22 | retary and is not subject to judicial review.        |
| 23 | "(7) Hearing.—                                       |
| 24 | "(A) Request for hearing.—                           |

| 1  | "(i) In general.—A de novo hearing         |
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| 2  | on the record before an administrative law |
| 3  | judge may be requested—                    |
| 4  | "(I) by the complainant or re-             |
| 5  | spondent within 30 days after receiv-      |
| 6  | ing notification of a decision granting    |
| 7  | or denying relief issued under para-       |
| 8  | graph (5)(B) or paragraph (6) respec-      |
| 9  | tively;                                    |
| 10 | "(II) by the complainant within            |
| 11 | 30 days after the date the complaint       |
| 12 | is dismissed without investigation by      |
| 13 | the Secretary under paragraph (5)(A);      |
| 14 | or   |
| 15 | "(III) by the complainant within           |
| 16 | 120 days after the date of filing the      |
| 17 | complaint, if the Secretary has not        |
| 18 | issued a decision under paragraph          |
| 19 | (5)(B).                                    |
| 20 | "(ii) Reinstatement order.—The             |
| 21 | request for a hearing shall not operate to |
| 22 | stay any preliminary reinstatement order   |
| 23 | issued under paragraph (6).                |
| 24 | "(B) Procedures.—                          |

1 "(i) IN GENERAL.—A hearing re2 quested under this paragraph shall be con3 ducted expeditiously and in accordance
4 with rules established by the Secretary for
5 hearings conducted by administrative law
6 judges.

"(ii) Subpoenas; Production of Evidence.—In conducting any such hearing, the administrative law judge may issue subpoenas. The respondent or complainant may request the issuance of subpoenas that require the deposition of, or the attendance and testimony of, witnesses and the production of any evidence (including any books, papers, documents, or recordings) relating to the matter under consideration.

"(iii) DECISION.—The administrative law judge shall issue a decision not later than 90 days after the date on which a hearing was requested under this paragraph and promptly notify, in writing, the parties and the Secretary of such decision, including the findings of fact and conclusions of law. If the administrative law

judge finds that a violation of paragraph

(1) or (2) has occurred, the judge shall

issue an order for relief under paragraph

(14). If review under paragraph (8) is not

timely requested, such order shall be

deemed a final order of the Secretary that

is not subject to judicial review.

## "(8) ADMINISTRATIVE APPEAL.—

- "(A) IN GENERAL.—Not later than 30 days after the date of notification of a decision and order issued by an administrative law judge under paragraph (7), the complainant or respondent may file, with objections, an administrative appeal with an administrative review body designated by the Secretary (referred to in this paragraph as the 'review board').
- "(B) STANDARD OF REVIEW.—In reviewing the decision and order of the administrative law judge, the review board shall affirm the decision and order if it is determined that the factual findings set forth therein are supported by substantial evidence and the decision and order are made in accordance with applicable law.
- "(C) Decisions.—If the review board grants an administrative appeal, the review

board shall issue a final decision and order affirming or reversing, in whole or in part, the decision under review by not later than 90 days after receipt of the administrative appeal. If it is determined that a violation of paragraph (1) or (2) has occurred, the review board shall issue a final decision and order providing relief authorized under paragraph (14). Such decision and order shall constitute final agency action with respect to the matter appealed.

# "(9) SETTLEMENT IN THE ADMINISTRATIVE PROCESS.—

"(A) IN GENERAL.—At any time before issuance of a final order, an investigation or proceeding under this subsection may be terminated on the basis of a settlement agreement entered into by the parties.

"(B) Public Policy considerations.—
Neither the Secretary, an administrative law judge, nor the review board conducting a hearing under this subsection shall accept a settlement that contains conditions conflicting with the rights protected under this Act or that are contrary to public policy, including a restriction on a complainant's right to future employment

| 1  | with employers other than the specific employ-    |
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| 2  | ers named in a complaint.                         |
| 3  | "(10) Inaction by the review board or ad-         |
| 4  | MINISTRATIVE LAW JUDGE.—                          |
| 5  | "(A) IN GENERAL.—The complainant may              |
| 6  | bring a de novo action described in subpara-      |
| 7  | graph (B) if—                                     |
| 8  | "(i) an administrative law judge has              |
| 9  | not issued a decision and order within the        |
| 10 | 90-day time period required under para-           |
| 11 | graph (7)(B)(iii); or                             |
| 12 | "(ii) the review board has not issued             |
| 13 | a decision and order within the 90-day            |
| 14 | time period required under paragraph              |
| 15 | (8)(C).   |
| 16 | "(B) DE NOVO ACTION.—Such de novo ac-             |
| 17 | tion may be brought at law or equity in the       |
| 18 | United States district court for the district     |
| 19 | where a violation of paragraph (1) or (2) alleg-  |
| 20 | edly occurred or where the complainant resided    |
| 21 | on the date of such alleged violation. The court  |
| 22 | shall have jurisdiction over such action without  |
| 23 | regard to the amount in controversy and to        |
| 24 | order appropriate relief under paragraph (14).    |
| 25 | Such action shall, at the request of either party |

to such action, be tried by the court with a jury.

# "(11) Judicial Review.—

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"(A) TIMELY APPEAL TO THE COURT OF APPEALS.—Any party adversely affected or aggrieved by a final decision and order issued under this subsection may obtain review of such decision and order in the United States Court of Appeals for the circuit where the violation, with respect to which such final decision and order was issued, allegedly occurred or where the complainant resided on the date of such alleged violation. To obtain such review, a party shall file a petition for review not later than 60 days after the final decision and order was issued. Such review shall conform to chapter 7 of title 5, United States Code. The commencement of proceedings under this subparagraph shall not, unless ordered by the court, operate as a stay of the final decision and order.

"(B) LIMITATION ON COLLATERAL ATTACK.—An order and decision with respect to which review may be obtained under subparagraph (A) shall not be subject to judicial review in any criminal or other civil proceeding.

"(12) Enforcement of order.—If a respondent fails to comply with an order issued under this subsection, the Secretary or the complainant on whose behalf the order was issued may file a civil action for enforcement in the United States district court for the district in which the violation was found to occur to enforce such order. If both the Secretary and the complainant file such action, the action of the Secretary shall take precedence. The district court shall have jurisdiction to grant all appropriate relief described in paragraph (14).

## "(13) Burdens of Proof.—

"(A) Criteria for determination.—In making a determination or adjudicating a complaint pursuant to this subsection, the Secretary, administrative law judge, review board, or a court may determine that a violation of paragraph (1) or (2) has occurred only if the complainant demonstrates that any conduct described in paragraph (1) or (2) with respect to the complainant was a contributing factor in the adverse action alleged in the complaint.

"(B) PROHIBITION.—Notwithstanding subparagraph (A), a decision or order that is favorable to the complainant shall not be issued in any administrative or judicial action pursuant to this subsection if the respondent demonstrates by clear and convincing evidence that the respondent would have taken the same adverse action in the absence of such conduct.

## "(14) Relief.—

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"(A) ORDER FOR RELIEF.—If the Secretary, administrative law judge, review board, or a court determines that a violation of paragraph (1) or (2) has occurred, the Secretary, administrative law judge, review board, or court, respectively, shall have jurisdiction to order all appropriate relief, including injunctive relief, compensatory and exemplary damages, including—

"(i) affirmative action to abate the violation;

"(ii) reinstatement without loss of position or seniority, and restoration of the terms, rights, conditions, and privileges associated with the complainant's employment, including opportunities for promotions to positions with equivalent or better compensation for which the complainant is qualified;

| 1  | "(iii) compensatory and consequential             |
|----|---|
| 2  | damages sufficient to make the complain-          |
| 3  | ant whole, (including back pay, prejudg-          |
| 4  | ment interest, and other damages); and            |
| 5  | "(iv) expungement of all warnings,                |
| 6  | reprimands, or derogatory references that         |
| 7  | have been placed in paper or electronic           |
| 8  | records or databases of any type relating         |
| 9  | to the actions by the complainant that            |
| 10 | gave rise to the unfavorable personnel ac-        |
| 11 | tion, and, at the complainant's direction,        |
| 12 | transmission of a copy of the decision on         |
| 13 | the complaint to any person whom the              |
| 14 | complainant reasonably believes may have          |
| 15 | received such unfavorable information.            |
| 16 | "(B) Attorneys' fees and costs.—If                |
| 17 | the Secretary or an administrative law judge,     |
| 18 | review board, or court grants an order for relief |
| 19 | under subparagraph (A), the Secretary, admin-     |
| 20 | istrative law judge, review board, or court, re-  |
| 21 | spectively, shall assess, at the request of the   |
| 22 | employee against the employer—                    |
| 23 | "(i) reasonable attorneys' fees; and              |
| 24 | "(ii) costs (including expert witness             |
| 25 | fees) reasonably incurred, as determined          |

| 1  | by the Secretary, administrative law judge,            |
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| 2  | review board, or court, respectively, in con-          |
| 3  | nection with bringing the complaint upon               |
| 4  | which the order was issued.                            |
| 5  | "(15) Procedural rights.—The rights and                |
| 6  | remedies provided for in this subsection may not be    |
| 7  | waived by any agreement, policy, form, or condition    |
| 8  | of employment, including by any pre-dispute arbitra-   |
| 9  | tion agreement or collective bargaining agreement.     |
| 10 | "(16) Savings.—Nothing in this subsection              |
| 11 | shall be construed to diminish the rights, privileges, |
| 12 | or remedies of any employee who exercises rights       |
| 13 | under any Federal or State law or common law, or       |
| 14 | under any collective bargaining agreement.             |
| 15 | "(17) Election of venue.—                              |
| 16 | "(A) In GENERAL.—An employee of an                     |
| 17 | employer who is located in a State that has a          |
| 18 | State plan approved under section 18 may file          |
| 19 | a complaint alleging a violation of paragraph          |
| 20 | (1) or (2) by such employer with—                      |
| 21 | "(i) the Secretary under paragraph                     |
| 22 | (5); or  |
| 23 | "(ii) a State plan administrator in                    |
| 24 | such State.  |
| 25 | "(B) Referrals.—If—                                    |

| 1  | "(i) the Secretary receives a complaint   |
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| 2  | pursuant to subparagraph (A)(i), the Sec-   |
| 3  | retary shall not refer such complaint to a  |
| 4  | State plan administrator for resolution; or   |
| 5  | "(ii) a State plan administrator re-  |
| 6  | ceives a complaint pursuant to subpara-   |
| 7  | graph (A)(ii), the State plan administrator   |
| 8  | shall not refer such complaint to the Sec-  |
| 9  | retary for resolution.".  |
| 10   | (d) Relation to Enforcement.—Section 17(j) of   |
| 11   | such Act (29 U.S.C. 666(j)) is amended by inserting be-   |
| 12   | fore the period the following: ", including the history of  |
| 13   | violations under section 11(c)".  |
|  |   |
| 14   | TITLE III—IMPROVING REPORT-   |
| <ul><li>14</li><li>15</li></ul>              | ING, INSPECTION, AND EN-  |
|  |   |
| 15   | ING, INSPECTION, AND EN-  |
| 15<br>16<br>17                               | ING, INSPECTION, AND EN-<br>FORCEMENT   |
| 15<br>16<br>17                               | ING, INSPECTION, AND EN-<br>FORCEMENT SEC. 301. GENERAL DUTY OF EMPLOYERS.  |
| 15<br>16<br>17<br>18                         | ING, INSPECTION, AND ENFORCEMENT  SEC. 301. GENERAL DUTY OF EMPLOYERS.  Section 5 of the Occupational Safety and Health Act   |
| 15<br>16<br>17<br>18<br>19                   | ING, INSPECTION, AND ENFORCEMENT  SEC. 301. GENERAL DUTY OF EMPLOYERS.  Section 5 of the Occupational Safety and Health Act of 1970 (29 U.S.C. 654(a)(1)) is amended—   |
| 15<br>16<br>17<br>18<br>19<br>20             | ING, INSPECTION, AND ENFORCEMENT  SEC. 301. GENERAL DUTY OF EMPLOYERS.  Section 5 of the Occupational Safety and Health Act of 1970 (29 U.S.C. 654(a)(1)) is amended—  (1) in subsection (a), by amending paragraph   |
| 15<br>16<br>17<br>18<br>19<br>20<br>21       | ING, INSPECTION, AND ENFORCEMENT  SEC. 301. GENERAL DUTY OF EMPLOYERS.  Section 5 of the Occupational Safety and Health Act of 1970 (29 U.S.C. 654(a)(1)) is amended—  (1) in subsection (a), by amending paragraph (1) to read as follows:   |
| 15<br>16<br>17<br>18<br>19<br>20<br>21<br>22 | ING, INSPECTION, AND ENFORCEMENT  SEC. 301. GENERAL DUTY OF EMPLOYERS.  Section 5 of the Occupational Safety and Health Act of 1970 (29 U.S.C. 654(a)(1)) is amended—  (1) in subsection (a), by amending paragraph (1) to read as follows:  "(1) shall furnish employment and a place of |

| 1  | controls or to which the employer exposes any em-            |
|----|--|
| 2  | ployee of the employer or any other person per-              |
| 3  | forming work at the place of employment; and"; and           |
| 4  | (2) by adding at the end the following new sub-              |
| 5  | section:   |
| 6  | "(c) Each employee or other person exposed to a haz-         |
| 7  | ard in violation of subsection (a) may constitute a separate |
| 8  | violation.".   |
| 9  | SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-              |
| 10 | ARDS.  |
| 11 | Section 6 of the Occupational Safety and Health Act          |
| 12 | of 1970 (29 U.S.C. 655) is amended—                          |
| 13 | (1) in subsection (a)—                                       |
| 14 | (A) by striking "Without regard" and in-                     |
| 15 | serting "(1) Without regard";                                |
| 16 | (B) by striking "chapter 5" and inserting                    |
| 17 | "chapters 5 and 6";  |
| 18 | (C) by striking "shall, as soon as prac-                     |
| 19 | ticable" and inserting the following: "shall—"               |
| 20 | "(A) as soon as practicable";                                |
| 21 | (D) by striking "In the" and inserting the                   |
| 22 | following:   |
| 23 | "(2) In the";  |
| 24 | (E) by striking "designated employees."                      |
| 25 | and inserting "designated employees: and":                   |

| 1  | (F) by adding at the end of paragraph (1)        |
|----|--|
| 2  | (as designated by subparagraph (A)) the fol-     |
| 3  | lowing:  |
| 4  | "(B) not later than 2 years after the effec-     |
| 5  | tive date of section 601(a) of the Protecting    |
| 6  | America's Workers Act, by rule update any na-    |
| 7  | tional consensus standard that has been pro-     |
| 8  | mulgated or incorporated by reference pursuant   |
| 9  | to this subsection, except that such a standard  |
| 10 | shall not be updated pursuant to this subpara-   |
| 11 | graph, if—                                       |
| 12 | "(i) the standard has been superseded            |
| 13 | by a standard promulgated pursuant to            |
| 14 | subsection (b); or                               |
| 15 | "(ii) the Secretary determines such              |
| 16 | update would not result in improved health       |
| 17 | or safety for specifically designated em-        |
| 18 | ployees."; and                                   |
| 19 | (G) in paragraph (2) (as designated by           |
| 20 | subparagraph (D)), by inserting "including na-   |
| 21 | tional consensus standards, or in the event of a |
| 22 | consolidation of national consensus standards,"  |
| 23 | after "conflict among any such standards,";      |
| 24 | and  |
| 25 | (2) by adding at the end the following:          |

- 1 "(h) No standard, rule, or regulation promulgated
- 2 under this Act shall reduce the protection afforded by an
- 3 existing health or safety standard, rule, regulation, or na-
- 4 tional consensus standard.".

#### 5 SEC. 303. POSTING OF EMPLOYEE RIGHTS.

- 6 Section 8(c)(1) f the Occupational Safety and Health
- 7 Act of 1970 (29 U.S.C. 657(c)(1)) is amended by adding
- 8 at the end the following new sentence: "Such regulations
- 9 shall include provisions requiring employers to post for
- 10 employees information on the protections afforded under
- 11 section 11(c).".
- 12 SEC. 304. EMPLOYER REPORTING OF WORK-RELATED INJU-
- 13 RIES, ILLNESSES, DEATHS AND HOSPITALIZA-
- 14 TIONS; PROHIBITION ON DISCOURAGING EM-
- 15 PLOYEE REPORTING.
- Section 8(c)(2) of such Act (29 U.S.C. 657(c)(2)) is
- 17 amended by adding at the end the following new sen-
- 18 tences: "Such regulations shall require site-controlling em-
- 19 ployers to keep a site log for all recordable injuries and
- 20 illnesses occurring among all employees on the particular
- 21 site, including employees of the site-controlling employer
- 22 or others who are performing work at the particular site
- 23 (including independent contractors). Such regulations
- 24 shall require employers to promptly notify the Secretary
- 25 of any work-related death or work-related injury or illness

- 1 that results in the in-patient hospitalization of an em-
- 2 ployee for medical treatment, amputation, or loss of an
- 3 eye, and shall prohibit the employer from adopting or im-
- 4 plementing policies or practices by the employer that have
- 5 the effect of discouraging accurate recordkeeping and the
- 6 reporting of work-related injuries or illnesses by any em-
- 7 ployee or in any manner discriminates or provides for ad-
- 8 verse action against any employee for reporting a work-
- 9 related injury or illness. For purposes of this paragraph,
- 10 the term 'site-controlling employer' means the employer
- 11 that has primary control over a work site at which employ-
- 12 ees of more than one employer work, such as by hiring
- 13 or coordinating the work of other employers working at
- 14 the site.".

### 15 SEC. 305. NO LOSS OF EMPLOYEE PAY FOR INSPECTIONS.

- 16 Section 8(e) of such Act (29 U.S.C. 657(e)) is
- 17 amended by inserting after the first sentence the fol-
- 18 lowing: "Time spent by an employee participating in or
- 19 aiding any such inspection shall be deemed to be hours
- 20 worked and no employee shall suffer any loss of wages,
- 21 benefits, or other terms and conditions of employment for
- 22 having participated in or aided any such inspection.".

#### SEC. 306. INVESTIGATIONS OF FATALITIES AND SIGNIFI-

- 2 CANT INCIDENTS.
- 3 Section 8 of such Act (29 U.S.C. 657) is amended
- 4 by adding at the end the following new subsection:
- 5 "(i) Investigation of Fatalities and Serious
- 6 Incidents.—
- 7 "(1) IN GENERAL.—The Secretary shall inves-8 tigate any significant incident or an incident result-
- 9 ing in death that occurs in a place of employment.
- "(2) EVIDENCE PRESERVATION.—If a signifi-10 11 cant incident or an incident resulting in death oc-12 curs in a place of employment, the employer shall 13 promptly notify the Secretary of the incident in-14 volved and shall take appropriate measures to pre-15 vent the destruction or alteration of any evidence 16 that would assist in investigating the incident. The 17 appropriate measures required by this paragraph do 18 not prevent an employer from taking action on a
- worksite to prevent injury to employees or substantial damage to property or to avoid disruption of es-
- 21 sential services necessary to public safety, provided
- that if an employer takes such action, the employer
- shall notify the Secretary of the action in a timely
- fashion.
- 25 "(3) Definitions.—In this subsection:

| 1  | "(A) Incident resulting in death.—                          |
|----|---|
| 2  | The term 'incident resulting in death' means an             |
| 3  | incident that results in the death of an em-                |
| 4  | ployee.   |
| 5  | "(B) SIGNIFICANT INCIDENT.—The term                         |
| 6  | 'significant incident' means an incident that re-           |
| 7  | sults in the in-patient hospitalization of 2 or             |
| 8  | more employees for medical treatment.".                     |
| 9  | SEC. 307. PROHIBITION ON UNCLASSIFIED CITATIONS.            |
| 10 | Section 9 of the Occupational Safety and Health Act         |
| 11 | of 1970 (29 U.S.C. 658) is amended by adding at the end     |
| 12 | the following:  |
| 13 | "(d) No citation for a violation of this Act may be         |
| 14 | issued, modified, or settled under this section without a   |
| 15 | designation enumerated in section 17 with respect to such   |
| 16 | violation.".  |
| 17 | SEC. 308. VICTIMS' RIGHTS.                                  |
| 18 | The Occupational Safety and Health Act of 1970 is           |
| 19 | amended by inserting after section 9 (29 U.S.C. 658) the    |
| 20 | following:  |
| 21 | "SEC. 9A. VICTIMS' RIGHTS.                                  |
| 22 | "(a) Rights Before the Secretary.—A victim or               |
| 23 | the representative of a victim, shall be afforded the right |
| 24 | with respect to an inspection or investigation conducted    |

25 under section 8 to—

| 1  | "(1) meet with the Secretary regarding the in-             |
|----|--|
| 2  | spection or investigation conducted under such sec-        |
| 3  | tion before the Secretary's decision to issue a cita-      |
| 4  | tion or take no action;                                    |
| 5  | "(2) receive, at no cost, a copy of any citation           |
| 6  | or report, issued as a result of such inspection or in-    |
| 7  | vestigation, at the same time as the employer re-          |
| 8  | ceives such citation or report;                            |
| 9  | "(3) be informed of any notice of contest or ad-           |
| 10 | dition of parties to the proceedings filed under sec-      |
| 11 | tion $10(e)$ ; and   |
| 12 | "(4) be provided notification of the date and              |
| 13 | time or any proceedings, service of pleadings, and         |
| 14 | other relevant documents, and an explanation of the        |
| 15 | rights of the employer, employee and employee rep-         |
| 16 | resentative, and victim to participate in proceedings      |
| 17 | conducted under section 10(c).                             |
| 18 | "(b) Rights Before the Commission.—Upon re-                |
| 19 | quest, a victim or representative of a victim shall be af- |
| 20 | forded the right with respect to a work-related bodily in- |
| 21 | jury or death to—  |
| 22 | "(1) be notified of the time and date of any               |
| 23 | proceeding before the Commission;                          |
| 24 | "(2) receive pleadings and any decisions relat-            |
| 25 | ing to the proceedings; and                                |

| 1  | "(3) be provided an opportunity to appear and                |
|----|--|
| 2  | make a statement in accordance with the rules pre-           |
| 3  | scribed by the Commission.                                   |
| 4  | "(c) Modification of Citation.—Before entering               |
| 5  | into an agreement to withdraw or modify a citation issued    |
| 6  | as a result of an inspection or investigation of an incident |
| 7  | under section 8, the Secretary shall notify a victim or rep- |
| 8  | resentative of a victim and provide the victim or represent- |
| 9  | ative of a victim with an opportunity to appear and make     |
| 10 | a statement before the parties conducting settlement nego-   |
| 11 | tiations. In lieu of an appearance, the victim or represent- |
| 12 | ative of the victim may elect to submit a letter to the Sec- |
| 13 | retary and the parties.                                      |
| 14 | "(d) Secretary Procedures.—The Secretary shall               |
| 15 | establish procedures—  |
| 16 | "(1) to inform victims of their rights under this            |
| 17 | section; and   |
| 18 | "(2) for the informal review of any claim of a               |
| 19 | denial of such a right.                                      |
| 20 | "(e) Commission Procedures and Consider-                     |
| 21 | ATIONS.—The Commission shall—                                |
| 22 | "(1) establish procedures relating to the rights             |
| 23 | of victims to be heard in proceedings before the             |
| 24 | Commission; and  |

| 1  | "(2) in rendering any decision, provide due con-            |
|----|---|
| 2  | sideration to any statement or information provided         |
| 3  | by any victim before the Commission.                        |
| 4  | "(f) Family Liaisons.—The Secretary shall des-              |
| 5  | ignate at least 1 employee at each area office of the Occu- |
| 6  | pational Safety and Health Administration to serve as a     |
| 7  | family liaison to—  |
| 8  | "(1) keep victims informed of the status of in-             |
| 9  | vestigations, enforcement actions, and settlement ne-       |
| 10 | gotiations; and   |
| 11 | "(2) assist victims in asserting their rights               |
| 12 | under this section.   |
| 13 | "(g) Definition.—In this section, the term 'victim'         |
| 14 | means—  |
| 15 | "(1) an employee, including a former employee,              |
| 16 | who has sustained a work-related injury or illness          |
| 17 | that is the subject of an inspection or investigation       |
| 18 | conducted under section 8; or                               |
| 19 | "(2) a family member (as further defined by                 |
| 20 | the Secretary) of a victim described in paragraph           |
| 21 | (1), if—  |
| 22 | "(A) the victim dies as a result of an inci-                |
| 23 | dent that is the subject of an inspection or in-            |
| 24 | vestigation conducted under section 8: or                   |

| 1  | "(B) the victim sustains a work-related in-         |
|----|---|
| 2  | jury or illness that is the subject of an inspec-   |
| 3  | tion or investigation conducted under section 8,    |
| 4  | and the victim because of incapacity cannot rea-    |
| 5  | sonably exercise the rights under this section.".   |
| 6  | SEC. 309. RIGHT TO CONTEST CITATIONS AND PENALTIES. |
| 7  | Section 10(c) of the Occupational Safety and Health |
| 8  | Act of 1970 (29 U.S.C. 659(c)) is amended—          |
| 9  | (1) in the first sentence—                          |
| 10 | (A) by inserting after "that he intends to          |
| 11 | contest a citation issued under section (9)" the    |
| 12 | following: "(or a modification of a citation        |
| 13 | issued under this section)";                        |
| 14 | (B) by inserting after "the issuance of a           |
| 15 | citation under section 9" the following: "(in-      |
| 16 | cluding a modification of a citation issued         |
| 17 | under such section)"; and                           |
| 18 | (C) by inserting after "files a notice with         |
| 19 | the Secretary alleging" the following: "that the    |
| 20 | citation fails properly to designate the violation  |
| 21 | as serious, willful, or repeated, that the pro-     |
| 22 | posed penalty is not adequate, or";                 |
| 23 | (2) by inserting after the first sentence, the fol- |
| 24 | lowing: "The pendency of a contest before the Com-  |
| 25 | mission shall not bar the Secretary from inspecting |

| 1  | a place of employment or from issuing a citation        |
|----|---|
| 2  | under section 9."; and                                  |
| 3  | (3) by amending the last sentence—                      |
| 4  | (A) by inserting "employers and" after                  |
| 5  | "Commission shall provide"; and                         |
| 6  | (B) by inserting before the period at the               |
| 7  | end ", and notification of any modification of a        |
| 8  | citation".  |
| 9  | SEC. 310. CORRECTION OF SERIOUS, WILLFUL, OR RE-        |
| 10 | PEATED VIOLATIONS PENDING CONTEST AND                   |
| 11 | PROCEDURES FOR A STAY.                                  |
| 12 | Section 10 of the Occupational Safety and Health Act    |
| 13 | of 1970 (29 U.S.C. 659) is amended by adding at the end |
| 14 | the following:  |
| 15 | "(d) Correction of Serious, Willful, or Re-             |
| 16 | PEATED VIOLATIONS PENDING CONTEST AND PROCE-            |
| 17 | DURES FOR A STAY.—                                      |
| 18 | "(1) Period Permitted for Correction of                 |
| 19 | SERIOUS, WILLFUL, OR REPEATED VIOLATIONS.—              |
| 20 | For each violation which the Secretary designates as    |
| 21 | serious, willful, or repeated, the period permitted for |
| 22 | the correction of the violation shall begin to run      |
| 23 | upon receipt of the citation.                           |
| 24 | "(2) FILING OF A MOTION OF CONTEST.—The                 |
| 25 | filing of a notice of contest by an employer—           |

| 1  | "(A) shall not operate as a stay of the pe-         |
|----|---|
| 2  | riod for correction of a violation designated as    |
| 3  | serious, willful, or repeated; and                  |
| 4  | "(B) may operate as a stay of the period            |
| 5  | for correction of a violation not designated by     |
| 6  | the Secretary as serious, willful, or repeated.     |
| 7  | "(3) Criteria and rules of procedure for            |
| 8  | STAYS.—   |
| 9  | "(A) MOTION FOR A STAY.—An employer                 |
| 10 | that receives a citation alleging a violation des-  |
| 11 | ignated as serious, willful, or repeated and that   |
| 12 | files a notice of contest to the citation asserting |
| 13 | that the time set for abatement of the alleged      |
| 14 | violation is unreasonable or challenging the ex-    |
| 15 | istence of the alleged violation may file with the  |
| 16 | Commission a motion to stay the period for the      |
| 17 | abatement of the violation.                         |
| 18 | "(B) Criteria.—In determining whether               |
| 19 | a stay should be issued on the basis of a motion    |
| 20 | filed under subparagraph (A), the Commission        |
| 21 | may grant a stay only if the employer has dem-      |
| 22 | onstrated—  |
| 23 | "(i) a substantial likelihood of success            |
| 24 | on the areas contested under subparagraph           |
| 25 | (A); and  |

| 1  | "(ii) that a stay will not adversely af-          |
|----|---|
| 2  | fect the health and safety of workers.            |
| 3  | "(C) Rules of Procedure.—The Com-                 |
| 4  | mission shall develop rules of procedure for con- |
| 5  | ducting a hearing on a motion filed under sub-    |
| 6  | paragraph (A) on an expedited basis. At a min-    |
| 7  | imum, such rules shall provide:                   |
| 8  | "(i) That a hearing before an admin-              |
| 9  | istrative law judge shall occur not later         |
| 10 | than 15 days following the filing of the          |
| 11 | motion for a stay (unless extended at the         |
| 12 | request of the employer), and shall provide       |
| 13 | for a decision on the motion not later than       |
| 14 | 15 days following the hearing (unless ex-         |
| 15 | tended at the request of the employer).           |
| 16 | "(ii) That a decision of an administra-           |
| 17 | tive law judge on a motion for stay is ren-       |
| 18 | dered on a timely basis.                          |
| 19 | "(iii) That if a party is aggrieved by            |
| 20 | a decision issued by an administrative law        |
| 21 | judge regarding the stay, such party has          |
| 22 | the right to file an objection with the Com-      |
| 23 | mission not later than 5 days after receipt       |
| 24 | of the administrative law judge's decision.       |
| 25 | Within 10 days after receipt of the objec-        |

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tion, a Commissioner, if a quorum is seated pursuant to section 12(f), shall decide whether to grant review of the objection. If, within 10 days after receipt of the objection, no decision is made on whether to review the decision of the administrative law judge, the Commission declines to review such decision, or no quorum is seated, the decision of the administrative law judge shall become a final order of the Commission. If the Commission grants review of the objection, the Commission shall issue a decision regarding the stay not later than 30 days after receipt of the objection. If the Commission fails to issue such decision within 30 days, the decision of the administrative law judge shall become a final order of the Commission.

> "(iv) For notification to employees or representatives of affected employees of requests for such hearings and shall provide affected employees or representatives of affected employees an opportunity to participate as parties to such hearings.".

## 1 SEC. 311. INACTION BY THE REVIEW COMMISSION.

- 2 Section 10 of the Occupational Safety and Health Act
- 3 of 1970 (29 U.S.C. 659) is further amended by adding
- 4 at the end the following:
- 5 "(e) INACTION BY REVIEW COMMISSION.—
- 6 "(1) In general.—A decision or order issued
- 7 by an administrative law judge of the Commission
- 8 for which a petition for review has been filed in a
- 9 timely manner, and for which 1 year after the Com-
- mission has accepted such petition and directed that
- such petition be reviewed by the Commission, the
- 12 Commission has failed to issue a final decision or
- order because the Commission lacks a quorum—
- 14 "(A) shall be deemed a final decision or
- order of the Commission; and
- 16 "(B) may be appealed pursuant to section
- 17 11(a).
- 18 "(2) Exception.—Paragraph (1) shall not
- apply with respect to motions to stay filed under
- subsection (d)(3).".

## 21 SEC. 312. CONFORMING AMENDMENTS.

- 22 (a) VIOLATIONS DESIGNATED AS SERIOUS, WILL-
- 23 FUL, OR REPEATED.—The first sentence of section 10(b)
- 24 of the Occupational Safety and Health Act of 1970 (29
- 25 U.S.C. 659(b)) is amended by inserting ", with the excep-

- 1 tion of violations designated as serious, willful, or re-
- 2 peated," after "(which period shall not begin to run".
- 3 (b) Judicial Review.—The first sentence of section
- 4 11(a) of the Occupational Safety and Health Act of 1970
- 5 (29 U.S.C. 660(a)) is amended—
- 6 (1) by inserting "(or the failure of the Commis-
- 7 sion, including an administrative law judge, to make
- 8 a timely decision on a petition for a stay or other
- 9 review)" after "an order";
- 10 (2) by striking "subsection (c)" and inserting
- "subsection (c), (d), or (e); and
- 12 (3) by inserting "(or in the case of a petition
- from a final Commission order regarding a stay
- under section 10(d), 15 days)" after "sixty days".
- 15 (c) Failure To Correct Violations.—Section
- 16 17(d) of the Occupational Safety and Health Act of 1970
- 17 (29 U.S.C. 666(d)) is amended to read as follows:
- 18 "(d) Any employer who fails to correct a violation
- 19 designated by the Secretary as serious, willful, or repeated
- 20 and for which a citation has been issued under section 9(a)
- 21 within the period permitted for its correction (and a stay
- 22 has not been issued by the Commission under section
- 23 10(d)) may be assessed a civil penalty of not more than
- 24 \$7,000 for each day during which such failure or violation
- 25 continues. Any employer who fails to correct any other vio-

lation for which a citation has been issued under section 9(a) of this title within the period permitted for its correction (which period shall not begin to run until the date 4 of the final order of the Commission in the case of any review proceeding under section 10 initiated by the employer in good faith and not solely for delay of avoidance of penalties) may be assessed a civil penalty of not more 8 than \$7,000 for each day during which such failure or violation continues.". 10 SEC. 313. CIVIL PENALTIES. 11 (a) IN GENERAL.—Section 17 of the Occupational 12 Safety and Health Act of 1970 (29 U.S.C. 666) is amend-13 ed— 14 (1) in subsection (a)— 15 (A) by striking "\$70,000" and inserting "\$126,749"; 16 17 (B) by striking "\$5,000" and inserting 18 "\$9,054"; and 19 (C) by adding at the end the following: "In 20 determining whether a violation is repeated, the 21 Secretary or the Commission shall consider the 22 employer's history of violations under this Act 23 and under State occupational safety and health 24 plans established under section 18. If such a

willful or repeated violation caused or contrib-

25

uted to the death of an employee, such civil

penalty amounts shall be increased to not more

than \$250,000 for each such violation, but not

less than \$50,000 for each such violation, ex
cept that for an employer with 25 or fewer employees such penalty shall not be less than

\$25,000 for each such violation.";

## (2) in subsection (b)—

- (A) by striking "\$7,000" and inserting "\$12,675"; and
- (B) by adding at the end the following: "If such a violation caused or contributed to the death of an employee, such civil penalty amounts shall be increased to not more than \$50,000 for each such violation, but not less than \$20,000 for each such violation, except that for an employer with 25 or fewer employees such penalty shall not be less than \$10,000 for each such violation.";
- (3) in subsection (c), by striking "\$7,000" and inserting "\$12,675";
- 22 (4) in subsection (d), as amended by section 23 312(c), by striking "\$7,000" each place it occurs 24 and inserting "\$12,675";

- 1 (5) by redesignating subsections (e) through (i)
- as subsections (f) through (j), and subsections (j)
- 3 through (l) as subsections (l) through (n) respec-
- 4 tively; and
- 5 (6) in subsection (j) (as so redesignated) by
- 6 striking "\$7,000" and inserting "\$12,675".
- 7 (b) Inflation Adjustment.—Section 17 is further
- 8 amended by inserting after subsection (d) the following:
- 9 "(e) Amounts provided under this section for civil
- 10 penalties shall be adjusted by the Secretary once each
- 11 year, not later than January 15 of such year, to account
- 12 for the percentage increase or decrease in the Consumer
- 13 Price Index for all urban consumers during such period,
- 14 consistent with the requirements of the Federal Civil Pen-
- 15 alties Inflation Adjustment Act of 1990 (28 U.S.C. 2461
- 16 note).".
- 17 SEC. 314. CRIMINAL PENALTIES.
- 18 (a) In General.—Section 17 of the Occupational
- 19 Safety and Health Act of 1970 (29 U.S.C. 666) (as
- 20 amended by section 310) is further amended—
- 21 (1) by amending subsection (f) (as redesignated
- by section 310) to read as follows:
- (f)(1) Any employer who knowingly violates any
- 24 standard, rule, or order promulgated under section 6 of
- 25 this Act, or of any regulation prescribed under this Act,

- 1 and that violation caused or significantly contributed to
- 2 the death of any employee, shall, upon conviction, be pun-
- 3 ished by a fine in accordance with title 18, United States
- 4 Code, or by imprisonment for not more than 10 years, or
- 5 both, except that if the conviction is for a violation com-
- 6 mitted after a first conviction of such person under this
- 7 subsection or subsection (i), punishment shall be by a fine
- 8 in accordance title 18, United States Code, or by imprison-
- 9 ment for not more than 20 years, or by both.
- 10 "(2) For the purpose of this subsection, the term 'em-
- 11 ployer' means, in addition to the definition contained in
- 12 section 3 of this Act, any officer or director.";
- 13 (2) by amending subsection (g) (as redesignated
- by section 310) to read as follows:
- 15 "(g) Unless otherwise authorized by this Act, any
- 16 person that knowingly gives, causes to give, or attempts
- 17 to give or cause to give, advance notice of any inspection
- 18 conducted under this Act with the intention of impeding,
- 19 interfering with, or adversely affecting the results of such
- 20 inspection, shall be fined under title 18, United States
- 21 Code, imprisoned for not more than 5 years, or both.";
- 22 (3) in subsection (h) (as redesignated by section
- 310), by striking "fine of not more than \$10,000, or
- by imprisonment for not more than six months,"
- and inserting "fine in accordance with title 18,

- 1 United States Code, or by imprisonment for not
- 2 more than 5 years,"; and
- 3 (4) by inserting after subsection (j) (as redesig-
- 4 nated by section 310) the following:
- 5 "(k)(1) Any employer who knowingly violates any
- 6 standard, rule, or order promulgated under section 6, or
- 7 any regulation prescribed under this Act, and that viola-
- 8 tion caused or significantly contributed to serious bodily
- 9 harm to any employee but does not cause death to any
- 10 employee, shall, upon conviction, be punished by a fine in
- 11 accordance with title 18, United States Code, or by impris-
- 12 onment for not more than 5 years, or by both, except that
- 13 if the conviction is for a violation committed after a first
- 14 conviction of such person under this subsection or sub-
- 15 section (e), punishment shall be by a fine in accordance
- 16 with title 18, United States Code, or by imprisonment for
- 17 not more than 10 years, or by both.
- 18 "(2) For the purpose of this subsection, the term 'em-
- 19 ployer' means, in addition to the definition contained in
- 20 section 3 of this Act, any officer or director.
- 21 "(3) For purposes of this subsection, the term 'seri-
- 22 ous bodily harm' means bodily injury or illness that in-
- 23 volves—
- 24 "(A) a substantial risk of death;
- 25 "(B) protracted unconsciousness;

- 1 "(C) protracted and obvious physical disfigure-
- 2 ment; or
- 3 "(D) protracted loss or impairment, either tem-
- 4 porary or permanent, of the function of a bodily
- 5 member, organ, or mental faculty.".
- 6 (b) Jurisdiction for Prosecution Under State
- 7 AND LOCAL CRIMINAL LAWS.—Such section is further
- 8 amended by adding at the end the following:
- 9 "(o) Nothing in this Act shall preclude a State or
- 10 local law enforcement agency from conducting criminal
- 11 prosecutions in accordance with the laws of such State or
- 12 locality.".
- 13 SEC. 315. PREJUDGMENT INTEREST.
- 14 Section 17(n) of the Occupational Safety and Health
- 15 Act of 1970 (29 U.S.C. 666(n)) (as redesignated by sec-
- 16 tion 310) is amended by adding at the end the following:
- 17 "Pre-final order interest on such penalties shall begin to
- 18 accrue on the date the party contests a citation issued
- 19 under this Act, and shall end upon the issuance of the
- 20 final order. Such pre-final order interest shall be cal-
- 21 culated at the current underpayment rate determined by
- 22 the Secretary of the Treasury pursuant to section 6621
- 23 of the Internal Revenue Code of 1986, and shall be com-
- 24 pounded daily. Post-final order interest shall begin to ac-
- 25 crue 30 days after the date a final order of the Commis-

| 1  | sion or the court is issued, and shall be charged at the     |
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| 2  | rate of 8 percent per year.".                                |
| 3  | TITLE IV—STATE PLANS   |
| 4  | SEC. 401. CONCURRENT ENFORCEMENT AUTHORITY AND               |
| 5  | REVIEW OF STATE OCCUPATIONAL SAFETY                          |
| 6  | AND HEALTH PLANS.  |
| 7  | Section 18 of the Occupational Safety and Health Act         |
| 8  | of 1970 (29 U.S.C. 668) is amended—                          |
| 9  | (1) by amending subsection (f) to read as fol-               |
| 10 | lows:  |
| 11 | "(f)(1) The Secretary shall, on the basis of reports         |
| 12 | submitted by the State agency and the Secretary's own        |
| 13 | inspections, make a continuing evaluation of the manner      |
| 14 | in which each State that has a plan approved under this      |
| 15 | section is carrying out such plan. Such evaluation shall     |
| 16 | include an assessment of whether the State continues to      |
| 17 | meet the requirements of subsection (c) of this section and  |
| 18 | any other criteria or indices of effectiveness specified by  |
| 19 | the Secretary in regulations. Whenever the Secretary         |
| 20 | finds, on the basis of such evaluation, that in the adminis- |
| 21 | tration of the State plan there is a failure to comply sub-  |
| 22 | stantially with any provision of the State plan (or any as-  |
| 23 | surance contained therein), the Secretary shall make an      |
| 24 | initial determination of whether the failure is of such a    |
| 25 | nature that the plan should be withdrawn or whether the      |

- 1 failure is of such a nature that the State should be given
- 2 the opportunity to remedy the deficiencies, and provide no-
- 3 tice of the Secretary's findings and initial determination.
- 4 "(2) If the Secretary makes an initial determination
- 5 to reassert and exercise concurrent enforcement authority
- 6 while the State is given an opportunity to remedy the defi-
- 7 ciencies, the Secretary shall afford the State an oppor-
- 8 tunity for a public hearing within 15 days of such request,
- 9 provided that such request is made not later than 10 days
- 10 after Secretary's notice to the State. The Secretary shall
- 11 review and consider the testimony, evidence, or written
- 12 comments, and not later than 30 days following such hear-
- 13 ing, make a determination to affirm, reverse, or modify
- 14 the Secretary's initial determination to reassert and exer-
- 15 cise concurrent enforcement authority under sections 8, 9,
- 16 10, 13, and 17 with respect to standards promulgated
- 17 under section 6 and obligations under section 5(a). Fol-
- 18 lowing such a determination by the Secretary, or in the
- 19 event that the State does not request a hearing within the
- 20 timeframe set forth in this paragraph, the Secretary may
- 21 reassert and exercise such concurrent enforcement author-
- 22 ity, while a final determination is pending under para-
- 23 graph (3) or until the Secretary has determined that the
- 24 State has remedied the deficiencies as provided under
- 25 paragraph (4). Such determination shall be published in

- 1 the Federal Register. The procedures set forth in section
- 2 18(g) shall not apply to a determination by the Secretary
- 3 to reassert and exercise such concurrent enforcement au-
- 4 thority.
- 5 "(3) If the Secretary makes an initial determination
- 6 that the plan should be withdrawn, the Secretary shall
- 7 provide due notice and the opportunity for a hearing. If
- 8 based on the evaluation, comments, and evidence, the Sec-
- 9 retary makes a final determination that there is a failure
- 10 to comply substantially with any provision of the State
- 11 plan (or any assurance contained therein), he shall notify
- 12 the State agency of the withdrawal of approval of such
- 13 plan and upon receipt of such notice such plan shall cease
- 14 to be in effect, but the State may retain jurisdiction in
- 15 any case commenced before the withdrawal of the plan in
- 16 order to enforce standards under the plan whenever the
- 17 issues involved do not relate to the reasons for the with-
- 18 drawal of the plan.
- 19 "(4) If the Secretary makes a determination that the
- 20 State should be provided the opportunity to remedy the
- 21 deficiencies, the Secretary shall provide the State an op-
- 22 portunity to respond to the Secretary's findings and the
- 23 opportunity to remedy such deficiencies within a time pe-
- 24 riod established by the Secretary, not to exceed 1 year.
- 25 The Secretary may extend and revise the time period to

- 1 remedy such deficiencies, if the State's legislature is not
- 2 in session during this 1-year time period, or if the State
- 3 demonstrates that it is not feasible to correct the defi-
- 4 ciencies in the time period set by the Secretary, and the
- 5 State has a plan to correct the deficiencies within a rea-
- 6 sonable time period. If the Secretary finds that the State
- 7 agency has failed to remedy such deficiencies within the
- 8 time period specified by the Secretary and that the State
- 9 plan continues to fail to comply substantially with a provi-
- 10 sion of the State plan, the Secretary shall withdraw the
- 11 State plan as provided for in paragraph (3)."; and
- 12 (2) by adding at the end the following new sub-
- section:
- 14 "(i) Not later than 18 months after the date of enact-
- 15 ment of this subsection, and again 5 years thereafter, the
- 16 Comptroller General shall complete and issue a review of
- 17 the effectiveness of State plans to develop and enforce
- 18 safety and health standards to determine if they are at
- 19 least as effective as the Federal program and to evaluate
- 20 whether the Secretary's oversight of State plans is effec-
- 21 tive. The Comptroller General's evaluation shall assess—
- 22 "(1) the effectiveness of the Secretary's over-
- sight of State plans, including the indices of effec-
- 24 tiveness used by the Secretary;

| 1  | "(2) whether the Secretary's investigations in        |
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| 2  | response to Complaints About State Plan Adminis-      |
| 3  | tration (CASPA) are adequate, whether significant     |
| 4  | policy issues have been identified by headquarters    |
| 5  | and corrective actions are fully implemented by each  |
| 6  | State;  |
| 7  | "(3) whether the formula for the distribution of      |
| 8  | funds described in section 23(g) to State programs    |
| 9  | is fair and adequate; and                             |
| 10 | "(4) whether State plans are as effective as the      |
| 11 | Federal program in preventing occupational injuries,  |
| 12 | illnesses and deaths, and investigating discrimina-   |
| 13 | tion complaints, through an evaluation of at least 20 |
| 14 | percent of approved State plans, and which shall      |
| 15 | cover—  |
| 16 | "(A) enforcement effectiveness, including             |
| 17 | handling of fatalities, serious incidents and         |
| 18 | complaints, compliance with inspection proce-         |
| 19 | dures, hazard recognition, verification of abate-     |
| 20 | ment, violation classification, citation and pen-     |
| 21 | alty issuance, including appropriate use of will-     |
| 22 | ful and repeat citations, and employee involve-       |
| 23 | ment;   |
| 24 | "(B) inspections, the number of pro-                  |

grammed health and safety inspections at pri-

25

vate and public sector establishments, and whether the State targets the highest hazard private sector work sites and facilities in that State;

- "(C) budget and staffing, including whether the State is providing adequate budget resources to hire, train and retain sufficient numbers of qualified staff, including timely filling of vacancies;
- "(D) administrative review, including the quality of decisions, consistency with Federal precedence, transparency of proceedings, decisions and records are available to the public, adequacy of State defense, and whether the State appropriately appeals adverse decisions;
- "(E) anti-discrimination, including whether discrimination complaints are processed in a timely manner, whether supervisors and investigators are properly trained to investigate discrimination complaints, whether a case file review indicates merit cases are properly identified consistent with Federal policy and procedure, whether employees are notified of their rights, and whether there is an effective process

| 1  | for employees to appeal the dismissal of a com-     |
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| 2  | plaint;   |
| 3  | "(F) program administration, including              |
| 4  | whether the State's standards and policies are      |
| 5  | at least as effective as the Federal program and    |
| 6  | are updated in a timely manner, and whether         |
| 7  | National Emphasis Programs that are applica-        |
| 8  | ble in such States are adopted and implemented      |
| 9  | in a manner that is at least as effective as the    |
| 10 | Federal program;                                    |
| 11 | "(G) whether the State plan satisfies the           |
| 12 | requirements for approval set forth in this sec-    |
| 13 | tion and its implementing regulations; and          |
| 14 | "(H) other such factors identified by the           |
| 15 | Comptroller General, or as requested by the         |
| 16 | Committee on Education and the Workforce of         |
| 17 | the House of Representatives or the Committee       |
| 18 | on Health, Education, Labor, and Pensions of        |
| 19 | the Senate.".                                       |
| 20 | SEC. 402. EVALUATION OF REPEATED VIOLATIONS IN      |
| 21 | STATE PLANS.  |
| 22 | Section 18(c) of the Occupational Safety and Health |
| 23 | Act of 1970 (29 U.S.C. 668(c)) is amended—          |
| 24 | (1) in paragraph (7), by striking ", and" and       |
| 25 | inserting a comma;                                  |

| 1  | (2) in paragraph (8), by striking the period at            |
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| 2  | the end and inserting ", and"; and                         |
| 3  | (3) by adding after paragraph 8 the following              |
| 4  | new paragraph:   |
| 5  | "(9) provides that in determining whether a                |
| 6  | violation is repeated, the State shall consider the        |
| 7  | employer's violations within the State, in conjunction     |
| 8  | with the employer's history of violations under other      |
| 9  | States' occupational safety and health plans ap-           |
| 10 | proved by the Secretary and the employer's history         |
| 11 | of violations in those States where the Secretary has      |
| 12 | jurisdiction under this Act, in a manner that is at        |
| 13 | least as effective as provided under section 17.".         |
| 14 | TITLE V—NATIONAL INSTITUTE                                 |
| 15 | FOR OCCUPATIONAL SAFETY                                    |
| 16 | AND HEALTH   |
| 17 | SEC. 501. HEALTH HAZARD EVALUATIONS BY THE NA-             |
| 18 | TIONAL INSTITUTE FOR OCCUPATIONAL                          |
| 19 | SAFETY AND HEALTH.   |
| 20 | Section 20(a)(6) of the Occupational Safety and            |
| 21 | Health Act of 1970 (29 U.S.C. $669(a)(6)$ ) is amended by  |
| 22 | striking the second sentence and inserting the following:  |
| 23 | "The Secretary shall determine following a written request |
| 24 | by any employer, authorized representative of current or   |
| 25 | former employees, physician, other Federal agency, or      |

- 1 State or local health department, specifying with reason-
- 2 able particularity the grounds on which the request is
- 3 made, whether any substance normally found in the place
- 4 of employment has potentially toxic effects in such con-
- 5 centrations as used or found or whether any physical
- 6 agents, equipment, or working condition found or used has
- 7 potentially hazardous effects; and shall submit such deter-
- 8 mination both to employers and affected employees as
- 9 soon as possible.".

## 10 TITLE VI—EFFECTIVE DATE

- 11 SEC. 601. EFFECTIVE DATE.
- 12 (a) GENERAL RULE.—Except as provided for in sub-
- 13 section (b), this Act and the amendments made by this
- 14 Act shall take effect not later than 90 days after the date
- 15 of the enactment of this Act.
- 16 (b) Exception for States and Political Sub-
- 17 DIVISIONS.—The following are exceptions to the effective
- 18 date described in subsection (a):
- 19 (1) A State that has a State plan approved
- under section 18 (29 U.S.C. 667) shall amend its
- 21 State plan to conform with the requirements of this
- Act and the amendments made by this Act not later
- than 12 months after the date of the enactment of
- 24 this Act. The Secretary of Labor may extend the pe-
- 25 riod for a State to make such amendments to its

State plan by not more than 12 months, if the State's legislature is not in session during the 12-month period beginning with the date of the enactment of this Act. Such amendments to the State plan shall take effect not later than 90 days after the adoption of such amendments by such State.

(2) This Act and the amendments made by this Act shall take effect not later than 36 months after the date of the enactment of this Act with respect to a workplace of a State, or a political subdivision of a State, that does not have a State plan approved under section 18 (29 U.S.C. 667).

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