

116TH CONGRESS 1ST SESSION

S. 2579

To direct the Director of the Office of Science and Technology Policy to carry out programs and activities to ensure that Federal science agencies and institutions of higher education receiving Federal research and development funding are fully engaging their entire talent pool, and for other purposes.

IN THE SENATE OF THE UNITED STATES

SEPTEMBER 26, 2019

Ms. Hirono (for herself, Mr. Blumenthal, Ms. Cantwell, Ms. Cortez Masto, Ms. Duckworth, Mrs. Gillibrand, Ms. Klobuchar, Mr. Markey, Mr. Merkley, and Ms. Rosen) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

To direct the Director of the Office of Science and Technology Policy to carry out programs and activities to ensure that Federal science agencies and institutions of higher education receiving Federal research and development funding are fully engaging their entire talent pool, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

SECTION 1. SHORT TITLE; TABLE OF CONTENTS; FINDINGS.

- 2 (a) SHORT TITLE.—This Act may be cited as the
- 3 "STEM Opportunities Act of 2019".
- 4 (b) Table of Contents for
- 5 this Act is as follows:
 - Sec. 1. Short title; table of contents; findings.
 - Sec. 2. Purposes.
 - Sec. 3. Federal science agency policies for caregivers.
 - Sec. 4. Collection and reporting of data on Federal research grants.
 - Sec. 5. Policies for review of Federal research grants.
 - Sec. 6. Collection of data on demographics of faculty.
 - Sec. 7. Cultural and institutional barriers to expanding the academic and Federal STEM workforce.
 - Sec. 8. Research and dissemination at the National Science Foundation.
 - Sec. 9. Research and related activities to expand STEM opportunities.
 - Sec. 10. Tribal Colleges and Universities Program.
 - Sec. 11. Report to Congress.
 - Sec. 12. Merit review.
 - Sec. 13. Definitions.
- 6 (c) FINDINGS.—The Congress finds the following:
- 7 (1) Many reports over the past decade have
- 8 found that it is critical to our Nation's economic
- 9 leadership and global competitiveness that the
- 10 United States educates and trains more scientists
- and engineers.
- 12 (2) Research shows that women and minorities
- who are interested in STEM careers are dispropor-
- tionately lost at nearly every educational transition
- and at every career milestone.
- 16 (3) The National Center for Science and Engi-
- 17 neering Statistics at the National Science Founda-
- tion collects, compiles, analyzes, and publishes data

- on the demographics of STEM degrees and STEM jobs in the United States.
 - (4) Women now earn nearly 37 percent of all STEM bachelor's degrees, but major variations persist among fields. In 2017, women earned only 20 percent of all bachelor's degrees awarded in engineering and 19 percent of bachelor's degrees awarded in computer sciences. Based on Bureau of Labor Statistics data, jobs in computing occupations are expected to account for nearly 60 percent of the projected annual growth of newly created STEM job openings from 2016 to 2026.
 - (5) In 2017, underrepresented minority groups comprised 39 percent of the college-age population of the United States, but only 18 percent of students who earned bachelor's degrees in STEM fields. The Higher Education Research Institute at the University of California, Los Angeles, found that, while freshmen from underrepresented minority groups express an interest in pursuing a STEM undergraduate degree at the same rate as all other freshmen, only 22.1 percent of Latino students, 18.4 percent of African-American students, and 18.8 percent of Native American students studying in STEM fields complete their degree within 5 years, com-

- pared to approximately 33 percent of White students and 42 percent of Asian students who complete their degree within 5 years.
 - (6) In some STEM fields, including the computer sciences, women persist at about the same rate through doctorate degrees. In other STEM fields, women persist through doctorate degrees at a lower rate. In mathematics, women earn just 26 percent of doctorate degrees compared with 42 percent of undergraduate degrees. Overall, women earned 38 percent of STEM doctorate degrees in 2016. The rate of minority students earning STEM doctorate degrees in physics is 9 percent, compared with 15 percent for bachelor's degree. Students from underrepresented minority groups accounted for only 11.5 percent of STEM doctorate degrees awarded in 2016.
 - (7) The representation of women in STEM drops significantly from the doctorate degree level to the faculty level. Overall, women hold only 26 percent of all tenured and tenure-track positions and 27 percent of full professor positions in STEM fields in our Nation's universities and 4-year colleges. Black and Hispanic faculty together hold about 6.8 percent of all tenured and tenure-track positions and 7.5

- percent of full professor positions. Many of the numbers in the American Indian or Alaskan Native and Native Hawaiian or Other Pacific Islander categories for different faculty ranks were too small for the National Science Foundation to report publicly without potentially compromising confidential information about the individuals being surveyed.
 - (8) The representation of women is especially low at our Nation's top research universities. Even in the biological sciences, in which women now earn more than 50 percent of the doctorates and passed the 25 percent level 37 years ago, women make up only 25 percent of the full professors at the approximately 100 most research-intensive universities in the United States. In the physical sciences and mathematics, women make up only 11 percent of full professors, in computer sciences only 10 percent, and across engineering fields only 7 percent. The data suggest that approximately 6 percent of all tenure-track STEM faculty members at the most research-intensive universities are from underrepresented minority groups, but in some fields the numbers are too small to report publicly.
 - (9) By 2050, underrepresented minorities will comprise 52 percent of the college-age population of

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the United States. If the percentage of female students and students from underrepresented minority groups earning bachelor's degrees in STEM fields does not significantly increase, the United States will face an acute shortfall in the overall number of students who earn degrees in STEM fields just as United States companies are increasingly seeking students with those skills. With this impending shortfall, the United States will almost certainly lose its competitive edge in the 21st century global economy.

(10) According to a 2014 Association for Women in Science survey of over 4,000 scientists across the globe, 70 percent of whom were men, STEM researchers face significant challenges in work-life integration. Researchers in the United States were among the most likely to experience a conflict between work and their personal life at least weekly. One-third of researchers surveyed said that ensuring good work-life integration has negatively impacted their careers, and, of researchers intending to leave their current job within the next year, 9 percent indicated it was because they were unable to balance work and life demands.

- 1 (11) Female students and students from under2 represented minority groups at institutions of higher
 3 education who see few others "like themselves"
 4 among faculty and student populations often do not
 5 experience the social integration that is necessary for
 6 success in all disciplines, including STEM.
 - (12) One in five children in the United States attend school in a rural community. The data shows that rural students are at a disadvantage with respect to STEM readiness. Among STEM-interested students, 17 percent of students in rural high schools and 18 percent of students in town-located high schools meet the ACT STEM Benchmark, compared with 33 percent of students in suburban high schools and 27 percent of students in urban high schools.
 - (13) A substantial body of evidence establishes that most people hold implicit biases. Decades of cognitive psychology research reveal that most people carry prejudices of which they are unaware but that nonetheless play a large role in evaluations of people and their work. Unintentional biases and outmoded institutional structures are hindering the access and advancement of women, minorities, and other groups historically underrepresented in STEM.

- 1 (14) Workshops held to educate faculty about
 2 unintentional biases have demonstrated success in
 3 raising awareness of such biases.
 4 (15) In 2012, the Office of Diversity and Equal
 5 Opportunity of the National Aeronautics and Space
 6 Administration (in this Act referred to as "NASA")
 7 completed a report that—
 8 (A) is specifically designed to help NASA
 - (A) is specifically designed to help NASA grant recipients identify why the dearth of women in STEM fields continues and to ensure that it is not due to discrimination; and
 - (B) provides guidance that is usable by all institutions of higher education receiving significant Federal research funding on how to conduct meaningful self-evaluations of campus culture and policies.
 - (16) The Federal Government provides 55 percent of research funding at institutions of higher education and, through its grant-making policies, has had significant influence on institution of higher education policies, including policies related to institutional culture and structure.

23 SEC. 2. PURPOSES.

24 The purposes of this Act are as follows:

- (1) To ensure that Federal science agencies and institutions of higher education receiving Federal research and development funding are fully engaging the entire talent pool of the United States.
 - (2) To promote research on, and increase understanding of, the participation and trajectories of women, minorities, and other groups historically underrepresented in STEM studies and careers, including persons with disabilities, older learners, veterans, and rural, poor, and tribal populations, at institutions of higher education and Federal science agencies, including Federal laboratories.
 - (3) To raise awareness within Federal science agencies, including Federal laboratories, and institutions of higher education about cultural and institutional barriers limiting the recruitment, retention, promotion, and other indicators of participation and achievement of women, minorities, and other groups historically underrepresented in academic and Government STEM research careers at all levels.
 - (4) To identify, disseminate, and implement best practices at Federal science agencies, including Federal laboratories, and at institutions of higher education to remove or reduce cultural and institutional barriers limiting the recruitment, retention,

1	and success of women, minorities, and other groups
2	historically underrepresented in academic and Gov-
3	ernment STEM research careers.
4	(5) To provide grants to institutions of higher
5	education to recruit, retain, and advance STEM fac-
6	ulty members from underrepresented minority
7	groups and to implement or expand reforms in un-
8	dergraduate STEM education in order to increase
9	the number of students from underrepresented mi-
10	nority groups receiving degrees in these fields.
11	SEC. 3. FEDERAL SCIENCE AGENCY POLICIES FOR CARE-
12	GIVERS.
13	(a) OSTP GUIDANCE.—Not later than 6 months
14	after the date of enactment of this Act, the Director, in
15	consultation with relevant agencies, shall provide guidance
16	to each Federal science agency to establish policies that—
17	(1) apply to all—
18	(A) research awards granted by such agen-
19	cy; and
20	(B) principal investigators of such research
21	who have caregiving responsibilities, including
22	care for a newborn or newly adopted child and
23	care for an immediate family member who is
24	sick or disabled; and
25	(2) provide—

1	(A) flexibility in timing for the initiation of
2	approved research awards granted by such
3	agency;
4	(B) no-cost extensions of such research
5	awards;
6	(C) grant supplements, as appropriate, to
7	research awards for research technicians or
8	equivalent positions to sustain research activi-
9	ties conducted under such awards; and
10	(D) any other appropriate accommodations
11	at the discretion of the director of each such
12	agency.
13	(b) Uniformity of Guidance.—In providing guid-
14	ance under subsection (a), the Director shall encourage
15	uniformity and consistency in the policies established pur-
16	suant to such guidance across all Federal science agencies.
17	(c) Establishment of Policies.—Consistent with
18	the guidance under subsection (a), Federal science agen-
19	cies shall—
20	(1) maintain or develop and implement policies
21	for individuals described in paragraph (1)(B) of
22	such subsection; and
23	(2) broadly disseminate such policies to current
24	and potential grantees.

1	(d) Data on Usage.—Federal science agencies
2	shall—
3	(1) collect data on the usage of the policies
4	under subsection (c), by gender, at both institutions
5	of higher education and Federal laboratories; and
6	(2) report such data on an annual basis to the
7	Director in such form as required by the Director.
8	SEC. 4. COLLECTION AND REPORTING OF DATA ON FED-
9	ERAL RESEARCH GRANTS.
10	(a) Collection of Data.—
11	(1) In general.—Each Federal science agency
12	shall collect, as practicable, with respect to all appli-
13	cations for merit-reviewed research and development
14	grants to institutions of higher education and Fed-
15	eral laboratories supported by that agency, the
16	standardized record-level annual information on de-
17	mographics, primary field, award type, institution
18	type, review rating, budget request, funding out-
19	come, and awarded budget.
20	(2) Uniformity and standardization.—The
21	Director, in consultation with the Director of the
22	National Science Foundation, shall establish a policy
23	to ensure uniformity and standardization of the data
24	collection required under paragraph (1).
25	(3) Record-Level Data.—

- 1 (A) REQUIREMENT.—Beginning not later
 2 than 2 years after the date of the enactment of
 3 this Act, and on an annual basis thereafter,
 4 each Federal science agency shall submit to the
 5 Director of the National Science Foundation
 6 record-level data collected under paragraph (1)
 7 in the form required by such Director.
- 8 (B) Previous data.—As part of the first
 9 submission under subparagraph (A), each Fed10 eral science agency, to the extent practicable,
 11 shall also submit comparable record-level data
 12 for the 5 years preceding the date of such sub13 mission.
- 14 (b) Reporting of Data.—The Director of the Na-15 tional Science Foundation shall publish statistical summary data, as practicable, collected under this section, 16 17 disaggregated and cross-tabulated by race, ethnicity, gender, and years since completion of doctoral degree, includ-18 19 ing in conjunction with the National Science Foundation's 20 report required by section 37 of the Science and Engineer-21 ing Equal Opportunities Act (42 U.S.C. 1885d).
- 22 SEC. 5. POLICIES FOR REVIEW OF FEDERAL RESEARCH 23 GRANTS.
- 24 (a) IN GENERAL.—Each Federal science agency shall 25 implement the policy recommendations with respect to re-

- 1 ducing the impact of implicit bias at Federal science agen-
- 2 cies and grantee institutions as developed by the Office
- 3 of Science and Technology Policy in the 2016 report enti-
- 4 tled "Reducing the Impact of Bias in the STEM Work-
- 5 force" and any subsequent updates.
- 6 (b) Pilot Activity.—In consultation with the Na-
- 7 tional Science Foundation and consistent with policy rec-
- 8 ommendations referenced in subsection (a), each Federal
- 9 science agency shall implement a 2-year pilot orientation
- 10 activity for program officers and members of standing re-
- 11 view committees to educate reviewers on research related
- 12 to, and minimize the effects of, implicit bias in the review
- 13 of extramural and intramural Federal research grants.
- 14 (c) Establishment of Policies.—Drawing upon
- 15 lessons learned from the pilot activity under subsection
- 16 (b), each Federal science agency shall maintain or develop
- 17 and implement evidence-based policies and practices to
- 18 minimize the effects of implicit bias in the review of extra-
- 19 mural and intramural Federal research grants.
- 20 (d) Assessment of Policies.—Federal science
- 21 agencies shall regularly assess, and amend as necessary,
- 22 the policies and practices implemented pursuant to sub-
- 23 section (c) to ensure effective measures are in place to
- 24 minimize the effects of implicit bias in the review of extra-
- 25 mural and intramural Federal research grants.

1 SEC. 6. COLLECTION OF DATA ON DEMOGRAPHICS OF FAC-

2	ULTY.
3	(a) Collection of Data.—
4	(1) IN GENERAL.—Not later than 3 years after
5	the date of enactment of this Act, and at least every
6	5 years thereafter, the Director of the National
7	Science Foundation shall carry out a survey to col-
8	lect data from grantees on the demographics of
9	STEM faculty, by broad fields of STEM, at dif-
10	ferent types of institutions of higher education.
11	(2) Considerations.—To the extent prac-
12	ticable, the Director of the National Science Foun-
13	dation shall consider, by gender, race, ethnicity, citi-
14	zenship status, and years since completion of doc-
15	toral degree—
16	(A) the number and percentage of faculty;
17	(B) the number and percentage of faculty
18	at each rank;
19	(C) the number and percentage of faculty
20	who are in nontenure-track positions, including
21	teaching and research;
22	(D) the number and percentage of faculty
23	who are reviewed for promotion, including ten-
24	ure, and the percentage of that number who are
25	promoted, including being awarded tenure;
26	(E) faculty years in rank;

1	(F) the number and percentage of faculty
2	to leave tenure-track positions;
3	(G) the number and percentage of faculty
4	hired, by rank; and
5	(H) the number and percentage of faculty
6	in leadership positions.
7	(b) Existing Surveys.—The Director of the Na-
8	tional Science Foundation, may, in modifying or expand-
9	ing existing Federal surveys of higher education (as nec-
10	essary)—
11	(1) take into account the considerations under
12	subsection (a)(2) by collaborating with statistical
13	centers at other Federal agencies; or
14	(2) award a grant or contract to an institution
15	of higher education or other nonprofit organization
16	to take such considerations into account.
17	(c) Reporting Data.—The Director of the National
18	Science Foundation shall publish statistical summary data
19	collected under this section, including as part of the Na-
20	tional Science Foundation's report required by section 37
21	of the Science and Engineering Equal Opportunities Act
22	(42 U.S.C. 1885d).
23	(d) Authorization of Appropriations.—There
24	are authorized to be appropriated to the Director of the
25	National Science Foundation \$3,000,000 in each of fiscal

1	years 2020 through 2022 to develop and carry out the
2	initial survey required under subsection (a).
3	SEC. 7. CULTURAL AND INSTITUTIONAL BARRIERS TO EX-
4	PANDING THE ACADEMIC AND FEDERAL
5	STEM WORKFORCE.
6	(a) Best Practices at Institutions of Higher
7	EDUCATION AND FEDERAL LABORATORIES.—
8	(1) Development of Guidance.—Not later
9	than 12 months after the date of enactment of this
10	Act, the Director, in consultation with the inter-
11	agency working group on inclusion in STEM, shall
12	develop written guidance for institutions of higher
13	education and Federal laboratories on the best prac-
14	tices for—
15	(A) conducting periodic climate surveys of
16	STEM departments and divisions, with a par-
17	ticular focus on identifying any cultural or in-
18	stitutional barriers to the recruitment, reten-
19	tion, or advancement of women, racial and eth-
20	nic minorities, and other groups historically
21	underrepresented in STEM studies and careers;
22	and
23	(B) providing educational opportunities, in-
24	cluding workshops as described in subsection
25	(b), for STEM faculty, research personnel, and

1	administrators to learn about current research
2	on implicit bias in recruitment, evaluation, and
3	promotion of undergraduate and graduate stu-
4	dents and research personnel.
5	(2) Existing Guidance.—In developing the
6	guidance under paragraph (1), the Director shall
7	utilize guidance already developed by Federal science
8	agencies.
9	(3) Dissemination of Guidance.—Federal
10	science agencies shall broadly disseminate the guid-
11	ance developed under paragraph (1) to institutions
12	of higher education that receive Federal research
13	funding and Federal laboratories.
14	(4) Establishment of policies.—Consistent
15	with the guidance developed under paragraph (1)—
16	(A) the Director of the National Science
17	Foundation shall develop a policy that—
18	(i) applies to, at a minimum, doctoral
19	degree granting institutions that receive
20	Federal research funding; and
21	(ii) requires each such institution, not
22	later than 3 years after the date of enact-
23	ment of this Act, to report to the Director
24	of the National Science Foundation on ac-
25	tivities and policies developed and imple-

1	mented	based	on	the	guidance	developed
2	under p	aragraj	oh (1); a	nd	

- 3 (B) each Federal science agency with a
 4 Federal laboratory shall maintain or develop
 5 and implement practices and policies for the
 6 purposes described in paragraph (1) for such
 7 laboratory.
- 8 (b) Workshops To Address Cultural Barriers
 9 to Expanding the Academic and Federal STEM
 10 Workforce.—
 - (1) In general.—Not later than 6 months after the date of enactment of this Act, the Director, in consultation with the interagency working group on inclusion in STEM, shall recommend a uniform policy for Federal science agencies to carry out a program of workshops that educate STEM department chairs at institutions of higher education, senior managers at Federal laboratories, and other federally funded researchers about methods that minimize the effects of implicit bias in the career advancement, including hiring, tenure, promotion, and selection for any honor based in part on the recipient's research record, of academic and Federal STEM researchers.

- 1 (2) Interagency coordination.—The Direc-2 tor shall, to the extent practicable, ensure that work-3 shops supported under this subsection are coordi-4 nated across Federal science agencies and jointly 5 supported as appropriate.
 - (3) MINIMIZING COSTS.—To the extent practicable, workshops shall be held in conjunction with national or regional STEM disciplinary meetings to minimize costs associated with participant travel.
 - (4) Priority fields for academic participation of STEM department chairs and other academic researchers, the Director shall prioritize workshops for the broad fields of STEM in which the national rate of representation of women among tenured or tenure-track faculty or nonfaculty researchers at doctorate-granting institutions of higher education is less than 25 percent, according to the most recent data available from the National Center for Science and Engineering Statistics.
 - (5) Organizations eligible to carry out workshops.—A Federal science agency may carry out the program of workshops under this subsection by making grants to organizations made eligible by

1	the Federal science agency and any of the following
2	organizations:
3	(A) Nonprofit scientific and professional
4	societies and organizations that represent one
5	or more STEM disciplines.
6	(B) Nonprofit organizations that have the
7	primary mission of advancing the participation
8	of women, minorities, or other groups histori-
9	cally underrepresented in STEM.
10	(6) Characteristics of workshops.—The
11	workshops shall have the following characteristics:
12	(A) Invitees to workshops shall include at
13	least—
14	(i) the chairs of departments in the
15	relevant STEM discipline or disciplines
16	from doctoral degree granting institutions
17	that receive Federal research funding; and
18	(ii) in the case of Federal laboratories,
19	individuals with personnel management re-
20	sponsibilities comparable to those of an in-
21	stitution of higher education department
22	chair.
23	(B) Activities at the workshops shall in-
24	clude research presentations and interactive dis-
25	gussions or other activities that increase the

- awareness of the existence of implicit bias in recruitment, hiring, tenure review, promotion, and other forms of formal recognition of individual achievement for faculty and other federally funded STEM researchers and shall provide strategies to overcome such bias.
 - (C) Research presentations and other workshop programs, as appropriate, shall include a discussion of the unique challenges faced by different underrepresented groups, including minority women, minority men, persons from rural and underserved areas, persons with disabilities, gender and sexual minority individuals, and first generation graduates in research.
 - (D) Workshop programs shall include information on best practices for mentoring undergraduate, graduate, and postdoctoral women, minorities, and other students from groups historically underrepresented in STEM.
 - (7) Data on workshops.—Any proposal for funding by an organization seeking to carry out a workshop under this subsection shall include a description of how such organization will—
- 24 (A) collect data on the rates of attendance 25 by invitees in workshops, including information

1	on the home institution and department of
2	attendees, and the rank of faculty attendees;
3	(B) conduct attitudinal surveys on work-
4	shop attendees before and after the workshops;
5	and
6	(C) collect follow-up data on any relevant
7	institutional policy or practice changes reported
8	by attendees not later than one year after at-
9	tendance in such a workshop.
10	(8) Report to NSF.—Organizations receiving
11	funding to carry out workshops under this sub-
12	section shall report the data required in paragraph
13	(7) to the Director of the National Science Founda-
14	tion in such form as required by such Director.
15	(c) Report to Congress.—Not later than 4 years
16	after the date of enactment of this Act, the Director of
17	the National Science Foundation shall submit a report to
18	Congress that includes—
19	(1) a summary and analysis of the types and
20	frequency of activities and policies developed and
21	carried out under subsection (a) based on the re-
22	ports submitted under paragraph (4) of such sub-
23	section; and
24	(2) a description and evaluation of the status
25	and effectiveness of the program of workshops re-

1	quired under subsection (b), including a summary of
2	any data reported under paragraph (8) of such sub-
3	section.
4	(d) Authorization of Appropriations.—There
5	are authorized to be appropriated to the Director of the
6	National Science Foundation \$1,000,000 in each of fiscal
7	years 2020 through 2024 to carry out this section.
8	SEC. 8. RESEARCH AND DISSEMINATION AT THE NATIONAL
9	SCIENCE FOUNDATION.
10	(a) In General.—The Director of the National
11	Science Foundation shall award research grants and carry
12	out dissemination activities consistent with the purposes
13	of this Act, including—
14	(1) research grants to analyze the record-level
15	data collected under section 4 and section 6, con-
16	sistent with policies to ensure the privacy of individ-
17	uals identifiable by such data;
18	(2) research grants to study best practices for
19	work-life accommodation;
20	(3) research grants to study the impact of poli-
21	cies and practices that are implemented under this
22	Act or that are otherwise consistent with the pur-
23	poses of this Act;
24	(4) collaboration with other Federal science
25	agencies and professional associations to exchange

1	best practices, harmonize work-life accommodation
2	policies and practices, and overcome common bar-
3	riers to work-life accommodation; and
4	(5) collaboration with institutions of higher
5	education in order to clarify and catalyze the adop-
6	tion of a coherent and consistent set of work-life ac-
7	commodation policies and practices.
8	(b) Authorization of Appropriations.—There
9	are authorized to be appropriated to the Director of the
10	National Science Foundation \$5,000,000 in each of fiscal
11	years 2020 through 2024 to carry out this section.
12	SEC. 9. RESEARCH AND RELATED ACTIVITIES TO EXPAND
13	STEM OPPORTUNITIES.
14	(a) National Science Foundation Support for
15	Increasing Diversity Among STEM Faculty at In-
1516	INCREASING DIVERSITY AMONG STEM FACULTY AT INSTITUTIONS OF HIGHER EDUCATION.—Section 305 of the
16 17	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the
16 17	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the American Innovation and Competitiveness Act (42 U.S.C.
16 17 18	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the American Innovation and Competitiveness Act (42 U.S.C. 1862s–5) is amended—
16 17 18 19	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the American Innovation and Competitiveness Act (42 U.S.C. 1862s-5) is amended— (1) by redesignating subsections (e) and (f) as
16 17 18 19 20	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the American Innovation and Competitiveness Act (42 U.S.C. 1862s–5) is amended— (1) by redesignating subsections (e) and (f) as subsections (g) and (h), respectively; and
16 17 18 19 20 21	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the American Innovation and Competitiveness Act (42 U.S.C. 1862s–5) is amended— (1) by redesignating subsections (e) and (f) as subsections (g) and (h), respectively; and (2) by inserting after subsection (d) the fol-
16171819202122	STITUTIONS OF HIGHER EDUCATION.—Section 305 of the American Innovation and Competitiveness Act (42 U.S.C. 1862s-5) is amended— (1) by redesignating subsections (e) and (f) as subsections (g) and (h), respectively; and (2) by inserting after subsection (d) the following:

- 1 "(1) IN GENERAL.—The Director of the Foun2 dation shall award grants to institutions of higher
 3 education (or consortia thereof) for the development
 4 and assessment of innovative reform efforts designed
 5 to increase the recruitment, retention, and advance6 ment of individuals from underrepresented minority
 7 groups in academic STEM careers.
 - "(2) MERIT REVIEW; COMPETITION.—Grants shall be awarded under this subsection on a merit-reviewed, competitive basis.
 - "(3) USE OF FUNDS.—Activities supported by grants under this subsection may include—
 - "(A) institutional assessment activities, such as data analyses and policy review, in order to identify and address specific issues in the recruitment, retention, and advancement of faculty members from underrepresented minority groups;
 - "(B) implementation of institution-wide improvements in workload distribution, such that faculty members from underrepresented minority groups are not disadvantaged in the amount of time available to focus on research, publishing papers, and engaging in other activi-

1	ties required to achieve tenure status and run
2	a productive research program;
3	"(C) development and implementation of
4	training courses for administrators and search
5	committee members to ensure that candidates
6	from underrepresented minority groups are not
7	subject to implicit biases in the search and hir-
8	ing process;
9	"(D) development and hosting of intra- or
10	inter-institutional workshops to propagate best
11	practices in recruiting, retaining, and advancing
12	faculty members from underrepresented minor-
13	ity groups;
14	"(E) professional development opportuni-
15	ties for faculty members from underrepresented
16	minority groups;
17	"(F) activities aimed at making under-
18	graduate STEM students from underrep-
19	resented minority groups aware of opportunities
20	for academic careers in STEM fields;
21	"(G) activities to identify and engage ex-
22	ceptional graduate students and postdoctoral
23	researchers from underrepresented minority
24	groups at various stages of their studies and to
25	encourage them to enter academic careers; and

"(H) other activities consistent with para-1 2 graph (1), as determined by the Director of the 3 Foundation. "(4) Selection process.— 4 "(A) APPLICATION.—An institution 6 higher education (or a consortium of such insti-7 tutions) seeking funding under this subsection 8 shall submit an application to the Director of 9 the Foundation at such time, in such manner, 10 and containing such information and assur-11 ances as such Director may require. The appli-12 cation shall include, at a minimum, a descrip-13 tion of— 14 "(i) the reform effort that is being 15 proposed for implementation by the insti-16 tution of higher education; 17 "(ii) any available evidence of specific 18 difficulties in the recruitment, retention, 19 and advancement of faculty members from 20 underrepresented minority groups 21 STEM academic careers within the institu-22 tion of higher education submitting an ap-23 plication, and how the proposed reform ef-

fort would address such issues;

1	"(iii) how the institution of higher
2	education submitting an application plans
3	to sustain the proposed reform effort be-
4	yond the duration of the grant; and
5	"(iv) how the success and effective-
6	ness of the proposed reform effort will be
7	evaluated and assessed in order to con-
8	tribute to the national knowledge base
9	about models for catalyzing institutional
10	change.
11	"(B) REVIEW OF APPLICATIONS.—In se-
12	lecting grant recipients under this subsection,
13	the Director of the Foundation shall consider,
14	at a minimum—
15	"(i) the likelihood of success in under-
16	taking the proposed reform effort at the
17	institution of higher education submitting
18	the application, including the extent to
19	which the administrators of the institution
20	are committed to making the proposed re-
21	form effort a priority;
22	"(ii) the degree to which the proposed
23	reform effort will contribute to change in
24	institutional culture and policy such that
25	greater value is placed on the recruitment,

1	retention, and advancement of faculty
2	members from underrepresented minority
3	groups;
4	"(iii) the likelihood that the institu-
5	tion of higher education will sustain or ex-
6	pand the proposed reform effort beyond
7	the period of the grant; and
8	"(iv) the degree to which evaluation
9	and assessment plans are included in the
10	design of the proposed reform effort.
11	"(C) Grant distribution.—The Director
12	of the Foundation shall ensure, to the extent
13	practicable, that grants awarded under this sec-
14	tion are made to a variety of types of institu-
15	tions of higher education.
16	"(5) Authorization of appropriations.—
17	There are authorized to be appropriated to carry out
18	this subsection \$8,000,000 for each of fiscal years
19	2020 through 2024.".
20	(b) National Science Foundation Support for
21	Broadening Participation in Undergraduate
22	STEM Education.—Section 305 of the American Inno-
23	vation and Competitiveness Act (42 U.S.C. 1862s-5), as
24	amended by subsection (a), is further amended by insert-
25	ing after subsection (e) the following:

1	"(f) Support for Broadening Participation in
2	UNDERGRADUATE STEM EDUCATION.—
3	"(1) In General.—The Director of the Foun-
4	dation shall award grants to institutions of higher
5	education (or a consortium of such institutions) to
6	implement or expand research-based reforms in un-
7	dergraduate STEM education for the purpose of re-
8	cruiting and retaining students from minority
9	groups who are underrepresented in STEM fields.
10	"(2) Merit review; competition.—Grants
11	shall be awarded under this subsection on a merit-
12	reviewed, competitive basis.
13	"(3) Use of funds.—Activities supported by
14	grants under this subsection may include—
15	"(A) implementation or expansion of inno-
16	vative, research-based approaches to broaden
17	participation of underrepresented minority
18	groups in STEM fields;
19	"(B) implementation or expansion of
20	bridge, cohort, tutoring, or mentoring pro-
21	grams, including those involving community col-
22	leges and technical schools, designed to enhance
23	the recruitment and retention of students from
24	underrepresented minority groups in STEM
25	fields:

- "(C) implementation or expansion of outreach programs linking institutions of higher education and K-12 school systems in order to heighten awareness among pre-college students from underrepresented minority groups of opportunities in college-level STEM fields and STEM careers;
 - "(D) implementation or expansion of faculty development programs focused on improving retention of undergraduate STEM students from underrepresented minority groups;
 - "(E) implementation or expansion of mechanisms designed to recognize and reward faculty members who demonstrate a commitment to increasing the participation of students from underrepresented minority groups in STEM fields;
 - "(F) expansion of successful reforms aimed at increasing the number of STEM students from underrepresented minority groups beyond a single course or group of courses to achieve reform within an entire academic unit, or expansion of successful reform efforts beyond a single academic unit or field to other STEM

1	academic units or fields within an institution of
2	higher education;
3	"(G) expansion of opportunities for stu-
4	dents from underrepresented minority groups to
5	conduct STEM research in industry, at Federal
6	labs, and at international research institutions
7	or research sites;
8	"(H) provision of stipends for students
9	from underrepresented minority groups partici-
10	pating in research;
11	"(I) development of research collaborations
12	between research-intensive universities and pri-
13	marily undergraduate minority-serving institu-
14	tions;
15	"(J) support for graduate students and
16	postdoctoral fellows from underrepresented mi-
17	nority groups to participate in instructional or
18	assessment activities at primarily under-
19	graduate institutions, including primarily un-
20	dergraduate minority-serving institutions and
21	two-year institutions of higher education; and
22	"(K) other activities consistent with para-
23	graph (1), as determined by the Director of the
24	Foundation.
25	"(4) Selection process.—

1	"(A) APPLICATION.—An institution of
2	higher education (or a consortium thereof)
3	seeking a grant under this subsection shall sub-
4	mit an application to the Director of the Foun-
5	dation at such time, in such manner, and con-
6	taining such information and assurances as
7	such Director may require. The application
8	shall include, at a minimum—
9	"(i) a description of the proposed re-
10	form effort;
11	"(ii) a description of the research
12	findings that will serve as the basis for the
13	proposed reform effort or, in the case of
14	applications that propose an expansion of a
15	previously implemented reform, a descrip-
16	tion of the previously implemented reform
17	effort, including data about the recruit-
18	ment, retention, and academic achievement
19	of students from underrepresented minor-
20	ity groups;
21	"(iii) evidence of an institutional com-
22	mitment to, and support for, the proposed
23	reform effort, including a long-term com-
24	mitment to implement successful strategies

from the current reform beyond the aca-

1	demic unit or units included in the grant
2	proposal;
3	"(iv) a description of existing or
4	planned institutional policies and practices
5	regarding faculty hiring, promotion, ten-
6	ure, and teaching assignment that reward
7	faculty contributions to improving the edu-
8	cation of students from underrepresented
9	minority groups in STEM; and
10	"(v) how the success and effectiveness
11	of the proposed reform effort will be evalu-
12	ated and assessed in order to contribute to
13	the national knowledge base about models
14	for catalyzing institutional change.
15	"(B) REVIEW OF APPLICATIONS.—In se-
16	lecting grant recipients under this subsection,
17	the Director of the Foundation shall consider,
18	at a minimum—
19	"(i) the likelihood of success of the
20	proposed reform effort at the institution
21	submitting the application, including the
22	extent to which the faculty, staff, and ad-
23	ministrators of the institution are com-
24	mitted to making the proposed institu-

1	tional reform a priority of the participating
2	academic unit or units;
3	"(ii) the degree to which the proposed
4	reform effort will contribute to change in
5	institutional culture and policy such that
6	greater value is placed on faculty engage-
7	ment in the retention of students from
8	underrepresented minority groups;
9	"(iii) the likelihood that the institu-
10	tion will sustain or expand the proposed
11	reform effort beyond the period of the
12	grant; and
13	"(iv) the degree to which evaluation
14	and assessment plans are included in the
15	design of the proposed reform effort.
16	"(C) Grant distribution.—The Director
17	of the Foundation shall ensure, to the extent
18	practicable, that grants awarded under this
19	subsection are made to a variety of types of in-
20	stitutions of higher education, including two-
21	year and minority-serving institutions of higher
22	education.
23	"(5) Education research.—
24	"(A) IN GENERAL.—All grants made under
25	this subsection shall include an education re-

search component that will support the design and implementation of a system for data collection and evaluation of proposed reform efforts in order to build the knowledge base on promising models for increasing recruitment and retention of students from underrepresented minority groups in STEM education at the undergraduate level across a diverse set of institutions.

"(B) DISSEMINATION.—The Director of the Foundation shall coordinate with relevant Federal agencies in disseminating the results of the research under this paragraph to ensure that best practices in broadening participation in STEM education at the undergraduate level are made readily available to all institutions of higher education, other Federal agencies that support STEM programs, non-Federal funders of STEM education, and the general public.

"(6) AUTHORIZATION OF APPROPRIATIONS.— There are authorized to be appropriated to carry out this subsection \$15,000,000 for each of fiscal years 2020 through 2024.".

1	SEC. 10. TRIBAL COLLEGES AND UNIVERSITIES PROGRAM.
2	(a) Grants To Broaden Tribal College and
3	University Student Participation in Computer
4	SCIENCE.—Section 525 of the America COMPETES Re-
5	authorization Act of 2010 (42 U.S.C. $1862p-13$) is
6	amended by inserting after subsection (c) the following:
7	"(d) Grants To Broaden Tribal College and
8	University Student Participation in Computer
9	Science.—
10	"(1) In general.—The Director, as part of
11	the program authorized under this section, shall
12	award grants on a competitive, merit-reviewed basis
13	to eligible entities to increase the participation of
14	tribal populations in computer science and computa-
15	tional thinking education programs to enable stu-
16	dents to develop skills and competencies in coding,
17	problem-solving, critical thinking, creativity and col-
18	laboration.
19	"(2) Purpose.—Grants awarded under this
20	subsection shall support—
21	"(A) research and development needed to
22	bring computer science and computational
23	thinking courses and degrees to tribal colleges
24	and universities;
25	"(B) research and development of instruc-
26	tional materials needed to integrate computer

1	science and computational thinking into pro-
2	grams that are culturally relevant to students
3	attending tribal colleges and universities;
4	"(C) research, development, and evaluation

- "(C) research, development, and evaluation of distance education for computer science and computational thinking courses and degree programs for students attending tribal colleges and universities; and
- "(D) other activities consistent with the activities described in paragraphs (1) through (4) of subsection (b), as determined by the Director.
- "(3) Partnerships.—A tribal college or university seeking a grant under this subsection, or a consortia thereof, may partner with an institution of higher education or nonprofit organization with demonstrated expertise in academic program development.
- "(4) Coordination.—In carrying out this subsection, the Director shall consult and cooperate with the programs and policies of other relevant Federal agencies to avoid duplication with and enhance the effectiveness of the program under this subsection.

1 "(5) AUTHORIZATION OF APPROPRIATIONS.— 2 There are authorized to be appropriated to the Di-3 rector of the Foundation \$2,000,000 in each of fis-4 cal years 2020 through 2024 to carry out this sub-5 section.". 6 (b) EVALUATION.— 7 (1) IN GENERAL.—Not later than 2 years after 8 the date of enactment of this Act, the Director of 9 the National Science Foundation shall evaluate the 10 grant program authorized under section 525 of the 11 America COMPETES Reauthorization Act of 2010 12 (42 U.S.C. 1862p–13), as amended by subsection 13 (a). 14 (2) REQUIREMENTS.—In conducting the evalua-15 tion under paragraph (1), the Director of the Na-16 tional Science Foundation shall, as practicable— 17 (A) use a common set of benchmarks and 18 assessment tools to identify best practices and 19 materials developed or demonstrated by the re-20 search conducted pursuant to grants programs 21 under section 525 of the America COMPETES 22 Reauthorization Act of 2010 (42)U.S.C. 23 1862p-13);24 (B) include an assessment of the effective-25 ness of such grant programs in expanding ac-

1	cess to high quality STEM education, research,
2	and outreach at tribal colleges and universities,
3	as applicable;
4	(C) assess the number of students who
5	participated in such grant programs; and
6	(D) assess the percentage of students par-
7	ticipating in such grant programs who success-
8	fully complete their education programs.
9	(3) Report.—Not later than 180 days after
10	the date on which the evaluation under paragraph
11	(1) is completed, the Director of the National
12	Science Foundation shall submit to Congress and
13	make available to the public, a report on the results
14	of the evaluation, including any recommendations for
15	legislative action that could optimize the effective-
16	ness of the grant program authorized under section
17	525 of the America COMPETES Reauthorization
18	Act of 2010, as amended by subsection (a).
19	SEC. 11. REPORT TO CONGRESS.
20	Not later than 4 years after the date of enactment
21	of this Act, the Director shall submit a report to Congress
22	that includes—
23	(1) a description and evaluation of the status
24	and usage of policies implemented pursuant to sec-
25	tion 3 at all Federal science agencies, including any

1	recommendations for revising or expanding such
2	policies;
3	(2) with respect to efforts to minimize the ef-
4	fects of implicit bias in the review of extramural and
5	intramural Federal research grants under section
6	5—
7	(A) what steps all Federal science agencies
8	have taken to implement policies and practices
9	to minimize such effects;
10	(B) a description of any significant up-
11	dates to the policies for review of Federal re-
12	search grants required under such section; and
13	(C) any evidence of the impact of such
14	policies on the review or awarding of Federal
15	research grants; and
16	(3) a description and evaluation of the status of
17	institution of higher education and Federal labora-
18	tory policies and practices required under section
19	7(a), including any recommendations for revising or
20	expanding such policies.
21	SEC. 12. MERIT REVIEW.
22	Nothing in this Act shall be construed as altering any
23	intellectual or broader impacts criteria at Federal science

 $24\,\,$ agencies for evaluating grant applications.

SEC. 13. DEFINITIONS.

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- 3 (1) Director.—The term "Director" means 4 the Director of the Office of Science and Technology 5 Policy.
- 6 (2) Federal Laboratory.—The term "Fed-7 eral laboratory" has the meaning given such term in 8 section 4 of the Stevenson-Wydler Technology Inno-9

vation Act of 1980 (15 U.S.C. 3703).

- (3) Federal Science Agency.—The term "Federal science agency" means any Federal agency with at least \$100,000,000 in research and development expenditures in fiscal year 2018.
 - (4) Institution of higher education.—The term "institution of higher education" has the meaning given such term in section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)).
- 18 (5) Interagency working group on inclu-19 SION IN STEM.—The term "interagency working 20 group on inclusion in STEM" means the interagency 21 working group established by section 308 of the 22 American Innovation and Competitiveness Act (42) 23 U.S.C. 6626).

(6) STEM.—The term "STEM" means science,
 technology, engineering, and mathematics, including
 computer science.

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