

115TH CONGRESS 1ST SESSION

H. R. 575

To amend the Federal Food, Drug, and Cosmetic Act to establish new procedures and requirements for the registration of cosmetic manufacturing establishments, the submission of cosmetic and ingredient statements, and the reporting of serious cosmetic adverse events, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

January 13, 2017

Mr. Sessions introduced the following bill; which was referred to the Committee on Energy and Commerce

A BILL

To amend the Federal Food, Drug, and Cosmetic Act to establish new procedures and requirements for the registration of cosmetic manufacturing establishments, the submission of cosmetic and ingredient statements, and the reporting of serious cosmetic adverse events, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE AND REFERENCES.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Cosmetic Modernization Amendments of 2017".

- 1 (b) References to the Federal Food, Drug,
- 2 AND COSMETIC ACT.—Except as otherwise specified,
- 3 whenever in this Act an amendment is expressed in terms
- 4 of an amendment to a section or other provision, the ref-
- 5 erence shall be considered to be made to a section or other
- 6 provision of the Federal Food, Drug, and Cosmetic Act
- 7 (21 U.S.C. 301 et seq.).

8 SEC. 2. TABLE OF CONTENTS.

- 9 The table of contents for this Act is as follows:
 - Sec. 1. Short title and references.
 - Sec. 2. Table of contents.
 - Sec. 3. Definitions.
 - Sec. 4. Registration of cosmetic manufacturing establishments.
 - Sec. 5. Cosmetic and ingredient statement.
 - Sec. 6. Serious and unexpected adverse event reporting for cosmetics.
 - Sec. 7. Good manufacturing practice.
 - Sec. 8. Safety substantiation for cosmetic ingredients and nonfunctional constituents.
 - Sec. 9. National Cosmetic Regulatory Databank.
 - Sec. 10. Special rules.
 - Sec. 11. Prohibited acts.
 - Sec. 12. National uniformity for cosmetics.
 - Sec. 13. Importation.
 - Sec. 14. Effective dates.

10 SEC. 3. DEFINITIONS.

- 11 Chapter VI (21 U.S.C. 361 et seq.) is amended by
- 12 adding at the end the following:
- 13 "SEC. 604. DEFINITIONS.
- 14 "In this chapter:
- 15 "(1) Cosmetic.—Notwithstanding section
- 16 201(i), for purposes of this section and sections
- 17 601(f), 605, 606, 607, 608, and 801(a), the term

1	'cosmetic' includes only articles described in section
2	201(i)(1).
3	"(2) Establishment.—
4	"(A) The term 'establishment' means a
5	place of business where a cosmetic is manufac-
6	tured, without further processing outside or
7	within the United States.
8	"(B) A cosmetic shall not be considered to
9	have undergone further processing for purposes
10	of subparagraph (A) solely on the basis that
11	packaging or other labeling was added or
12	changed or that any similar activity of a de
13	minimis nature was carried out with respect to
14	the cosmetic.
15	"(C) The term 'domestic establishment'
16	means an establishment location in any State.
17	"(D) The term 'foreign establishment'
18	means an establishment location outside the
19	United States.
20	"(3) Safe; safety.—
21	"(A) The terms 'safe' and 'safety', with re-
22	spect to a cosmetic, mean the cosmetic does not
23	present a significant risk of serious illness or
24	injury to humans under the conditions of use

recommended or suggested in the labeling of

1	the cosmetic, including the limitation of 'for
2	professional use' only.
3	"(B) For purposes of subparagraph (A),
4	the term 'professional' means an individual
5	who—
6	"(i) is licensed by an official State au-
7	thority to practice in the field of cosme-
8	tology, nail care, barbering, and or esthet-
9	ics; and
10	"(ii) is in compliance with all require-
11	ments of the State for such licensing.".
12	SEC. 4. REGISTRATION OF COSMETIC MANUFACTURING ES-
13	TABLISHMENTS.
14	Chapter VI (21 U.S.C. 361 et seq.), as amended by
15	section 3, is further amended by adding at the end the
16	following:
17	"SEC. 605. REGISTRATION OF COSMETIC MANUFACTURING
18	ESTABLISHMENTS.
19	"(a) In General.—
20	"(1) Registration.—The Secretary shall by
21	regulation require that every domestic and foreign
22	establishment engaged in the manufacture of a cos-
23	metic intended to be sold in the United States that
24	is not exempt under subsection (e) be registered with
25	the Secretary within 60 business days after the first

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commercial sale of a cosmetic in the United States. If a cosmetic is processed in more than one establishment, registration shall be required under this section only for the establishment that performs the final portion of the manufacturing operation. The single registration shall cover all such cosmetics manufactured by the establishment. The registration shall state only the name of the company or other organization name of the establishment, the city, street address, State, and country of the establishment, and the title, email address, and telephone number for the office within the establishment that is responsible for submitting and maintaining the registration. For a foreign establishment, the registration shall include the contact information for the initial United States agent of the establishment.

"(2) Unique Number.—The Secretary shall establish and provide to each registrant under this section a unique cosmetic establishment registration number within 15 business days after receiving the registration. If the Secretary does not provide a unique cosmetic establishment registration number within such 15 business days, any requirement under this Act for such number shall be deemed to be inapplicable until 30 business days after such

1 number is received by the person who submitted the 2 registration. Where more than one person registers 3 the same establishment, the Secretary shall provide only one unique establishment registration number 5 for the establishment. The unique cosmetic estab-6 lishment number shall not be required to be included 7 in cosmetic labeling. "(b) MAINTENANCE.—The information required in a 8 registration under subsection (a) or in an existing reg-10 istration under subsection (e)(1)(A) shall be maintained 11 as current and accurate by the registrant by withdrawing 12 or amending the registration within 60 business days after 13 the information becomes no longer current and accurate. "(c) Enforcement.—The Secretary shall enforce 14 15 this section under section 301(eee) and shall not suspend or revoke a registration under this section. 16 17 "(d) LIST.—The Secretary shall compile and main-18 tain an up-to-date and publicly available electronic list of 19 establishments that are registered under this section. 20 "(e) Exemptions.— 21 "(1) In General.—Registration under sub-22 section (a) shall not be required for any entity based 23 on such entity operating as— "(A) an establishment that as of the date 24

of enactment of this section is registered as a

1	cosmetic establishment under part 710 of title
2	21, Code of Federal Regulations (as in effect on
3	such date);
4	"(B) a beauty shop or salon or spa;
5	"(C) a cosmetic retailer, including any
6	such retailer that is—
7	"(i) an individual sales representative;
8	"(ii) a wholesale or retail distribution
9	or sales facility; or
10	"(iii) a pharmacy or other person or
11	organization that—
12	"(I) compounds cosmetics at a
13	single location and administers, dis-
14	penses, or distributes such cosmetics
15	at retail from that location; and
16	"(II) does not otherwise manu-
17	facture or package cosmetics from
18	that location;
19	"(D) a health care provider, including a
20	hospital or clinic;
21	"(E) a public health agency or other non-
22	profit entity;
23	"(F) a hotel or other entity that provides
24	complimentary cosmetics;

1	"(G) a trade show or other venue where
2	cosmetic samples are provided;
3	"(H) an establishment that manufactures,
4	prepares, compounds, or processes cosmetics for
5	use in research, teaching, or chemical analysis
6	or pilot plant production;
7	"(I) a handcrafted soap or cosmetic made
8	in a home, a community facility, or a similar es-
9	tablishment; or
10	"(J) a business with less than \$1,000,000
11	of annual net revenue from cosmetics.
12	"(2) Additional exemptions.—The Sec-
13	retary may supplement the list of exemptions under
14	paragraph (1) with additional exemptions for per-
15	sons and activities where the cost of compliance ex-
16	ceeds the safety benefit to the public.".
17	SEC. 5. COSMETIC AND INGREDIENT STATEMENT.
18	Chapter VI (21 U.S.C. 361 et seq.), as amended by
19	sections 3 and 4, is further amended by adding at the end
20	the following:
21	"SEC. 606. COSMETIC AND INGREDIENT STATEMENT.
22	"(a) In General.—The Secretary shall by regula-
23	tion require that every domestic establishment and foreign
24	establishment engaged in the manufacture of a cosmetic
25	intended to be sold in the United States submit to the

- 1 Secretary, for each cosmetic so manufactured in the estab-
- 2 lishment, except such cosmetics manufactured by entities
- 3 exempted by section 605(e) from registration under sec-
- 4 tion 605, within 60 business days after the first commer-
- 5 cial sale of the cosmetic, a cosmetic and ingredient state-
- 6 ment. The Secretary shall require the statement to contain
- 7 only—
- 8 "(1) the unique establishment registration num-
- 9 ber of the manufacturing establishment where the
- 10 cosmetic is manufactured or, if the same cosmetic is
- 11 manufactured in more than one establishment, the
- unique establishment registration number of each es-
- tablishment where it is manufactured;
- 14 "(2) the brand name or names for the cosmetic;
- 15 "(3) the applicable cosmetic category or cat-
- egories for the cosmetic;
- 17 "(4) the ingredients in the cosmetic (in accord-
- ance with section 701.3 of title 21, Code of Federal
- Regulations (as in effect on the date of enactment
- of the Cosmetic Modernization Amendments of 2017
- and including any successor regulations), and using
- 22 the name of each ingredient established under sub-
- section (d), if any), in descending order of predomi-
- 24 nance by weight, except that—

1	"(A) flavors and fragrances may be des-
2	ignated as such; and
3	"(B) all variations in color, flavor, or fra-
4	grance may be included in one statement; and
5	"(5) the title, email address, and telephone
6	number for the office within the establishment that
7	is responsible for submitting and maintaining the
8	statement.
9	"(b) Unique Number.—The Secretary shall estab-
10	lish and provide to the office submitting a statement re-
11	quired by subsection (a) a unique cosmetic and ingredient
12	statement number within 15 business days after receiving
13	the statement. If the Secretary does not provide a unique
14	cosmetic and ingredient statement number within such 15-
15	business-day period, any requirement under this Act for
16	such number shall be deemed to be inapplicable until the
17	date that is 30 business days after such number is received
18	by the office that submitted the statement. The unique
19	cosmetic and ingredient statement number shall not be re-
20	quired to be included in cosmetic labeling.
21	"(c) Change in Labeling.—An establishment shall
22	not be required to submit a new or revised statement
23	under subsection (a) because of a change in labeling ex-
24	cent to the extent necessary to maintain the accuracy of

- 1 the information included in a statement under subsection
- 2 (a).
- 3 "(d) Name of Ingredient.—For purposes of this
- 4 section and cosmetic ingredient labeling under section
- 5 701.3 of title 21, Code of Federal Regulations (as in effect
- 6 on the date of enactment of the Cosmetic Modernization
- 7 Amendments of 2017 and including any successor regula-
- 8 tions), the name of a cosmetic ingredient shall be the
- 9 name, if any, in the most recent edition of the Inter-
- 10 national Cosmetic Ingredient Dictionary, unless the Sec-
- 11 retary by regulation establishes a different name for the
- 12 ingredient.
- 13 "(e) Maintenance.—The information required in a
- 14 statement submitted to the Secretary under subsection (a)
- 15 or in an existing statement under subsection (g)(1) shall
- 16 be maintained as current and accurate by the office that
- 17 filed the statement by withdrawing or amending the state-
- 18 ment within 60 business days after the information be-
- 19 comes no longer current and accurate, except that no
- 20 amendment shall be required for a change in the order
- 21 of predominance of the ingredients or for any other type
- 22 or category of change for which the costs of amending the
- 23 statement exceed the safety benefit to the public.
- 24 "(f) Enforcement.—The Secretary shall enforce
- 25 subsections (a) and (e) under section 301(fff) and shall

- 1 not suspend or revoke a cosmetic and ingredient state-
- 2 ment.
- 3 "(g) List.—The Secretary shall compile and main-
- 4 tain an up-to-date and publicly available electronic list of
- 5 cosmetics and ingredients for which statements are sub-
- 6 mitted under this section. A statement submitted pursuant
- 7 to this section shall not be subject to disclosure under sec-
- 8 tion 552 of title 5, United States Code. The Secretary may
- 9 make publicly available information derived from such
- 10 statements that discloses the names of ingredients used
- 11 in cosmetics and the number of cosmetics in which a spe-
- 12 cific ingredient is used, but may not make publicly avail-
- 13 able any information that relates to any ingredient that
- 14 is exempt from public disclosure under section 720.8 of
- 15 title 21, Code of Federal Regulations (as in effect on the
- 16 date of enactment of the Cosmetic Modernization Amend-
- 17 ments of 2017 and including any successor regulations),
- 18 or that discloses at what establishment a cosmetic is man-
- 19 ufactured. At the written request of the director of a State
- 20 agency responsible for regulating the safety of cosmetics
- 21 stating good cause therefor, the Secretary may disclose to
- 22 such official confidential business and trade secret infor-
- 23 mation contained in a statement and such official and
- 24 other State employees who have access to such informa-
- 25 tion shall then be subject to the provisions of section

- 1 301(j) of this Act, section 552(b) of title 5, United States
- 2 Code, and section 1905 of title 18, United States Code,
- 3 with respect to such information.
- 4 "(h) Exemptions.—Submission of a statement
- 5 under subsection (a) shall not be required—
- 6 "(1) for a cosmetic for which as of the date of
- 7 enactment of this section a cosmetic ingredient
- 8 statement has been submitted to the Secretary
- 9 under part 710 of title 21, Code of Federal Regula-
- tions (as in effect on the date of enactment of the
- 11 Cosmetic Modernization Amendments of 2017);
- 12 "(2) for a cosmetic ingredient exempt from
- public disclosure under section 720.8 of title 21,
- 14 Code of Federal Regulations (as in effect on the
- date of enactment of the Cosmetic Modernization
- Amendments of 2017 and including any successor
- 17 regulations); or
- 18 "(3) by an entity to the extent such entity is
- exempted by section 605(e) from registration under
- 20 section 605.".
- 21 SEC. 6. SERIOUS AND UNEXPECTED ADVERSE EVENT RE-
- 22 **PORTING FOR COSMETICS.**
- 23 (a) IN GENERAL.—Chapter VI (21 U.S.C. 361 et
- 24 seq.), as amended by sections 3, 4, and 5, is further
- 25 amended by adding at the end the following:

1 "SEC. 607. SERIOUS AND UNEXPECTED ADVERSE EVENT RE-

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2	PORTING FOR COSMETICS.
3	"(a) In General.—The Secretary shall by regula-
4	tion require that a domestic or foreign manufacturer,
5	packer, or distributor whose name appears on the label
6	pursuant to section 602(b)(1) of a cosmetic marketed in
7	the United States submit to the Secretary under sub-
8	section (b) a report containing—
9	"(1) information received concerning any seri-
10	ous and unexpected adverse event in the United
11	States allegedly associated with the use of the cos-
12	metic for which it is reasonably likely that the ad-
13	verse event was caused by the cosmetic when used
14	as recommended or suggested in the labeling; and
15	"(2) a copy of the label for the cosmetic.
16	"(b) Submission of Reports.—A report on an ad-
17	verse event under subsection (a) shall be submitted to the
18	Secretary not later than 15 business days after informa-
19	tion concerning the adverse event is received at the place
20	of business labeled on the cosmetic pursuant to section
21	602(b)(1).
22	"(c) REQUIRED CONTENTS.—A report under sub-
23	section (a) shall include all of the following information:
24	"(1) An identifiable patient.
25	"(2) An identifiable reporter.
26	"(3) A suspect cosmetic or component thereof.

1	"(4) A serious adverse event.
2	"(d) Additional Contents; Supplemental Re-
3	PORTING.—The person submitting a report under sub-
4	section (a) may—
5	"(1) include pertinent information in addition
6	to the information listed in subsection (c); and
7	"(2) after submitting the initial report, supple-
8	ment the report with additional information.
9	"(e) Special Rules.—
10	"(1) Protected information.—A serious
11	and unexpected adverse event report (including all
12	information submitted in the initial report or added
13	later) submitted under subsection (a)—
14	"(A) shall be considered to be a safety re-
15	port under section 756 that is subject to the
16	provisions of that section; and
17	"(B) shall be considered to be a record
18	about an individual under section 552a of title
19	5, United States Code, and a medical or similar
20	file the disclosure of which would constitute a
21	violation of section 552 of such title 5, and
22	shall not be publicly disclosed unless all person-
23	ally identifiable information is reducted.

1	"(2) NO TREATMENT AS ADMISSION.—The sub-
2	mission of a serious and unexpected adverse event
3	report in compliance with subsection (a)—
4	"(A) shall not be construed as an admis-
5	sion that the cosmetic involved caused or con-
6	tributed to the adverse event; and
7	"(B) may be accompanied by a statement
8	that denies that the report constitutes an ad-
9	mission that the cosmetic involved caused or
10	contributed to the adverse event.
11	"(3) Inclusion of statement in public dis-
12	CLOSURE.—In releasing any report under subsection
13	(a) or portion thereof for public disclosure, the Sec-
14	retary shall include any statement under paragraph
15	(2)(B).
16	"(f) Labeling.—The label of a cosmetic shall bear
17	the domestic telephone number, email address, or mailing
18	address through which the person whose name and place
19	of business appear on the label may receive a report of
20	a serious adverse event.
21	"(g) Exemption.—The Secretary may, by regula-
22	tion, establish an exemption to the requirements under
23	subsections (b) and (d) if the Secretary determines that
24	such exemption would have no adverse effect on public
25	health.

1	"(h) DEFINITIONS.—In this section:
2	"(1) The term 'serious', with respect to an ad-
3	verse event, means—
4	"(A) resulting in—
5	"(i) death;
6	"(ii) a life-threatening experience;
7	"(iii) inpatient hospitalization;
8	"(iv) a persistent and significant dis-
9	ability or incapacity;
10	"(v) a congenital anomaly or birth de-
11	feet; or
12	"(vi) permanent disfiguration; or
13	"(B) requiring, based on reasonable med-
14	ical judgment, a medical or surgical interven-
15	tion to prevent an outcome described under
16	subparagraph (A).
17	"(2) The term 'unexpected', with respect to an
18	adverse event, means not identified on the cosmetic
19	label.".
20	(b) Misbranding.—Section 602 of the Federal
21	Food, Drug, and Cosmetic Act (21 U.S.C. 362) is amend-
22	ed by adding at the end the following:
23	"(g) If it is a cosmetic that is marketed in the United
24	States, unless the label of such cosmetic includes a domes-
25	tic address or domestic phone number through which a

- 1 report of a serious and unexpected adverse event (as such
- 2 term is used in section 607) associated with the use of
- 3 such cosmetic may be submitted to the person described
- 4 in section 607(f).".

5 SEC. 7. GOOD MANUFACTURING PRACTICE.

- 6 (a) Prohibition.—Section 601 (21 U.S.C. 361) is
- 7 amended by adding at the end the following:
- 8 "(f) If it has been manufactured under conditions
- 9 that do not satisfy the principles and standards for good
- 10 manufacturing practice established under section 608 and
- 11 as a result presents a significant risk of serious adverse
- 12 health consequences or death to humans.".
- 13 (b) Principles and Standards.—Chapter VI (21
- 14 U.S.C. 361 et seq.), as amended by sections 3, 4, 5, and
- 15 6, is further amended by adding at the end the following:
- 16 "SEC. 608. GOOD MANUFACTURING PRACTICE.
- 17 "(a) In General.—The Secretary may by regulation
- 18 establish principles and standards for good manufacturing
- 19 practice for the manufacture of cosmetics in accordance
- 20 with paragraphs (a) and (d) of section 601.
- 21 "(b) Notice and Comment.—A regulation under
- 22 subsection (a) shall be promulgated only after providing
- 23 notice and an opportunity for comment in accordance with
- 24 chapter 5 of title 5, United States Code.

1	"(c) Good Manufacturing Practices of Other
2	Parties.—A manufacturer shall not be responsible under
3	section 601(f) or this section for the good manufacturing
4	practice of its suppliers. A distributor shall not be respon-
5	sible under section 601(f) or this section for the good man-
6	ufacturing practice of its manufacturers.".
7	SEC. 8. SAFETY SUBSTANTIATION FOR COSMETIC INGREDI
8	ENTS AND NONFUNCTIONAL CONSTITUENTS.
9	Chapter VI (21 U.S.C. 361 et seq.), as amended by
10	sections 3, 4, 5, 6, and 7, is further amended by adding
11	at the end the following:
12	"SEC. 609. COSMETIC INGREDIENTS AND NONFUNCTIONAL
	CONSTITUENTS THAT ARE SAFE FOR USE IN
13	
13 14 15	CONSTITUENTS THAT ARE SAFE FOR USE IN
13 14	CONSTITUENTS THAT ARE SAFE FOR USE IN COSMETICS.
13 14 15	CONSTITUENTS THAT ARE SAFE FOR USE IN COSMETICS. "(a) IN GENERAL.—A manufacturer or distributor of
13 14 15 16	COSMETICS. "(a) In General.—A manufacturer or distributor of a cosmetic may rely on this section to substantiate the
113 114 115 116 117	COSMETICS. "(a) In General.—A manufacturer or distributor of a cosmetic may rely on this section to substantiate the safety of such cosmetic.
113 114 115 116 117	COSMETICS. "(a) In General.—A manufacturer or distributor of a cosmetic may rely on this section to substantiate the safety of such cosmetic. "(b) Safe Ingredients.—Unless and until prohib-
13 14 15 16 17 18	COSMETICS. "(a) In General.—A manufacturer or distributor of a cosmetic may rely on this section to substantiate the safety of such cosmetic. "(b) Safe Ingredients.—Unless and until prohibited or limited by the Secretary by regulation, the following statements.
13 14 15 16 17 18 19 20	COSMETICS. "(a) In General.—A manufacturer or distributor of a cosmetic may rely on this section to substantiate the safety of such cosmetic. "(b) Safe Ingredients.—Unless and until prohibited or limited by the Secretary by regulation, the following ingredients are deemed to be adequately substantiate.
13 14 15 16 17 18 19 20 21	COSMETICS. "(a) In General.—A manufacturer or distributor of a cosmetic may rely on this section to substantiate the safety of such cosmetic. "(b) Safe Ingredients.—Unless and until prohibited or limited by the Secretary by regulation, the following ingredients are deemed to be adequately substantiated for safe use in cosmetics subject to the requirements.

such approval.

- 1 "(2) Food additives approved by the Secretary 2 for direct addition to food for human consumption, 3 within any limits established in such approval.
 - "(3) Food ingredients that have been determined by the Secretary to be generally recognized as safe for direct addition to food for human consumption, within any limits established in such determination.
 - "(4) Food ingredients for which monographs have been included in the Food Chemicals Codex for direct addition to food for human consumption, within any limits established in such monographs.
 - "(5) Pharmaceutical excipients and inactive ingredients approved or permitted by the Secretary, listed on a Food and Drug Administration website for use in drugs for human consumption or for which monographs have been included in the Handbook of Pharmaceutical Excipients, within any limits established in such lists or monographs.
 - "(6) Cosmetic ingredients that have been reviewed for safety by a qualified nongovernmental or governmental expert scientific body, including the Cosmetic Ingredient Review Expert Panel, and that are the subject of a monograph published in a peer-

1	reviewed scientific journal, within any limits estab-
2	lished in such monographs.
3	"(7) Fragrance ingredients that have been re-
4	viewed for safety by a qualified nongovernmental or
5	governmental expert scientific body, including the
6	Research Institute of Fragrance Materials Expert
7	Panel, and that are the subject of a monograph pub-
8	lished in a peer-reviewed scientific journal, within
9	any limits established in such monographs.
10	"(8) Cosmetic ingredients approved or per-
11	mitted for use in cosmetics by any of the countries
12	listed in section 802(b)(1)(A) as having an adequate
13	regulatory authority, within any limits established by
14	such regulatory authority.
15	"(c) Safe Nonfunctional Constituents.—
16	"(1) Definition.—A nonfunctional constituent
17	in a cosmetic is any substance that—
18	"(A) has not been intentionally added as a
19	separate substance; and
20	"(B) serves no technical or cosmetic func-
21	tion in the cosmetic.
22	"(2) ADEQUATE SUBSTANTIATION.—The fol-
23	lowing nonfunctional constituents are deemed to be
24	adequately substantiated for safe use in cosmetics,

subject to the requirements of good manufacturing

1	practice and any limits or bans established by the
2	Secretary by regulation:
3	"(A) The levels approved or permitted for
4	nonfunctional constituents by the Secretary for
5	color additives for cosmetic use and for food ad-
6	ditives and generally recognized as safe food in-
7	gredients for direct human consumption.
8	"(B) The levels approved or permitted for
9	nonfunctional constituents by the Secretary for
10	cosmetics and for food and food ingredients for
11	direct human consumption in compliance policy
12	guides, guidance, and website statements.
13	"(C) The levels approved or permitted for
14	nonfunctional constituents by the Secretary or
15	the United States Pharmacopeia for oral non-
16	prescription drugs.
17	"(D) The levels approved or permitted for
18	nonfunctional constituents by the Environ-
19	mental Protection Agency for direct human
20	consumption in drinking water.
21	"(E) The levels for nonfunctional constitu-
22	ents approved or permitted in cosmetics and
23	human food and food ingredients by any of the
24	countries listed in section 802(b)(1)(A) as hav-

ing an adequate regulatory authority.

- 1 "(d) Center.—The Secretary shall establish a pro-
- 2 gram within the center of the Food and Drug Administra-
- 3 tion with primary responsibility for regulating cosmetics
- 4 to evaluate and make determinations, by regulation, on
- 5 the safe use of cosmetics and ingredients and nonfunc-
- 6 tional constituents thereof.
- 7 "(e) Application; Preemption.—A safety deter-
- 8 mination accepted or made by the Secretary or established
- 9 under this section shall apply in every State. No State may
- 10 establish or enforce a safety determination for a cosmetic
- 11 or an ingredient or nonfunctional constituent of a cos-
- 12 metic.
- 13 "(f) Effective Date of Regulations.—Any reg-
- 14 ulation or guidance by the Secretary pursuant to this sec-
- 15 tion concerning the safety of a cosmetic or an ingredient
- 16 or nonfunctional constituent of a cosmetic shall apply be-
- 17 ginning no earlier than the date that is 2 years after the
- 18 date on which such regulation or guidance is issued as
- 19 final, unless the Secretary determines, after public notice
- 20 and an opportunity for public comment, that an earlier
- 21 date of applicability is required to prevent serious adverse
- 22 health consequences or death to humans.".

1 SEC. 9. NATIONAL COSMETIC REGULATORY DATABANK.

- 2 Chapter VI (21 U.S.C. 361 et seq.), as amended by
- 3 sections 3, 4, 5, 6, 7, and 8, is further amended by adding
- 4 at the end the following:
- 5 "SEC. 610. NATIONAL COSMETIC REGULATORY DATABANK.
- 6 "(a) In General.—For the purpose of consolidating
- 7 information pertaining to the regulation of cosmetic safe-
- 8 ty, the Secretary shall establish and maintain in the center
- 9 of the Food and Drug Administration with primary re-
- 10 sponsibility for regulating cosmetics a database, to be
- 11 known as the National Cosmetic Regulatory Databank,
- 12 containing—
- "(1) the information submitted to the Secretary
- 14 under sections 605, 606, 607, and 609; and
- 15 "(2) such other information pertaining to the
- regulation of cosmetics as the Secretary deems ap-
- propriate.
- 18 "(b) Availability.—In the case of information in
- 19 the National Cosmetic Regulatory Databank that is not
- 20 subject to public disclosure under section 552 of title 5,
- 21 United States Code, the Secretary may nonetheless dis-
- 22 close such information to the director of a State agency
- 23 on written request by such director demonstrating good
- 24 cause for the disclosure. A director receiving information
- 25 pursuant to the preceding sentence shall agree to limit to
- 26 the disclosure of such information by State officials and

- 1 employees to the same extent such disclosure is limited
- 2 with respect to Federal officials and employees under sec-
- 3 tion 301(j) of this Act, section 552(b) of title 5, United
- 4 States Code, and section 1905 of title 18, United States
- 5 Code, with respect to such information.
- 6 "(c) Preemption.—No State or political subdivision
- 7 thereof may require submission of information that is
- 8 available in the National Cosmetic Regulatory Databank,
- 9 whether in the same or a different format.".

10 SEC. 10. SPECIAL RULES.

- 11 (a) CERTAIN RULES.—Chapter VI (21 U.S.C. 361 et
- 12 seq.), as amended by sections 3, 4, 5, 6, 7, 8, and 9, is
- 13 further amended by adding at the end the following:

14 "SEC. 611. SPECIAL RULES.

- 15 "(a) Contractors.—The person described in sec-
- 16 tion 607(f) with respect to a cosmetic (referred to in this
- 17 section as the 'responsible party') may, by agreement, au-
- 18 thorize a manufacturer, distributor, or packer of the cos-
- 19 metic or a third-party contractor to submit any required
- 20 report of a serious and unexpected adverse event (as such
- 21 term is used in section 607) so long as the responsible
- 22 party directs to the manufacturer, distributor, packer, or
- 23 third-party contractor all such adverse events associated
- 24 with such cosmetic that are reported to the responsible

- 1 party through the address or telephone number described
- 2 in section 607(f).
- 3 "(b) Exemptions.—The Secretary, on the Sec-
- 4 retary's own initiative or in response to a petition, may
- 5 establish exemptions from the requirements of sections
- 6 601(f), 605, 606, 607, and 608—
- 7 "(1) for the efficient and cost-effective imple-
- 8 mentation of such requirements; or
- 9 "(2) where the cost of compliance exceeds the
- safety benefit to the public.".
- 11 (b) Cosmetic Definition.—Section 201(i) (21
- 12 U.S.C. 321(i)) is amended by adding at the end the fol-
- 13 lowing: "An article described in subparagraph (1) that is
- 14 intended only for topical external use to alter the appear-
- 15 ance by temporarily affecting the structure or any function
- 16 of the human skin, and that is not the subject of an ap-
- 17 proved new drug application under section 505, shall, for
- 18 purposes of this Act, be treated only as a cosmetic and
- 19 not a drug.".
- 20 (c) Color Additives.—Section 721(f) (21 U.S.C.
- 21 379e(f)) is amended—
- 22 (1) by striking "(f) The Secretary shall" and
- inserting "(f)(1) The Secretary shall"; and
- 24 (2) by adding at the end the following:

- 1 "(2) A color additive, including mixtures thereof, in-
- 2 tended for use in externally applied cosmetics and not in
- 3 the area of the eye is exempt from the requirements of
- 4 this section if it is generally recognized, among experts
- 5 qualified by scientific training and experience to evaluate
- 6 its safety, as having been shown through scientific proce-
- 7 dures to be safe under the conditions of its intended use.
- 8 Notwithstanding the preceding sentence, the Secretary
- 9 may by regulation require certification of batches under
- 10 subsection (c) for any such color additive.".

11 SEC. 11. PROHIBITED ACTS.

- 12 (a) IN GENERAL.—Section 301 (21 U.S.C. 331) is
- 13 amended by adding at the end the following:
- 14 "(eee) The failure to register a cosmetic establish-
- 15 ment as required under section 605 or to maintain the
- 16 registration current and accurate.
- 17 "(fff) The failure to submit a cosmetic and ingredient
- 18 statement as required under section 606 or to maintain
- 19 the statement current and accurate.
- 20 "(ggg) The failure to submit a serious and unex-
- 21 pected adverse event report, or to include on the label of
- 22 a cosmetic the domestic telephone number, email address,
- 23 or mailing address through which a report of a serious
- 24 adverse event may be received, as required under section
- 25 607.".

1	(b) Information Security.—Section 301(j) (21
2	U.S.C. 331(j)) is amended by inserting "605, 606, 609,"
3	after "573,".
4	SEC. 12. NATIONAL UNIFORMITY FOR COSMETICS.
5	Section 752 (21 U.S.C. 379s) is amended—
6	(1) by amending the section heading to read as
7	follows: "NATIONAL UNIFORMITY FOR COS-
8	METICS";
9	(2) by amending subsection (a) to read as fol-
10	lows:
11	"(a) In General.—Except as provided in subsection
12	(b) or (d) of this section, no State or political subdivision
13	of a State may establish or continue in effect any require-
14	ment for labeling or packaging of a cosmetic.";
15	(3) by amending subsection (c) to read as fol-
16	lows:
17	"(c) Cosmetic Safety.—No State or political sub-
18	division of a State may establish or continue in effect any
19	law, regulation, order, or other requirement—
20	"(1) relating directly or indirectly to, or relying
21	upon, a human health or safety evaluation of a non-
22	functional cosmetic constituent, cosmetic ingredient,
23	or cosmetic (as defined in section 201(i)(1)), or re-
24	lating in any way to the safety standard and the
25	human health-based requirements, evaluations, and

- determinations under chapter VI, the Poison Prevention Packaging Act of 1970, or the Fair Packaging and Labeling Act; or
- "(2) relating directly or indirectly to registra-5 tion or listing of cosmetic facilities, establishments, 6 cosmetics, or cosmetic ingredients, reporting of any information relating to cosmetics including adverse 7 8 event reporting, cosmetic manufacturing processes 9 or standards including good manufacturing practice, 10 cosmetic labels or labeling including any general or 11 health related warnings or public statement, or the 12 requirement of any fees on cosmetic establishments, 13 cosmetics, ingredients, or nonfunctional constitu-14 ents."; and
- 15 (4) by repealing subsection (e).

16 SEC. 13. IMPORTATION.

17 Section 801(a) (21 U.S.C. 381(a)) is amended by adding at the end the following: "If a cosmetic is being 18 imported or offered for import into the United States and 19 20 the importer does not present both the unique cosmetic 21 establishment registration number required under section 22 605 for the establishment that performs the final portion 23 of the manufacturing operation and the unique cosmetic and ingredient statement number required under section 606 for the cosmetic, or the registration or statement

number is not correct and accurate, the cosmetic shall be 2 denied entry.". 3 SEC. 14. EFFECTIVE DATES. 4 (a)(1) The amendments made by sections 4, 5, 6, and 5 13 of this Act apply beginning on the later date of— 6 (A) the date that is one year after the Sec-7 retary of Health and Human Services promulgates 8 final regulations implementing such amendments; or 9 (B) the date that is one year after the Sec-10 retary of Health and Human Services publishes a 11 notice in the Federal Register determining that an 12 effective electronic system has been established and 13 is fully operational for— 14 (i) the submission of cosmetic manufac-15 turing establishment registrations, cosmetic and 16 ingredient statements, and reports of serious 17 cosmetic adverse events; and 18 (ii)the National Cosmetic Regulatory 19 Databank. 20 (2) Until the date applicable under paragraph (1), 21 the voluntary establishment registration and voluntary ingredient listing programs established in parts 710 and 720 of title 21, Code of Federal Regulations (as in effect on the date of enactment of this Act), shall remain effective

- 1 and shall be fully implemented by the Secretary of Health
- 2 and Human Services.
- 3 (b) The amendments made by sections 7 and 8 apply
- 4 beginning on the date that is two years after the date of
- 5 enactment of this Act.
- 6 (c) Notwithstanding subsections (a) and (b), the
- 7 amendments made by sections 5 and 7 shall not apply with
- 8 respect to a cosmetic manufacturer with less than
- 9 \$5,000,000 of annual net sales of cosmetics until the later
- 10 of—
- 11 (1)(A) with respect to the amendment made by
- section 5, the date that is 36 months after the date
- otherwise applicable under subsection (a); and
- (B) with respect to the amendments made by
- section 7, the date that is 36 months after the date
- otherwise applicable under subsection (b); and
- 17 (2) such later date as may be determined by the
- 18 Secretary of Health and Human Services.
- (d) Except as provided in subsections (a) through (c),
- 20 this Act takes effect on the date of enactment of this Act.

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