# **SENATE BILL 265**

## EMERGENCY BILL ENROLLED BILL

(7lr2012)

— Finance/Environment and Transportation —

Introduced by Senators Feldman, Kagan, Kelley, King, Lee, Madaleno, Manno, Pinsky, Rosapepe, Smith, and Zucker

| Read and   | l Examined   | l by Pro  | oofreaders:  |  |  |   |
|--|--|---|--|--|--|---|
|  |  |   |  |  | Proofre  | ader.                                       |
|  |  |   |  |  | Proofre  | ader.                                       |
| Sealed with the Great Seal and   | presented  | l to th   | ie Governor,   | for his  | approval   | this  |
| day of   | at   |   |  | _ o'clock  | ,  | M.  |
|  |  |   |  |  | Presi  | dent.                                       |
|  | СНАРТЕ   | ₹   | _  |  |  |   |
| AN ACT concerning  |  |   |  |  |  |   |
| Washington Metrorai  | il Safety (  | Commi   | ssion Memb   | ership A   | et   |   |
| FOR the purpose of requiring that Washington Metrorail Safety advice and consent of the Sedirectors of the Commission Montgomery County or Prince of the board of directors of the or Prince George's County may of the same county; making to establishing—the—Washington Metrorail Safety Commission | y Commiss enate; requeste George's e Commiss ay not be some the commiss of the commission of the commissi | ion be uiring opinted County ion who ucceedentingen | appointed by<br>one regular of<br>by the Gove<br>by; providing to<br>is a residence<br>and by an indiction the taking<br>fety—Commis | the Gove<br>member of<br>rnor to be<br>hat the reg<br>t of Montgo<br>vidual who<br>ng effect o | rnor with<br>the boa<br>a reside<br>gular me<br>omery Co<br>o is a resi<br>fanother<br>ablishing | n the rd of ont of ounty ident - Aet; - the |

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.

<u>Underlining</u> indicates amendments to bill.

Strike out indicates matter stricken from the bill by amendment or deleted from the law by amendment.

regulatory, and enforcement authority over the Washington Metropolitan Area

Italics indicate opposite chamber/conference committee amendments.



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| 1             | authority for WMATA; specifying the membership, powers, organization, and duties  |
|---------------|---|
| 2             | of the Commission; making the Compact contingent on the adoption of the Compact   |
| 3             | by certain other jurisdictions; making this Act an emergency measure making this  |
| $\frac{4}{5}$ | Act contingent on the taking effect of another Act; and generally relating to the membership of the membership of the Washington Metrorail Safety Commission. |
| 6             | BY adding to  |
| 7             | Article – Transportation  |
| 8             | Section 7–709 <del>and 10–208</del>   |
| 9             | Annotated Code of Maryland  |
| 10            | (2015 Replacement Volume and 2016 Supplement)   |
| 11            | SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,   |
| 12            | That the Laws of Maryland read as follows:  |
| 13            | Article - Transportation  |
| 14            | 7–709.  |
| 15            | (A) IN THIS SECTION, "COMMISSION" MEANS THE WASHINGTON  |
| 16            | METRORAIL SAFETY COMMISSION ESTABLISHED UNDER § 10–208 OF THIS ARTICLE.   |
| 17            | (B) TWO REGULAR MEMBERS AND ONE ALTERNATE MEMBER OF THE BOARD   |
| 18            | OF DIRECTORS OF THE COMMISSION SHALL BE APPOINTED BY THE GOVERNOR   |
| 19            | WITH THE ADVICE AND CONSENT OF THE SENATE.  |
| 20            | (C) (1) ONE OF THE REGULAR MEMBERS OF THE BOARD OF DIRECTORS  |
| 21            | OF THE COMMISSION WHO IS APPOINTED BY THE GOVERNOR SHALL BE A RESIDENT  |
| 22            | OF MONTGOMERY COUNTY OR PRINCE GEORGE'S COUNTY.   |
| 23            | (2) THE INDIVIDUAL APPOINTED UNDER THIS SUBSECTION MAY NOT  |
| 24            | BE SUCCEEDED IN OFFICE BY AN INDIVIDUAL WHO IS A RESIDENT OF THE SAME   |
| 25            | COUNTY.   |
| 26            | <del>10-208.</del>  |
| 27            | PREAMBLE  |
| 28            | WHEREAS, THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY,  |
| 29            | A PARTNERSHIP BETWEEN THE DISTRICT OF COLUMBIA, THE COMMONWEALTH OF   |
| 30            | VIRGINIA, AND THE STATE OF MARYLAND, PROVIDES TRANSPORTATION SERVICES   |
| 31            | TO MILLIONS OF PEOPLE EACH YEAR AND THE SAFETY OF ITS SYSTEM IS   |
| 32            | PARAMOUNT; AND  |

| 1          | WHEREAS, AN EFFECTIVE AND SAFE WASHINGTON METROPOLITAN AREA  |
|------------|--|
| 2          | TRANSIT AUTHORITY SYSTEM IS ESSENTIAL TO THE COMMERCE AND PROSPERITY   |
| 3          | OF THE NATIONAL CAPITAL REGION; AND  |
|            |  |
| 4          | WHEREAS, THE TRI-STATE OVERSIGHT COMMITTEE, CREATED BY A   |
| 5          | MEMORANDUM OF UNDERSTANDING AMONGST THESE THREE JURISDICTIONS, HAS   |
| 6          | PROVIDED SAFETY OVERSIGHT OF THE WASHINGTON METROPOLITAN AREA  |
| 7          | TRANSIT AUTHORITY; AND   |
| 8          | WHEREAS, An amendment to 49 U.S.C. 5329 requires the creation of   |
| 9          | A LEGALLY AND FINANCIALLY INDEPENDENT STATE AUTHORITY FOR SAFETY   |
| 10         | OVERSIGHT OF ALL FIXED RAIL TRANSIT FACILITIES; AND  |
|            |  |
| 11         | WHEREAS, THE DISTRICT OF COLUMBIA, THE COMMONWEALTH OF   |
| 12         | VIRGINIA, AND THE STATE OF MARYLAND WANT TO CREATE A WASHINGTON  |
| 13         | METRORAIL SAFETY COMMISSION TO ACT AS THE STATE SAFETY OVERSIGHT   |
| 14         | AUTHORITY FOR THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY   |
| 15         | SYSTEM UNDER 49 U.S.C. 5329; AND   |
| 16         | WHEREAS, THIS ACT IS CREATED FOR THE BENEFIT OF THE PEOPLE OF THE  |
| 17         | DISTRICT OF COLUMBIA, THE COMMONWEALTH OF VIRGINIA, AND THE STATE OF   |
| 18         | MARYLAND AND FOR THE INCREASE OF THEIR SAFETY, COMMERCE, AND   |
| 19         | PROSPERITY:  |
| 10         | 1 WOOT DIVITING  |
| 20         | Now, Therefore, the State of Maryland, the Commonwealth of   |
| 21         | VIRGINIA, AND THE DISTRICT OF COLUMBIA, HEREAFTER REFERRED TO AS THE   |
| 22         | SIGNATORIES, COVENANT AND AGREE AS FOLLOWS:  |
|            |  |
| 23         | ARTICLE I.   |
| 24         | <b>DEFINITIONS</b>   |
| <b>4</b> 1 |  |
| 25         | 1. AS USED IN THIS TITLE, THE FOLLOWING WORDS AND TERMS SHALL  |
| 26         | HAVE THE MEANINGS SET FORTH BELOW, UNLESS THE CONTEXT CLEARLY  |
| 27         | REQUIRES A DIFFERENT MEANING. CAPITALIZED TERMS USED HEREIN, BUT NOT   |
| 28         | OTHERWISE DEFINED IN THIS ACT, SHALL HAVE THE DEFINITIONS SET FORTH IN   |
| 29         | REGULATIONS ISSUED UNDER 49 U.S.C. 5329, AS THEY MAY BE REVISED FROM TIME  |
| 30         | TO TIME.   |
| 0.1        | (A) ((A) mpp) (mp Margner) are the control of the c |
| 31         | (A) "ALTERNATE MEMBER" MEANS AN ALTERNATE MEMBER OF THE  |
| 32         | Board.   |
| 33         | (B) "BOARD" MEANS THE BOARD OF DIRECTORS OF THE  |
| 34         | COMMISSION   |

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| 1   | (C) "COMMISSION" MEANS THE WASHINGTON METRORAIL SAFETY  |
|-----|---|
| 2   | <u>Commission.</u>  |
| 3   | (D) "MEMBER" MEANS A MEMBER OF THE BOARD.   |
| 4   | (E) "MSC COMPACT" MEANS THE METRORAIL SAFETY COMMISSION   |
| 5   | INTERSTATE COMPACT CREATED BY THIS ACT.   |
| 6   | (F) "PUBLIC TRANSPORTATION AGENCY SAFETY PLAN" MEANS THE  |
| 7   | COMPREHENSIVE AGENCY SAFETY PLAN FOR A RAIL TRANSIT AGENCY REQUIRED   |
| 8   | UNDER 49 U.S.C. 5329.   |
|     |   |
| 9   | (G) "PUBLIC TRANSPORTATION SAFETY CERTIFICATION TRAINING  |
| 10  | PROGRAM" MEANS THE FEDERAL CERTIFICATION TRAINING PROGRAM FOR   |
| 11  | FEDERAL AND STATE EMPLOYEES, OR OTHER DESIGNATED PERSONNEL, WHO   |
| 12  | CONDUCT SAFETY AUDITS AND EXAMINATIONS OF PUBLIC TRANSPORTATION   |
| 13  | SYSTEMS, AND EMPLOYEES OF PUBLIC TRANSPORTATION AGENCIES DIRECTLY   |
| 14  | RESPONSIBLE FOR SAFETY OVERSIGHT AS ESTABLISHED AND AMENDED FROM TIME   |
| 15  | AND TO TIME BY APPLICABLE FEDERAL LAWS AND REGULATIONS.   |
|     |   |
| 16  | (H) "SAFETY SENSITIVE POSITION" MEANS ANY POSITION HELD BY A  |
| 17  | WMATA EMPLOYEE OR CONTRACTOR DESIGNATED IN THE PUBLIC   |
| 18  | TRANSPORTATION AGENCY SAFETY PLAN FOR THE WMATA RAIL SYSTEM AND   |
| 19  | APPROVED BY THE COMMISSION AS DIRECTLY OR INDIRECTLY AFFECTING THE  |
| 20  | SAFETY OF THE PASSENGERS OR EMPLOYEES OF THE WMATA RAIL SYSTEM.   |
| ດ1  | (I) "CICNATION" MEANS THE STATE OF MADVIAND THE   |
| 21  | (I) "SIGNATORY" MEANS THE STATE OF MARYLAND, THE  |
| 22  | COMMONWEALTH OF VIRGINIA, AND THE DISTRICT OF COLUMBIA.   |
| 23  | (J) "STATE", "STATE", "JURISDICTION", AND "JURISDICTION"  |
| 24  | INCLUDE MEAN THE DISTRICT OF COLUMBIA, THE STATE OF MARYLAND, AND THE   |
| 25  | COMMONWEALTH OF VIRGINIA.   |
|     |   |
| 26  | (K) "WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY" OR   |
| 27  | "WMATA" IS THE ENTITY CREATED BY THE WMATA COMPACT, WHICH IS  |
| 28  | RESPONSIBLE FOR PROVIDING CERTAIN RAIL FIXED GUIDEWAY PUBLIC  |
| 29  | TRANSPORTATION SYSTEM SERVICES.   |
| 0.0 | (I) (GIMIATIA Closen) and against III. access many Manne and a mineral  |
| 30  | (L) "WMATA COMPACT" MEANS THE WASHINGTON METROPOLITAN  ADDA TO ANGLE ALVENO DETECTION OF THE WASHINGTON OF THE |
| 31  | AREA TRANSIT AUTHORITY COMPACT (PUBLIC LAW 89-774; 80 STAT. 1324).  |
| 32  | (M) (1) "WMATA RAIL SYSTEM" MEANS AND "METRORAIL" MEAN  |

THE RAIL FIXED GUIDEWAY PUBLIC TRANSPORTATION SYSTEM AND ALL OTHER

- 1 REAL AND PERSONAL PROPERTY OWNED, LEASED, OPERATED, OR OTHERWISE USED
- 2 BY WMATA RAIL SERVICES.
- 3 (2) "WMATA RAIL SYSTEM" INCLUDES AND "METRORAIL"
- 4 INCLUDE THE METRORAIL CAPITAL WMATA RAIL PROJECTS UNDER DESIGN OR
- 5 CONSTRUCTION BY OWNERS OTHER THAN WMATA, INCLUDING THE DULLES
- 6 CORRIDOR METRORAIL PROJECT MANAGED BY THE METROPOLITAN WASHINGTON
- 7 AIRPORTS AUTHORITY.
- 8 ARTICLE II.
- 9 <u>Purpose and Functions</u>
- 10 2. The signatories to the WMATA Compact hereby adopt this
- 11 MSC COMPACT PURSUANT TO 49 U.S.C. 5329. THE COMMISSION CREATED UNDER
- 12 THIS SECTION SHALL HAVE SAFETY, REGULATORY, AND ENFORCEMENT AUTHORITY
- 13 OVER THE WMATA RAIL SYSTEM AND SHALL ACT AS THE STATE SAFETY
- 14 OVERSIGHT-AUTHORITY FOR WMATA. WMATA SHALL BE SUBJECT TO THE
- 15 COMMISSION'S RULES, REGULATIONS, ACTIONS, AND ORDERS.
- 16 3. The purpose of this MSC Compact is to create a state safety
- 17 OVERSIGHT AUTHORITY FOR THE WMATA RAIL SYSTEM, PURSUANT TO THE
- 18 MANDATE OF FEDERAL LAW, AS A COMMON AGENCY OF EACH SIGNATORY,
- 19 EMPOWERED IN THE MANNER HEREINAFTER SET FORTH TO REVIEW, APPROVE,
- 20 OVERSEE, AND ENFORCE THE SAFETY OF THE WMATA RAIL SYSTEM, INCLUDING,
- 21 WITHOUT LIMITATION, TO: (I) HAVE EXCLUSIVE SAFETY OVERSIGHT AUTHORITY
- 22 <u>AND RESPONSIBILITY OVER THE WMATA RAIL SYSTEM, INCLUDING THE POWER TO</u>
- 23 RESTRICT, SUSPEND, OR PROHIBIT RAIL SERVICE ON ALL OR PART OF THE WMATA
- 24 RAIL SYSTEM AS SET FORTH IN THIS COMPACT; (II) DEVELOP AND ADOPT A
- 25 WRITTEN STATE SAFETY OVERSIGHT PROGRAM STANDARD; (III) REVIEW AND
- 26 APPROVE THE WMATA Public Transportation Agency Safety Plan; (IV)
- 27 <u>INVESTIGATE HAZARDS, INCIDENTS, AND ACCIDENTS ON THE WMATA RAIL</u>
- 28 System; (v) require, review, approve, oversee, and enforce Corrective
- 29 ACTION PLANS DEVELOPED BY WMATA; AND (VI) MEET OTHER REQUIREMENTS OF
- 30 FEDERAL AND STATE LAW RELATING TO SAFETY OVERSIGHT OF THE WMATA RAIL
- 31 **System.**
- 32 <u>ARTICLE III.</u>
- 33 ESTABLISHMENT AND ORGANIZATION
- 34 A. WASHINGTON METRORAIL SAFETY COMMISSION.

| 1 | 4. There is hereby created the Washington Metrorail Safety             |
|---|--|
| 2 | COMMISSION, AN INSTRUMENTALITY OF EACH SIGNATORY, WHICH SHALL BE A     |
| 3 | PUBLIC BODY CORPORATE AND POLITIC, AND WHICH SHALL HAVE THE POWERS AND |
| 4 | DUTIES SET FORTH IN THIS MSC COMPACT AND SUCH ADDITIONAL POWERS AND    |
| 5 | DUTIES AS MAY BE CONFERRED UPON IT PURSUANT TO LAW.                    |
|   |  |
| 6 | 5. THE COMMISSION SHALL BE FINANCIALLY AND LEGALLY INDEPENDENT         |
| 7 | FROM WMATA   |

### 8 B. BOARD OF DIRECTORS.

- 9 <u>6.</u> <u>THE COMMISSION SHALL BE GOVERNED BY A BOARD OF SIX MEMBERS</u>
  10 <u>APPOINTED OR REAPPOINTED, INCLUDING TO FILL AN UNEXPIRED TERM,</u>
  11 <u>ACCORDING TO EACH SIGNATORY'S APPLICABLE LAWS, AS FOLLOWS:</u>
- 12 <u>(A)</u> <u>Two members shall be appointed by the Governor of</u>

13 **Virginia**;

- 14 (B) TWO MEMBERS SHALL BE APPOINTED BY THE GOVERNOR OF
  15 MARYLAND; AND
- 16 <u>(C)</u> Two members shall be appointed by the Mayor of the 17 District of Columbia.
- 18 7. THE GOVERNOR OF VIRGINIA, GOVERNOR OF MARYLAND, AND MAYOR
  19 OF THE DISTRICT OF COLUMBIA SHALL EACH ALSO APPOINT OR REAPPOINT,
  20 INCLUDING TO FILL AN UNEXPIRED TERM, ACCORDING TO EACH SIGNATORY'S
  21 APPLICABLE LAWS, ONE ALTERNATE MEMBER.
- 22 8. AN ALTERNATE MEMBER SHALL PARTICIPATE AND TAKE ACTION AS A
  23 MEMBER ONLY IN THE ABSENCE OF ONE OR BOTH MEMBERS FROM THE SAME
  24 JURISDICTION AS THE ALTERNATE MEMBER'S APPOINTING JURISDICTION AND, IN
  25 SUCH INSTANCES, SHALL MAY CAST A SINGLE VOTE.
- 26 <u>9. Members and alternate members shall have backgrounds in</u>
  27 <u>TRANSPORTATION, TRANSIT, SAFETY, PUBLIC FINANCE, OR ENGINEERING</u>
  28 <u>RELEVANT ENGINEERING DISCIPLINES.</u>
- 29 <u>10.</u> No member or alternate member shall simultaneously hold 30 OTHER ELECTIVE OR APPOINTIVE PUBLIC OFFICE, SERVE ON THE WMATA BOARD 31 OF DIRECTORS, BE EMPLOYED BY WMATA, OR BE A CONTRACTOR TO WMATA.

| 1  | 11. Each member and alternate member shall serve a four-year  |  |  |  |  |  |  |  |
|----|---|--|--|--|--|--|--|--|
| 2  | TERM AND MAY BE REAPPOINTED FOR ADDITIONAL TERMS; EXCEPT THAT, EACH   |  |  |  |  |  |  |  |
| 3  | SIGNATORY SHALL MAKE ITS INITIAL APPOINTMENTS AS FOLLOWS:   |  |  |  |  |  |  |  |
|    |   |  |  |  |  |  |  |  |
| 4  | (A) ONE MEMBER SHALL BE APPOINTED FOR A FOUR-YEAR TERM;   |  |  |  |  |  |  |  |
|    |   |  |  |  |  |  |  |  |
| 5  | (B) ONE MEMBER SHALL BE APPOINTED FOR A TWO-YEAR TERM; AND  |  |  |  |  |  |  |  |
|    |   |  |  |  |  |  |  |  |
| 6  | (C) THE ALTERNATE MEMBER SHALL BE APPOINTED FOR A   |  |  |  |  |  |  |  |
| 7  | THREE-YEAR TERM.  |  |  |  |  |  |  |  |
|    |   |  |  |  |  |  |  |  |
| 8  | 12. ANY PERSON APPOINTED TO FILL A VACANCY SHALL SERVE FOR THE  |  |  |  |  |  |  |  |
| 9  | UNEXPIRED TERM.   |  |  |  |  |  |  |  |
|    |   |  |  |  |  |  |  |  |
| 10 | 13. Members and alternate members shall be entitled to  |  |  |  |  |  |  |  |
| 11 | REIMBURSEMENT FOR REASONABLE AND NECESSARY EXPENSES AND SHALL BE  |  |  |  |  |  |  |  |
| 12 | COMPENSATED FOR EACH DAY SPENT MEETING ON THE BUSINESS OF THE   |  |  |  |  |  |  |  |
| 13 | COMMISSION AT A PER DIEM RATE OF \$200 PER DAY, OR AS MAY BE ADJUSTED BY  |  |  |  |  |  |  |  |
| 14 | APPROPRIATIONS APPROVED BY ALL OF THE SIGNATORIES.  |  |  |  |  |  |  |  |
|    | <u></u>   |  |  |  |  |  |  |  |
| 15 | 14. A MEMBER OR AN ALTERNATE MEMBER MAY BE REMOVED OR   |  |  |  |  |  |  |  |
| 16 | SUSPENDED FROM OFFICE ONLY FOR CAUSE IN ACCORDANCE WITH THE LAWS OF   |  |  |  |  |  |  |  |
| 17 | THE MEMBER'S OR ALTERNATE MEMBER'S APPOINTING JURISDICTION.   |  |  |  |  |  |  |  |
|    | THE MEMBER & OWNER THE MEMBER & THE CONTROL OF THE |  |  |  |  |  |  |  |
| 18 | C. QUORUM AND ACTIONS OF THE BOARD.   |  |  |  |  |  |  |  |
|    |   |  |  |  |  |  |  |  |
| 19 | 15. FOUR MEMBERS SHALL CONSTITUTE A QUORUM, AND THE AFFIRMATIVE   |  |  |  |  |  |  |  |
| 20 | VOTE OF FOUR MEMBERS IS REQUIRED FOR ACTION OF THE BOARD, OTHER THAN  |  |  |  |  |  |  |  |
| 21 | AS PROVIDED IN SECTION 32. QUORUM AND VOTING REQUIREMENTS UNDER THIS  |  |  |  |  |  |  |  |
| 22 | SECTION MAY BE MET WITH ONE OR MORE ALTERNATE MEMBERS PURSUANT TO § 8   |  |  |  |  |  |  |  |
| 23 | OF THIS ARTICLE III.  |  |  |  |  |  |  |  |
| _0 | OT THIS THE TITLE   |  |  |  |  |  |  |  |
| 24 | 16. Commission action shall become effective upon enactment   |  |  |  |  |  |  |  |
| 25 | UNLESS OTHERWISE PROVIDED FOR BY THE COMMISSION.  |  |  |  |  |  |  |  |
| _0 | CHARACTER TO TRANSPORT THE COMMISSION.  |  |  |  |  |  |  |  |
| 26 | D. OATH OF OFFICE.  |  |  |  |  |  |  |  |
|    | <u>ominor orriton</u>   |  |  |  |  |  |  |  |
| 27 | 17. Before entering office, each member and alternate member  |  |  |  |  |  |  |  |
| 28 | SHALL TAKE AND SUBSCRIBE TO THE FOLLOWING OATH (OR AFFIRMATION) OF  |  |  |  |  |  |  |  |
| 29 | OFFICE OR ANY SUCH OTHER OATH OR AFFIRMATION AS THE CONSTITUTION OR   |  |  |  |  |  |  |  |
| 30 | LAWS OF THE SIGNATORY THE MEMBER REPRESENTS SHALL PROVIDE:  |  |  |  |  |  |  |  |
| 50 | MINIS OF THE STORMTON'S THE MEMBER WEI WESEN IS STREET WOYTEEN  |  |  |  |  |  |  |  |
| 31 | "I, HEREBY SOLEMNLY SWEAR (OR AFFIRM) THAT I WILL SUPPORT AND   |  |  |  |  |  |  |  |
| 32 | DEFEND THE CONSTITUTION AND THE LAWS OF THE UNITED STATES AS A MEMBER   |  |  |  |  |  |  |  |
|    | DELECTED THE CONNECTION IN THE MANY OF THE CHILD NAMED IN THE MINISTER.   |  |  |  |  |  |  |  |

- 1 (OR ALTERNATE MEMBER) OF THE BOARD OF THE WASHINGTON METRORALL
- 2 SAFETY COMMISSION AND WILL FAITHFULLY DISCHARGE THE DUTIES OF THE
- 3 OFFICE UPON WHICH I AM ABOUT TO ENTER."

## 4 E. ORGANIZATION AND PROCEDURE.

- 5 18. THE BOARD SHALL PROVIDE FOR ITS OWN ORGANIZATION AND PROCEDURE. MEETINGS OF THE BOARD SHALL BE HELD AS FREQUENTLY AS THE BOARD DETERMINES, BUT IN NO EVENT LESS THAN QUARTERLY. THE BOARD SHALL KEEP MINUTES OF ITS MEETINGS AND ADOPT RULES AND REGULATIONS GOVERNING 10 PROCEDURE AND INTERNAL AFFAIRS, INCLUDING POLICIES REGARDING RECORDS RETENTION THAT ARE NOT IN CONFLICT WITH APPLICABLE FEDERAL LAW.
- 12 **19.** THE COMMISSION SHALL KEEP COMMERCIALLY REASONABLE RECORDS
  13 OF ITS FINANCIAL TRANSACTIONS IN ACCORDANCE WITH GENERALLY ACCEPTED
  14 ACCOUNTING PRINCIPLES.
- 15 **20.** THE COMMISSION SHALL ESTABLISH AN OFFICE FOR THE CONDUCT OF 16 ITS AFFAIRS AT A LOCATION TO BE DETERMINED BY THE COMMISSION.
- 17 MEETINGS OF THE BOARD SHALL BE OPEN TO THE PUBLIC UNLESS 18 CLOSED PURSUANT TO ADOPTED BOARD POLICY, AND COMMISSION DOCUMENTS 19 SHALL BE AVAILABLE TO THE PUBLIC UNLESS DEEMED CONFIDENTIAL PURSUANT 20 TO ADOPTED COMMISSION POLICY. THE COMMISSION SHALL ADOPT OPEN MEETING 21 AND FREEDOM OF INFORMATION POLICIES BASED ON THE PRINCIPLES OF 22 TRANSPARENCY AND PUBLIC ACCESS CONTAINED IN THE FEDERAL FREEDOM OF INFORMATION ACT. 5 U.S.C. 552(A)-(C) 552(A)-(D) AND (G), AND, THEREFORE, 2324SHALL NOT BE SUBJECT TO THE SOMETIMES CONFLICTING OPEN MEETING AND 25 FREEDOM OF INFORMATION LAWS OF ANY INDIVIDUAL SIGNATORY.
- 26 <u>22.</u> REPORTS OF INVESTIGATIONS OR INQUIRIES ADOPTED BY THE BOARD 27 SHALL BE MADE PUBLICLY AVAILABLE. THE COMMISSION SHALL DEVELOP 28 APPROPRIATE POLICIES TO PROTECT THE INTEGRITY OF ITS INVESTIGATIONS AND 29 THE SECURITY OF THE WMATA RAIL SYSTEM. THE COMMISSION'S POLICY SHALL ENSURE THAT THE MAYOR OF THE DISTRICT OF COLUMBIA AND THE GOVERNORS 30 31 OF MARYLAND AND VIRGINIA AND THEIR DESIGNEES HAVE PROMPT AND FULL 32 ACCESS TO ANY AND ALL RECORDS HELD BY THE COMMISSION. INVESTIGATIVE 33 REPORTS PREPARED PURSUANT TO 49 C.F.R. 674 MAY NOT BE ADMITTED AS 34 EVIDENCE OR USED IN A CIVIL ACTION FOR DAMAGES RESULTING FROM A MATTER 35 **MENTIONED IN THE REPORT.**
- 36 **23.** The Commission shall adopt a policy on conflict of interest, 37 which shall be consistent with the regulations issued under 49 U.S.C.

- 1 5329, AS THEY MAY BE REVISED FROM TIME TO TIME, WHICH, AMONG OTHER
- 2 THINGS, PLACES APPROPRIATE SEPARATION BETWEEN MEMBERS, OFFICERS,
- 3 EMPLOYEES, CONTRACTORS, AND AGENTS OF THE COMMISSION AND WMATA.
- 4 <del>24.</del> The Commission shall adopt and utilize its own
- 5 ADMINISTRATIVE PROCEDURE AND PROCUREMENT POLICIES IN CONFORMANCE
- 6 WITH APPLICABLE FEDERAL REGULATIONS AND SHALL NOT BE SUBJECT TO THE
- 7 ADMINISTRATIVE PROCEDURE OR PROCUREMENT LAWS OF ANY SIGNATORY.
- 8 F. OFFICERS AND EMPLOYEES.
- 9 25. The Board shall elect a Chairman and a Vice Chairman, Vice
- 10 CHAIRMAN, SECRETARY, AND TREASURER FROM AMONG ITS MEMBERS FOR A
- 11 TWO YEAR TERM TERMS AND SHALL ELECT, OR APPOINT FROM COMMISSION STAFF,
- 12 A SECRETARY AND TREASURER, AND PRESCRIBE THEIR POWERS AND DUTIES.
- 13 26. The Board shall appoint and fix the compensation and
- 14 BENEFITS OF A CHIEF EXECUTIVE OFFICER WHO SHALL BE THE CHIEF
- 15 ADMINISTRATIVE OFFICER OF THE COMMISSION AND WHO SHALL HAVE A
- 16 BACKGROUND EXPERTISE IN TRANSPORTATION SAFETY AND ONE OR MORE
- 17 INDUSTRY RECOGNIZED TRANSPORTATION SAFETY CERTIFICATIONS.
- 18 27. Consistent with 49 U.S.C. 5329, as amended, the Commission
- 19 MAY EMPLOY, UNDER THE DIRECTION OF THE CHIEF EXECUTIVE OFFICER, SUCH
- 20 OTHER TECHNICAL, LEGAL, CLERICAL, AND OTHER EMPLOYEES ON A REGULAR.
- 21 PART TIME, OR AS NEEDED BASIS AS IN ITS JUDGMENT MAY BE NECESSARY OR
- 22 DESIRABLE FOR THE DISCHARGE OF ITS DUTIES.
- 23 28. The Commission shall not be bound by any statute or
- 24 REGULATION OF ANY SIGNATORY IN THE EMPLOYMENT OR DISCHARGE OF ANY
- 25 OFFICER OR EMPLOYEE OF THE COMMISSION, BUT SHALL DEVELOP ITS OWN
- 26 POLICIES IN COMPLIANCE WITH FEDERAL LAW. THE MSC SHALL, HOWEVER,
- 27 CONSIDER THE LAWS OF THE SIGNATORIES IN DEVISING ITS EMPLOYMENT AND
- 28 DISCHARGE POLICIES, AND WHEN IT DETERMINES IT IS PRACTICAL TO DO SO,
- 29 DEVISE POLICIES CONSISTENT WITH THE LAWS OF THE SIGNATORIES.
- 30 **29.** THE BOARD MAY FIX AND PROVIDE POLICIES FOR THE
- 31 QUALIFICATIONS, APPOINTMENT, REMOVAL, TERM, TENURE, COMPENSATION,
- 32 BENEFITS, WORKERS' COMPENSATION, PENSION, AND RETIREMENT RIGHTS OF ITS
- 33 EMPLOYEES SUBJECT TO FEDERAL LAW AND MAY ESTABLISH. IN ITS DISCRETION, A
- 34 PERSONNEL SYSTEM BASED ON MERIT AND FITNESS AND, SUBJECT TO ELIGIBILITY.
- 35 PARTICIPATE IN THE PENSION AND RETIREMENT, RETIREMENT, OR WORKERS'
- 36 COMPENSATION PLANS OF ANY SIGNATORY, OR POLITICAL SUBDIVISION OR AGENCY
- 37 THEREOF.

| 1                          | ARTICLE IV.  |
|----------------------------|--|
| 2                          | Powers   |
| 3                          | A. SAFETY OVERSIGHT POWERS.  |
| 4                          | 30. IN CARRYING OUT ITS PURPOSES, THE COMMISSION, THROUGH ITS  |
| 5<br>6<br>7                | BOARD OR DESIGNATED EMPLOYEES OR AGENTS, SHALL, CONSISTENT WITH 49 U.S.C. 5329, AS AMENDED, AND ANY FEDERAL REGULATIONS PROMULGATED THEREUNDER:  |
| 8 9                        | (A) ADOPT, REVISE, AND DISTRIBUTE A WRITTEN STATE SAFETY OVERSIGHT PROGRAM;  |
| 10<br>11<br>12             | (B) REVIEW, APPROVE, OVERSEE, AND ENFORCE THE ADOPTION AND IMPLEMENTATION OF THE WMATA Public Transportation Agency Safety Plan;   |
| 13<br>14<br>15             | (C) REQUIRE, REVIEW, APPROVE, OVERSEE, AND ENFORCE THE ADOPTION AND IMPLEMENTATION OF ANY CORRECTIVE ACTION PLANS THE COMMISSION DEEMS APPROPRIATE;  |
| 16<br>17                   | (D) IMPLEMENT AND ENFORCE RELEVANT FEDERAL AND STATE LAWS AND REGULATIONS RELATING TO SAFETY OF THE WMATA RAIL SYSTEM; AND   |
| 18                         | (E) AUDIT EVERY THREE YEARS THE COMPLIANCE OF WMATA WITH THE PUBLIC TRANSPORTATION AGENCY SAFETY PLAN OR CONDUCT SUCH AN   |
| 20<br>21<br>22             | 21. In performing its duties, the Commission, through its Board or designated employees or agents, may:  |
| 23<br>24<br>25<br>26<br>27 | (A) CONDUCT, OR CAUSE TO BE CONDUCTED, INSPECTIONS, INVESTIGATIONS, EXAMINATIONS, AND TESTING OF THE WMATA PERSONNEL AND CONTRACTORS, PROPERTY, EQUIPMENT, FACILITIES, ROLLING STOCK, AND OPERATIONS OF THE WMATA RAIL SYSTEM, INCLUDING ELECTRONIC INFORMATION AND DATABASES, THROUGH REASONABLE MEANS, WHICH MAY |
| 28<br>29                   | (B) ENTER UPON THE WMATA RAIL SYSTEM AND, UPON   |
| 30<br>31<br>32             | REASONABLE NOTICE AND A FINDING BY THE CHIEF EXECUTIVE OFFICER THAT A  NEED EXISTS, UPON ANY LANDS, WATERS, AND PREMISES ADJACENT TO THE  WMATA RAIL SYSTEM INCLUDING PROPERTY OWNED OR OCCUPIED BY THE  |

| 1              | FEDERAL GOVERNMENT, FOR THE PURPOSE OF CONDUCTING INSPECTIONS,   |
|----------------|--|
| 2              | INVESTIGATIONS, EXAMINATIONS, AND TESTING AS THE COMMISSION MAY DEEM   |
| 3              | NECESSARY TO CARRY OUT THE PURPOSES OF THIS MSC COMPACT, AND THE ENTRY   |
| 4              | SHALL NOT BE DEEMED A TRESPASS. THE COMMISSION SHALL MAKE REASONABLE   |
| 5              | REIMBURSEMENT FOR ANY ACTUAL DAMAGE RESULTING TO THE ADJACENT LANDS,   |
| 6              | WATERS, AND PREMISES AS A RESULT OF SUCH ACTIVITIES;   |
| _              | (a) Colored Colored District District Colored Colored District Colored Dis |
| 7              | (C) COMPEL COMPLIANCE BY WMATA WITH ANY CORRECTIVE   |
| 8              | ACTION PLAN OR ORDER OF THE COMMISSION BY MEANS THE COMMISSION DEEMS   |
| 9              | APPROPRIATE, INCLUDING:  |
| 10             | (1) Issuing subpoenas;   |
|                | <del>**</del>  |
| 11             | (2) TAKING LEGAL ACTION IN A COURT OF COMPETENT  |
| 12             | JURISDICTION;  |
|                |  |
| 13             | (3) (2) <u>Issuing citations or fines, with funds</u>  |
| 14             | DEPOSITED INTO AN ESCROW ACCOUNT FOR USE BY WMATA ON   |
| 15             | COMMISSION-DIRECTED SAFETY MEASURES;   |
| 1.0            | (4) (9) Dipromise MALATIA to priority opposition on  |
| 16             | (4) (3) DIRECTING WMATA TO PRIORITIZE SPENDING ON  |
| 17             | SAFETY-CRITICAL ITEMS;   |
| 18             | (5) (4) REMOVING A SPECIFIC VEHICLE, INFRASTRUCTURE  |
| 19             | ELEMENT, OR HAZARD FROM THE WMATA RAIL SYSTEM; AND   |
| 13             | ELEMENT, OR HAZZERD PROM THE WARRING WILL STSTEM, AND  |
| 20             | (6) (5) RESTRICTING, SUSPENDING, OR PROHIBITING RAIL   |
| $\frac{1}{21}$ | SERVICE, WITH APPROPRIATE NOTICE, ON ALL OR PART OF THE WMATA RAIL   |
| 22             | System;  |
|                |  |
| 23             | (D) DIRECT WMATA TO SUSPEND OR DISQUALIFY FROM   |
| 24             | PERFORMING IN A SAFETY SENSITIVE POSITION AN INDIVIDUAL WHO HAS  |
| 25             | VIOLATED OR IS ALLEGED TO HAVE VIOLATED SAFETY RULES, REGULATIONS,   |
| 26             | POLICIES, OR LAWS IN A MANNER THAT THE COMMISSION DETERMINES MAKES THAT  |
| 27             | INDIVIDUAL UNFIT FOR THE PERFORMANCE IN THE POSITION; AND  |
|                |  |
| 28             | (E) COMPEL WMATA'S OFFICE OF THE INSPECTOR GENERAL OR  |
| 29             | ANY SUCCESSOR OFFICE TO CONDUCT SAFETY-RELATED AUDITS OR   |
| 30             | INVESTIGATIONS AND TO PROVIDE ITS FINDINGS TO THE COMMISSION; AND  |
| 91             | (E) TAKE ANY OFFIED ACTIONS THAT THE COMMISSION DEEDS  |
| 31             | ADDRODDIATE CONSISTENT WITH ITS DIDDOSES AND DOWERS  |
| 32             | APPROPRIATE, CONSISTENT WITH ITS PURPOSES AND POWERS.  |

33

34

| 1            | 32. ACTION BY THE BOARD UNDER SECTION 31(C)(5) SHALL REQUIRE THE  |
|--------------|---|
| 2            | UNANIMOUS VOTE OF ALL MEMBERS PRESENT AND VOTING. THE COMMISSION  |
| 3            | SHALL COORDINATE ITS ENFORCEMENT ACTIVITIES WITH APPROPRIATE FEDERAL  |
| 4            | AND STATE GOVERNMENTAL AUTHORITIES.   |
|              |   |
| 5            | B. GENERAL POWERS.  |
| 6            | 33. In addition to the powers and duties set forth above, the   |
| 7            | Commission MAY:   |
|              |   |
| 8            | (A) SUE AND BE SUED;  |
| 0            | (D) ADODE AMEND AND DEDEAL DILLEG AND DECLIFACIONS  |
| 9            | (B) ADOPT, AMEND, AND REPEAL RULES AND REGULATIONS RESPECTING THE EXERCISE OF THE POWERS CONFERRED BY THIS MSC COMPACT:                     |
| 10           | RESPECTING THE EXERCISE OF THE POWERS CONFERRED BY THIS MSC COMPACT;  |
| 11           | (C) CREATE AND ABOLISH OFFICES, EMPLOYMENTS, AND POSITIONS  |
| 12           | (OTHER THAN THOSE SPECIFICALLY PROVIDED FOR IN THIS MSC COMPACT) AS IT  |
| 13           | DEEMS NECESSARY OR DESIRABLE FOR THE PURPOSES OF THE COMMISSION:  |
| 10           | BELLING THE COMMISSION,   |
| 14           | (D) DETERMINE A STAFFING LEVEL FOR THE COMMISSION THAT IS   |
| 15           | COMMENSURATE WITH THE SIZE AND COMPLEXITY OF THE WMATA RAIL SYSTEM,   |
| 16           | AND REQUIRE THAT EMPLOYEES AND OTHER DESIGNATED PERSONNEL OF THE  |
| 17           | COMMISSION, WHO ARE RESPONSIBLE FOR SAFETY OVERSIGHT, BE QUALIFIED TO   |
| 18           | PERFORM SUCH FUNCTIONS THROUGH APPROPRIATE TRAINING, INCLUDING  |
| 19           | SUCCESSFUL COMPLETION OF THE PUBLIC TRANSPORTATION SAFETY   |
| 20           | CERTIFICATION TRAINING PROGRAM;   |
|              |   |
| 21           | (E) CONTRACT FOR OR EMPLOY CONSULTING ATTORNEYS,  |
| 22           | INSPECTORS, ENGINEERS, AND OTHER EXPERTS THAT ARE DEEMED NECESSARY OR   |
| 23           | DESIRABLE AND WITHIN THE LIMITATIONS PRESCRIBED IN THIS MSC COMPACT,  |
| 24           | PRESCRIBE THEIR POWERS AND DUTIES, AND FIX THEIR COMPENSATION;  |
|              |   |
| 25           | (F) ENTER INTO AND PERFORM CONTRACTS, LEASES, AND   |
| 26           | AGREEMENTS AS MAY BE NECESSARY OR DESIRABLE FOR THE PERFORMANCE OF ITS  |
| 27           | DUTIES AND IN THE EXECUTION OF THE POWERS GRANTED UNDER THIS MSC  |
| 28           | COMPACT;  |
| 29           | (G) APPLY FOR, RECEIVE, AND ACCEPT PAYMENTS,  |
|              | APPROPRIATIONS, GRANTS, GIFTS, LOANS, ADVANCES, AND OTHER FUNDS,  |
| 30           |   |
| 31<br>32     | PROPERTIES, AND SERVICES THAT ARE TRANSFERRED OR MADE AVAILABLE TO IT BY THE UNITED STATES GOVERNMENT OR ANY OTHER PUBLIC OR PRIVATE ENTITY |
| $o_{\Delta}$ | <del>DI THE UNITED STATES GOVERNMENT OR ANT UTHER FUBLIC UR FRIVATE ENTIT</del>   |

| 1         | (I) ADOPT AND AMEND BYLAWS, POLICIES, AND PROCEDURES                     |
|-----------|--|
| 2         | GOVERNING THE REGULATION OF ITS AFFAIRS;                                 |
|           |  |
| 3         | (J) APPOINT ONE OR MORE ADVISORY COMMITTEES; AND                         |
|           |  |
| 4         | (K) DO ALL ACTS AND THINGS NECESSARY OR DESIRABLE TO THE                 |
| 5         | PERFORMANCE OF ITS DUTIES AND THE EXECUTION OF ITS POWERS UNDER THIS     |
| 6         | MSC COMPACT.   |
| _         | 24 CONGIGED VENTION S 24 OF A DESCRIPTION WITH MCC COMPAGE MIN           |
| 7         | 34. CONSISTENT WITH § 24 OF ARTICLE III OF THIS MSC COMPACT, THE         |
| 8         | COMMISSION SHALL PROMULGATE RULES AND REGULATIONS TO CARRY OUT THE       |
| 9         | PURPOSES OF THIS MSC COMPACT.  |
| 10        | ARTICLE V.   |
| 10        | THUITOBE VI  |
| 11        | General Provisions   |
|           |  |
| 12        | A. ANNUAL SAFETY REPORT.   |
|           |  |
| 13        | 35. THE COMMISSION SHALL MAKE AND PUBLISH ANNUALLY A STATUS              |
| 14        | REPORT ON THE SAFETY OF THE WMATA RAIL SYSTEM THAT SHALL INCLUDE         |
| 15        | STATUS UPDATES OF OUTSTANDING CORRECTIVE ACTION PLANS, COMMISSION        |
| 16        | DIRECTIVES, AND ONGOING INVESTIGATIONS. A COPY OF EACH REPORT SHALL BE   |
| <b>17</b> | PROVIDED TO THE ADMINISTRATOR OF THE FEDERAL TRANSIT ADMINISTRATION,     |
| 18        | THE GOVERNOR OF VIRGINIA, THE GOVERNOR OF MARYLAND, THE MAYOR OF THE     |
| 19        | DISTRICT OF COLUMBIA, THE CHAIR OF THE DISTRICT OF COLUMBIA CITY         |
| 20        | COUNCIL, THE PRESIDENT OF THE MARYLAND SENATE AND THE SPEAKER OF THE     |
| 21        | MARYLAND HOUSE OF DELEGATES, THE PRESIDENT OF THE VIRGINIA SENATE AND    |
| 22        | THE SPEAKER OF THE VIRGINIA HOUSE OF DELEGATES, AND THE GENERAL          |
| 23        | MANAGER AND EACH MEMBER OF THE BOARD OF DIRECTORS OF WMATA.              |
| 0.4       |  |
| 24        | 36. THE COMMISSION MAY PREPARE, PUBLISH, AND DISTRIBUTE ANY              |
| 25        | OTHER SAFETY REPORTS THAT IT DEEMS NECESSARY OR DESIRABLE.               |
| 96        | B. ANNUAL PROGRAMS, OPERATIONS, AND FINANCES REPORT AND OTHER            |
| 26<br>27  |  |
| 27        | REPORTS.   |
| 28        | 37. THE COMMISSION SHALL MAKE AND PUBLISH AN ANNUAL REPORT ON            |
| 29        | ITS PROGRAMS, OPERATIONS, AND FINANCES, WHICH SHALL BE DISTRIBUTED IN    |
| 30        | THE SAME MANNER PROVIDED BY \$35 OF THIS ARTICLE V FOR THE ANNUAL SAFETY |
| 31        | REPORT.  |
| <u></u>   | <del></del>  |

- 1 THE COMMISSION MAY ALSO PREPARE, PUBLISH, AND DISTRIBUTE ANY
- 2 OTHER PUBLIC REPORTS AND INFORMATIONAL MATERIALS THAT IT DEEMS
- 3 **NECESSARY OR DESIRABLE.**

#### <del>C.</del> 4 ANNUAL AUDIT.

- 5 AN INDEPENDENT ANNUAL AUDIT SHALL BE MADE OF THE FINANCIAL
- ACCOUNTS OF THE COMMISSION. THE AUDIT SHALL BE MADE BY QUALIFIED 6 CERTIFIED PUBLIC ACCOUNTANTS SELECTED BY THE BOARD, WHO SHALL HAVE NO
- 8 PERSONAL INTEREST. DIRECT OR INDIRECT. IN THE FINANCIAL AFFAIRS OF THE
- 9 COMMISSION OR ANY OF ITS OFFICERS OR EMPLOYEES. THE REPORT OF AUDIT
- 10 SHALL BE PREPARED IN ACCORDANCE WITH GENERALLY ACCEPTED AUDITING
- 11 PRINCIPLES AND SHALL BE DISTRIBUTED IN THE SAME MANNER PROVIDED BY § 35
- 12 OF THIS ARTICLE V FOR THE ANNUAL SAFETY REPORT. MEMBERS, EMPLOYEES,
- 13 AGENTS. AND CONTRACTORS OF THE COMMISSION SHALL PROVIDE ACCESS TO
- 14 INFORMATION NECESSARY OR DESIRABLE FOR THE CONDUCT OF THE ANNUAL
- 15 AUDIT.

#### 16 ₽. Funding.

- 17 <del>40.</del> COMMISSION OPERATIONS SHALL BE FUNDED, INDEPENDENTLY OF
- 18 WMATA. BY THE SIGNATORY JURISDICTIONS AND. WHEN AVAILABLE. BY FEDERAL
- FUNDS. THE COMMISSION SHALL HAVE NO AUTHORITY TO LEVY TAXES. 19
- 20 <del>41.</del> THE SIGNATORY JURISDICTIONS SHALL UNANIMOUSLY AGREE ON
- 21 ADEQUATE FUNDING LEVELS FOR THE COMMISSION AND MAKE EQUAL FUNDING
- 22 CONTRIBUTIONS, SUBJECT TO ANNUAL APPROPRIATION, TO COVER THE PORTION
- 23OF COMMISSION OPERATIONS NOT FUNDED BY FEDERAL FUNDS.
- 24THE COMMISSION MAY BORROW UP TO 5% OF ITS LAST 25
- 26 FORTH IN THE APPROPRIATIONS BUDGET APPROVED BY ALL OF THE SIGNATORIES,

APPROPRIATIONS BUDGET IN ANTICIPATION OF RECEIPTS, OR AS OTHERWISE SET

- 27 FROM ANY LAWFUL LENDING INSTITUTION FOR ANY PURPOSE OF THIS COMPACT.
- 28 INCLUDING ADMINISTRATIVE EXPENSES. THE LOANS SHALL BE FOR A TERM NOT TO
- 29 EXCEED TWO YEARS, OR A LONGER TERM APPROVED BY EACH JURISDICTION
- 30 PURSUANT TO THEIR LAWS AS EVIDENCED BY THE WRITTEN AUTHORIZATION OF THE
- 31 MAYOR OF THE DISTRICT OF COLUMBIA AND THE GOVERNORS OF MARYLAND AND
- 32 VIRGINIA AND AT THE RATES OF INTEREST THAT ARE ACCEPTABLE TO THE
- 33 COMMISSION.
- 34 WITH RESPECT TO THE DISTRICT OF COLUMBIA, THE COMMITMENT OR <del>43.</del>
- 35 OBLIGATION TO RENDER FINANCIAL ASSISTANCE TO THE COMMISSION SHALL BE
- 36 CREATED, FOR EACH FISCAL PERIOD, BY APPROPRIATION OR IN SUCH OTHER
- 37 MANNER. OR BY SUCH OTHER LEGISLATION, AS THE DISTRICT OF COLUMBIA SHALL

- 1 DETERMINE, PROVIDED THAT ANY COMMITMENT OR OBLIGATION MUST BE
- 2 APPROVED BY THE FEDERAL GOVERNMENT PURSUANT TO SECTION 603 OF THE
- 3 DISTRICT OF COLUMBIA HOME RULE ACT, APPROVED DECEMBER 24, 1973, 87
- 4 STAT. 790, Pub. L. 93-198, D.C. OFFICIAL CODE § 1-206.03 (2012 REPL.)
- 5 CONGRESS.
- 6 44. PURSUANT TO THE PROVISIONS OF (I) THE FEDERAL ANTI-DEFICIENCY
  7 ACT, 31 U.S.C. §§ 1341, 1342, 1349–1351, AND 1511–1519 (2008) (THE "FEDERAL
  8 ADA"), AND D.C. OFFICIAL CODE §§ 1–206.03(E) AND § 47–105; (II) THE DISTRICT
  9 OF COLUMBIA ANTI-DEFICIENCY ACT, D.C. OFFICIAL CODE §§ 47–255.01, 255.08
- 9 OF COLUMBIA ANTI-DEFICIENCY ACT, D.C. OFFICIAL CODE §§ 47–355.01 355.08
- 10 (THE "D.C. ADA" AND (I) AND (II) COLLECTIVELY, AS AMENDED FROM TIME TO
  11 TIME, THE "ANTI-DEFICIENCY ACTS"); AND (III) SECTION 446 OF THE DISTRICT OF
- 12 COLUMBIA HOME RULE ACT, D.C. OFFICIAL CODE § 1-204.46, THE DISTRICT OF
- 13 COLUMBIA CANNOT OBLIGATE ITSELF TO ANY FINANCIAL COMMITMENT IN ANY
- 14 PRESENT OR FUTURE YEAR UNLESS THE NECESSARY FUNDS TO PAY THAT
- 15 COMMITMENT HAVE BEEN APPROPRIATED BY THE CONGRESS OF THE UNITED
- 16 STATES (THE "CONGRESS") AND AND ARE LAWFULLY AVAILABLE FOR THE PURPOSE
- 17 COMMITTED. THUS, PURSUANT TO THE ANTI-DEFICIENCY ACTS, NOTHING IN THIS
- 18 MSC COMPACT CREATES AN OBLIGATION OF THE DISTRICT OF COLUMBIA IN
- 19 ANTICIPATION OF AN APPROPRIATION BY CONGRESS FOR SUCH PURPOSE, AND THE
- 20 DISTRICT OF COLUMBIA'S LEGAL LIABILITY FOR THE PAYMENT OF ANY AMOUNT
- 21 UNDER THIS MSC COMPACT DOES NOT AND MAY NOT ARISE OR OBTAIN IN ADVANCE
- 22 OF THE LAWFUL AVAILABILITY OF APPROPRIATED FUNDS FOR THE APPLICABLE
- 23 FISCAL YEAR AS APPROVED BY CONGRESS.
- 24 IF, PRIOR TO SUCH COMMITMENT BEING MADE BY OR ON BEHALF OF THE
- 25 DISTRICT OF COLUMBIA, LEGISLATION IS ENACTED BY THE CONGRESS GRANTING
- 26 THE GOVERNING BODY OF THE DISTRICT OF COLUMBIA PLENARY POWER TO
- 27 CREATE OBLIGATIONS, THE COMMITMENT BY THE DISTRICT OF COLUMBIA SHALL
- 28 BE BY CONTRACT OR AGREEMENT BETWEEN THE GOVERNING BODY OF THE
- 29 DISTRICT OF COLUMBIA AND THE COMMISSION TO UNDERTAKE ITS ROLE IN SAFETY
- 30 OVERSIGHT.

31

### E. EXERCISE OF POWERS.

- 32 <u>45.</u> <u>The exercise of the powers granted by this MSC Compact</u> 33 <del>shall in all respects be for the benefit of the people of the District of</del>
- 34 COLUMBIA, COMMONWEALTH OF VIRGINIA, AND STATE OF MARYLAND AND FOR
- 35 THE INCREASE OF THEIR SAFETY, COMMERCE, AND PROSPERITY, AND AS THE
- 36 <u>ACTIVITIES ASSOCIATED WITH THIS MSC COMPACT SHALL CONSTITUTE THE</u> 37 <u>PERFORMANCE OF ESSENTIAL GOVERNMENTAL FUNCTIONS, THE COMMISSION</u>
- 38 SHALL NOT BE REQUIRED TO PAY ANY TAXES OR ASSESSMENTS UPON THE SERVICES
- 39 OR ANY PROPERTY ACQUIRED OR USED BY THE COMMISSION UNDER THE

- 1 PROVISIONS OF THIS MSC COMPACT OR UPON THE INCOME THEREFROM, AND
- 2 SHALL AT ALL TIMES BE FREE FROM TAXATION WITHIN THE DISTRICT OF
- 3 COLUMBIA, COMMONWEALTH OF VIRGINIA, AND STATE OF MARYLAND.
- 4 F. WMATA RIGHT TO PETITION COMMISSION.
- 5 46. WMATA SHALL HAVE THE RIGHT TO PETITION THE COMMISSION FOR
- 6 RECONSIDERATION OF AN ORDER BASED ON RULES AND PROCEDURES DEVELOPED
- 7 BY THE COMMISSION.
- 8 47. Consistent with § 16 of Article III, the filing of a petition for
- 9 RECONSIDERATION SHALL NOT ACT AS A STAY UPON THE EXECUTION OF A
- 10 COMMISSION ORDER, OR ANY PART OF IT, UNLESS THE COMMISSION ORDERS
- 11 OTHERWISE, WMATA MAY APPEAL ANY ADVERSE ACTION ON A PETITION FOR
- 12 RECONSIDERATION AS SET FORTH IN SECTION 48.
- 13 G. COURTS OF JURISDICTION.
- 14 48. THE UNITED STATES DISTRICT COURTS FOR THE EASTERN DISTRICT
- 15 OF VIRGINIA, ALEXANDRIA DIVISION, UNITED STATES DISTRICT COURTS FOR
- 16 MARYLAND, SOUTHERN DIVISION, AND UNITED STATES DISTRICT COURTS FOR
- 17 THE DISTRICT OF COLUMBIA SHALL HAVE EXCLUSIVE AND ORIGINAL JURISDICTION
- 18 OVER ALL ACTIONS BROUGHT BY OR AGAINST THE COMMISSION AND TO ENFORCE
- 19 SUBPOENAS UNDER THIS MSC COMPACT.
- 20 49. The commencement of a judicial proceeding shall not
- 21 OPERATE AS A STAY OF A COMMISSION ORDER UNLESS SPECIFICALLY ORDERED BY
- 22 THE COURT.
- 23 H. LIABILITY OF COMMISSION AND ITS MEMBERS, OFFICERS, AGENTS,
- 24 EMPLOYEES, OR REPRESENTATIVES.
- 25 <del>50.</del> The Commission and its members, alternate members,
- 26 OFFICERS, AGENTS, EMPLOYEES, OR REPRESENTATIVES SHALL NOT BE LIABLE FOR
- 27 SUIT OR ACTION OR FOR ANY JUDGMENT OR DECREE FOR DAMAGES, LOSS, OR
- 28 INJURY RESULTING FROM ACTION TAKEN WITHIN THE SCOPE OF THEIR
- 29 EMPLOYMENT OR DUTIES UNDER THIS MSC COMPACT, NOR REQUIRED IN ANY CASE
- 30 ARISING OR ANY APPEAL TAKEN UNDER THIS MSC COMPACT TO GIVE A
- ou mismo or an all miles caper this mise comme to dive a
- 31 SUPERSEDEAS BOND OR SECURITY FOR DAMAGES. NOTHING IN THIS PARAGRAPH
- 32 SHALL BE CONSTRUED TO PROTECT A PERSON FROM SUIT OR LIABILITY FOR
- 33 DAMAGE, LOSS, INJURY, OR LIABILITY CAUSED BY THE INTENTIONAL OR WILLFUL
- 34 AND WANTON MISCONDUCT OF THE PERSON.

- THE COMMISSION SHALL BE LIABLE FOR ITS CONTRACTS AND FOR ITS 1 <del>51.</del> 2 TORTS AND THOSE OF ITS MEMBERS, ALTERNATE MEMBERS, OFFICERS, AGENTS, 3 EMPLOYEES, AND REPRESENTATIVES COMMITTED IN THE CONDUCT OF ANY PROPRIETARY FUNCTION. IN ACCORDANCE WITH THE LAW OF THE APPLICABLE 4 SIGNATORY (INCLUDING, WITHOUT LIMITATION, RULES ON CONFLICT OF LAWS) BUT 5 6 SHALL NOT BE LIABLE FOR ANY TORTS OCCURRING IN THE PERFORMANCE OF A 7 GOVERNMENTAL FUNCTION. THE EXCLUSIVE REMEDY FOR A BREACH OF CONTRACT 8 OR TORT FOR WHICH THE COMMISSION SHALL BE LIABLE, AS HEREIN PROVIDED, SHALL BE BY SUIT AGAINST THE COMMISSION. NOTHING CONTAINED IN THIS MSC 9 10 COMPACT SHALL BE CONSTRUED AS A WAIVER BY THE DISTRICT OF COLUMBIA. 11 MARYLAND, OR VIRGINIA OF ANY IMMUNITY FROM SUIT.
- Į. PLEDGE OF FAITHFUL COOPERATION BY SIGNATORIES. 12
- 13 <del>52.</del> EACH OF THE SIGNATORIES PLEDGES TO EACH OTHER FAITHFUL 14 COOPERATION IN PROVIDING SAFETY OVERSIGHT FOR THE WMATA RAIL SYSTEM.
- 15 AND. TO EFFECT SUCH PURPOSES, AGREES TO CONSIDER IN GOOD FAITH AND
- 16 REQUEST ANY NECESSARY LEGISLATION TO ACHIEVE THE OBJECTIVES OF THIS
- 17 MSC COMPACT.
- <del>J.</del> AMENDMENTS AND SUPPLEMENTS TO COMPACT. 18
- 19 AMENDMENTS AND SUPPLEMENTS TO THIS MSC COMPACT SHALL BE 20 ADOPTED BY LEGISLATIVE ACTION OF EACH OF THE SIGNATORIES AND THE 21 CONSENT OF CONGRESS. WHEN ONE SIGNATORY ADOPTS AN AMENDMENT OR 22 SUPPLEMENT TO AN EXISTING SECTION OF THIS MSC COMPACT. THAT AMENDMENT 23 OR SUPPLEMENT SHALL NOT BE IMMEDIATELY EFFECTIVE. AND THE PREVIOUSLY 24ENACTED PROVISION OR PROVISIONS SHALL REMAIN IN EFFECT IN EACH
- 25 JURISDICTION UNTIL THE AMENDMENT OR SUPPLEMENT IS APPROVED BY THE
- 26 OTHER SIGNATORIES AND IS CONSENTED TO BY CONGRESS.
- 27 **K.** WITHDRAWAL FROM COMPACT BY SIGNATORY.
- ANY SIGNATORY MAY WITHDRAW FROM THIS MSC COMPACT, WHICH 28 ACTION SHALL CONSTITUTE A TERMINATION OF THIS MSC COMPACT. 29
- WITHDRAWAL FROM THIS MSC COMPACT SHALL BE BY THE 30 31 ENACTMENT OF LEGISLATION REPEALING THE STATUTORY AUTHORITY FOR THIS 32 MSC COMPACT, BUT THE REPEAL MAY NOT TAKE EFFECT UNTIL ONE YEAR TWO 33 YEARS AFTER THE EFFECTIVE DATE OF THE LEGISLATION AND UNTIL WRITTEN 34 NOTICE OF THE WITHDRAWAL HAS BEEN GIVEN BY THE WITHDRAWING STATE SIGNATORY TO THE EXECUTIVE OF EACH OTHER MEMBER JURISDICTION. IN THE
- 35
- 36 EVENT OF A WITHDRAWAL OF ONE OF THE SIGNATORIES FROM THE WMATA
- 37 COMPACT. THIS MSC COMPACT SHALL BE TERMINATED AS OF THE SAME DATE.

| 1 | <del>56.</del> | <del>) TERMINATION</del> |  |  |  |
|---|----------------|--------------------------|--|--|--|
| 2 |                | I SIGNATORY:             |  |  |  |

- 3 (A) A MECHANISM FOR CONCLUDING THE OPERATIONS OF THE 4 COMMISSION:
- 5 (B) A PROPOSAL TO MAINTAIN STATE SAFETY OVERSIGHT OF THE 6 WMATA RAIL SYSTEM IN COMPLIANCE WITH APPLICABLE FEDERAL LAW; AND
- 7 (C) A PLAN TO RETURN SURPLUS FUNDS THAT MAY EXIST UPON THE
  8 TERMINATION OF THIS MSC COMPACT AFTER THE PAYMENT OF, AND THE
  9 RESERVATION OF FUNDS FOR PAYMENT OF, ALL OF ITS DEBTS AND OBLIGATIONS
  10 HOLD SURPLUS FUNDS IN A TRUST FOR A SUCCESSOR REGULATORY ENTITY FOR
  11 FOUR YEARS AFTER THE TERMINATION OF THIS MSC COMPACT; AND
- 12 (D) A PLAN TO RETURN ANY SURPLUS FUNDS THAT REMAIN 4 YEARS
  13 AFTER THE CREATION OF THE TRUST.
- 14 L. <u>Liberal Construction.</u>
- 15 <u>57.</u> <u>This MSC Compact shall be liberally construed to</u> 16 <del>EFFECTUATE THE PURPOSES FOR WHICH IT IS CREATED.</del>
- 18
  4PPLICATION TO ANY PERSON OR CIRCUMSTANCES IS ADJUDGED INVALID BY ANY
  19
  COURT OF COMPETENT JURISDICTION, THE JUDGMENT SHALL BE CONFINED IN ITS
  20
  OPERATION TO THE PART, PROVISION, OR APPLICATION DIRECTLY INVOLVED IN
  21
  THE CONTROVERSY IN WHICH THE JUDGMENT SHALL HAVE BEEN RENDERED AND
  22
  CHALL NOT AFFECT OR IMPAIR THE VALIDITY OF THE REMAINDER OF THIS MSC.
- 22 SHALL NOT AFFECT OR IMPAIR THE VALIDITY OF THE REMAINDER OF THIS MSC
- 23 <u>Compact or its application to other persons or circumstances, and the</u>
- 24 SIGNATORIES HEREBY DECLARE THAT THEY WOULD HAVE ENTERED INTO THIS MSC
- 25 COMPACT OR THE REMAINDER OF THE COMPACT HAD THE INVALIDITY OF THE
- 26 PROVISION OR ITS APPLICATION BEEN APPARENT.
- 27 M. MANNER OF ADOPTION OF COMPACT.
- 28 <u>59. This MSC Compact shall be adopted by the signatories in the</u>
  29 <u>MANNER PROVIDED BY LAW THEREFOR AND SHALL BE SIGNED AND SEALED IN FOUR</u>
  30 <u>DUPLICATE ORIGINAL COPIES. ONE COPY SHALL BE FILED WITH THE SECRETARY</u>
  31 <u>OF STATE OF THE STATE OF MARYLAND, ONE COPY WITH THE SECRETARY OF THE</u>
  32 <u>COMMONWEALTH OF VIRGINIA, AND ONE COPY WITH THE SECRETARY OF THE</u>
  33 <u>DISTRICT OF COLUMBIA IN ACCORDANCE WITH THE LAWS OF EACH JURISDICTION.</u>
  34 <u>ONE COPY SHALL BE FILED AND RETAINED IN THE ARCHIVES OF THE COMMISSION</u>

- UPON ITS ORGANIZATION, THIS MSC COMPACT SHALL BECOME EFFECTIVE UPON 1
- 2 THE ENACTMENT OF CONCURRING LEGISLATION BY THE DISTRICT OF COLUMBIA,
- 3 MARYLAND, AND VIRGINIA, AND CONSENT BY THE CONGRESS OF THE UNITED
- STATES AND WHEN ALL OTHER ACTS OR ACTIONS HAVE BEEN TAKEN, INCLUDING. 4
- WITHOUT LIMITATION. THE SIGNING AND EXECUTION OF THIS MSC COMPACT BY 5
- THE COVERNORS OF MARYLAND AND VIRGINIA AND THE MAYOR OF THE DISTRICT 6
- OF COLUMBIA. 7

#### 8 **N**. CONFLICT OF LAWS.

- 9 ANY CONFLICT BETWEEN ANY AUTHORITY GRANTED HEREIN, OR THE
- EXERCISE OF THE AUTHORITY, AND THE PROVISIONS OF THE WMATA COMPACT 10
- 11 SHALL BE RESOLVED IN FAVOR OF THE EXERCISE OF THE AUTHORITY BY THE
- 12 COMMISSION.
- 13 <del>61.</del> ALL OTHER GENERAL OR SPECIAL LAWS INCONSISTENT WITH THIS MSC
- 14 COMPACT ARE HEREBY DECLARED TO BE INAPPLICABLE TO THE COMMISSION OR
- 15 ITS ACTIVITIES.
- SECTION 2. AND BE IT FURTHER ENACTED. That this Act may not take effect 16
- until a similar Act is enacted by the Commonwealth of Virginia and by the District of 17
- Columbia: that the Commonwealth of Virginia and the District of Columbia each is 18
- requested to concur in this Act of the General Assembly of Maryland by the enactment of a 19
- similar Act: that the Department of Legislative Services shall notify the appropriate 20
- officials of the Commonwealth of Virginia, the District of Columbia, and the United States 21
- 22 Congress of the enactment of this Act; and that upon the concurrence in this Act by the
- 23 Commonwealth of Virginia and by the District of Columbia and approval by the United
- 24States Congress, the Governor of the State of Maryland shall issue a proclamation declaring
- this Act valid and effective and shall forward a copy of the proclamation to the Director of 25
- 26 the Department of Legislative Services.
- 27 SECTION 2. 3. 2. AND BE IT FURTHER ENACTED, That, subject to Section 2 of this Act, this Act shall take effect June 1, 2017, contingent on the taking effect of Chapter 28
- (S.B. /H.B. ) (7lr0013) of the Acts of the General Assembly of 2017, and if Chapter 29
- \_\_\_(S.B. \_\_\_/H.B. \_\_\_) (7lr0013) does not become effective, this Act shall be null and void 30
- without the necessity of further action by the General Assembly is an emergency measure. 31
- 32 is necessary for the immediate preservation of the public health or safety, has been passed
- by a yea and nay vote supported by three-fifths of all the members elected to each of the 33
- 34
- two Houses of the General Assembly, and shall take effect from the date it is enacted this Act shall take effect June 1, 2017, contingent on the taking effect of Chapter 3 of the Acts of 35
- 36 the General Assembly of 2017, and if Chapter 3 does not become effective, this Act shall be
- null and void without the necessity of further action by the General Assembly. 37